

2.66 Elemental Impurities—Procedures

(2.66 元素不純物試験法)

1. Introduction

This chapter describes two analytical procedures (Procedures 1 and 2) and validation criteria for the evaluation of the levels of elemental impurities. The chapter permits the use of any procedure that meets the validation criteria specified in this chapter. As the chemical composition of the considered substances and the specification limits for the element(s) of interest vary considerably, it is difficult to describe all suitable sample preparation and measurement methods. By means of validation studies, analysts will confirm that the analytical procedure is suitable for use on specified material. It is not necessary to cross validate against either procedure 1 or 2 provided that requirements for procedure validation are met. (Note: Methods such as atomic absorption spectrometry other than methods described in this chapter, if validated, can also be used without cross validation against analytical procedure 1 or 2.)

1.1. Sample Preparation

Forms of sample preparation include *Neat*, *Direct aqueous solution*, *Direct organic solution*, and *Indirect solution*. The selection of the appropriate sample preparation depends on the material under test and is the responsibility of the analyst. When a sample preparation is not indicated in the monograph, an analyst may use any appropriately validated sample preparation procedure, including but not limited to procedures described below. In cases where spiking of a material under test is necessary to provide an acceptable signal intensity, the blank should be spiked with the same *Target elements*, and where possible, using the same spiking solution. The material or mixture under test must be spiked before any sample preparation steps are performed. Standard solutions may contain multiple *Target elements*. (Note: If intended for a quantitative test, appropriate material handling procedures should be followed e.g. volatile liquids should be pipetted, viscous liquids should be weighed.)

Neat: Used for liquids or analytical procedures that allow the examination of unsolvated samples.

Direct aqueous solution: Used when the sample is soluble in an aqueous solvent.

Direct organic solution: Used when the sample is soluble in an organic solvent.

Indirect solution: Used when a material is not directly soluble in aqueous or organic solvents. Total metal extraction is the preferred sample preparation approach to obtain an *indirect solution*. Digest the sample using the

Closed vessel digestion procedure provided below or one similar to it.

Closed vessel digestion: This sample preparation procedure is designed for samples that must be digested in a *Concentrated acid* using a closed vessel digestion apparatus. *Closed vessel digestion* minimizes the loss of volatile impurities. The choice of a *Concentrated acid* depends on the sample matrix. The use of any of the *Concentrated acids* may be appropriate, but each introduces inherent safety risks. Therefore, appropriate safety precautions should be used at all times. (Note: Weights and volumes provided may be adjusted to meet the requirements of the digestion apparatus used.)

An example procedure that has been shown to have broad applicability is the following. Dehydrate and predigest 0.5 g of material under test in 5 mL of freshly prepared *Concentrated acid*. Allow to sit loosely covered for 30 min in a fume hood. Add an additional 10 mL of *Concentrated acid*, and digest, using a closed vessel technique, until digestion or extraction is complete. Repeat, if necessary, by adding an additional 5 mL of *Concentrated acid*. (Note: Where closed vessel digestion is necessary, follow the manufacturer's recommended procedures to ensure safe use.)

Clear solutions are expected in the validation. In those cases where a clear solution cannot be obtained, appropriate studies should ensure that the recovery is suitable for the intended use.

Reagents: All reagents used for the preparation of sample and standard solutions should be sufficiently pure for the intended purpose.

2. Analytical Procedures 1 and 2

System standardization and suitability evaluation using applicable reference materials should be performed on the day of analysis.

2.1. Procedure and Detection Technique

Procedure 1 can be used for elemental impurities generally amenable to detection by inductively coupled plasma-atomic (optical) emission spectroscopy (ICP-AES or ICP-OES). *Procedure 2* can be used for elemental impurities generally amenable to detection by inductively coupled plasma-mass spectrometry (ICP-MS). Before initial use, the analyst should verify that the procedure is appropriate for the instrument and sample used (procedural verification) by meeting the procedure validation requirements below.

2.2. Procedure 1: ICP-OES

Standard solution 1: 1.5J of the *Target element(s)* in a *Matched matrix*

Standard solution 2: 0.5J of the *Target element(s)* in a *Matched matrix*

102 **Sample stock solution:** Proceed as directed in 1.1.
103 *Sample Preparation* above. Allow the sample to cool, if
104 necessary. For mercury determination, add an appropriate
105 stabilizer.

106 **Sample solution:** Dilute the *Sample stock solution* with
107 an appropriate solvent to obtain a final concentration of
108 the *Target element(s)* within the calibrated range.

109 **Blank:** *Matched matrix*

110 **Elemental spectrometric system**

111 **Mode:** ICP

112 **Detector:** Optical detection system

113 **Rinse:** Diluent used

114 **Calibration:** *Standard solution 1, Standard solution 2,*
115 *and Blank*

116 **System suitability Sample:** Standard solution of the
117 *Target element(s)* in a *Matched matrix* at a concentration
118 within the calibrated range

119 **Suitability requirements**

120 **Short term Instrumental Stability:** Compare results
121 obtained from *System suitability sample* before and
122 after the analysis of the *Sample solution*.

123 **Suitability criteria:** NMT 20% for each *Target*
124 *element*. (Note: If samples are high in mineral content,
125 rinse the system well in order to minimize carryover
126 and check it by measuring a blank solution before
127 introducing the *System Suitability Sample*.)

128 **Analysis:** Analyze according to the manufacturer's
129 suggestions for program and wavelength. Calculate and
130 report results on the basis of the original sample size.
131 [Note: Appropriate measures must be taken to correct
132 for matrix-induced interferences (e.g., wavelength
133 overlaps).]

134 **2.3. Procedure 2: ICP—MS**

135 **Standard solution 1:** 1.5J of the *Target element(s)* in a
136 *Matched matrix*

137 **Standard solution 2:** 0.5J of the *Target element(s)* in a
138 *Matched matrix*

139 **Sample stock solution:** Proceed as directed in 1.1
140 *Sample Preparation* above. Allow the sample to cool, if
141 necessary. For mercury determination, add an appropriate
142 stabilizer.

143 **Sample solution:** Dilute the *Sample stock solution* with
144 an appropriate solvent to obtain a final concentration of
145 the *Target element(s)* within the calibrated range.

146 **Blank:** *Matched matrix*

147 **Elemental spectrometric system**

148 **Mode:** ICP. [Note: An instrument with a cooled spray
149 chamber is recommended. (A collision cell or reaction cell
150 may also be beneficial.)]

151 **Detector:** Mass spectrometer

152 **Rinse:** Diluent used

153 **Calibration:** *Standard solution 1, Standard solution 2,*
154 *and Blank*

155 **System suitability Sample:** *Standard solution of the*
156 *Target element(s)* in a *Matched matrix* at a concentration
157 within the calibrated range

158 **Suitability requirements**

159 **Short term Instrumental Stability:** Compare results
160 obtained from *system suitability sample* before and after
161 the analysis of the *Sample solution*.

162 **Suitability criteria:** NMT 20% drift between both
163 samples for each *Target element*. [Note: If samples are
164 high in mineral content, rinse the system well in order to
165 minimize carryover and check it by measuring a blank
166 solution before introducing the *System suitability sample*.]

167 **Analysis:** Analyze according to the manufacturer's
168 suggestions for program and *m/z*. Calculate and report
169 results based on the original sample size. [Note:
170 Appropriate measures must be taken to correct for
171 matrix-induced interferences (e.g., argon chloride
172 interference with arsenic determinations).]

173 **3. Requirements for Procedure Validation**

174 All procedures must be validated and shown to be ac-
175 ceptable, in accordance with the validation requirements
176 described below. The level of validation necessary to en-
177 sure that a procedure is acceptable depends on whether a
178 limit test or a quantitative determination is used. Any
179 procedure that has been validated and meets the ac-
180 ceptance criteria that follow is considered to be suitable
181 for use. If appropriate, the validation criteria may be
182 changed according to the purpose of evaluating the levels
183 of the content of elemental impurities. They may differ
184 from the requirements to meet the system suitability crite-
185 ria described in Inductively Coupled Plasma-Atomic
186 Emission Spectrometry and Inductively Coupled Plas-
187 ma-Mass Spectrometry <2.63>.

188 **3.1. Procedures for Limits Tests**

189 The following section defines the validation parameters
190 for the acceptability of limit tests. Meeting these require-
191 ments must be demonstrated experimentally using an ap-
192 propriate system suitability test and reference materials.

193 The suitability of the method must be determined by
194 conducting studies with the material or mixture under test
195 spiked with known concentrations of each *Target element*
196 of interest at the appropriate *Target concentration*.

197 **3.1.1. Detectability**

198 **Standard solution:** A preparation of reference materials
199 for the *Target element(s)* 100% of J in a *Matched matrix*.

200 **Spiked sample solution 1:** Prepare a solution of sample
201 under test, spiked with appropriate reference materials for
202 the *Target elements* at the *Target concentration*,
203 solubilized or digested as described in *Sample*
204 *Preparation*.

205 **Spiked sample solution 2:** Prepare a solution of the
206 sample under test, spiked with appropriate reference
207 materials at 80% of the *Target concentration* for the
208 *Target elements*, solubilized or digested as described in
209 *Sample Preparation*.

210 **Unspiked sample solution:** A sample of material under
211 test, solubilized or digested in the same manner as the
212 spiked *Sample solutions*

213 **Acceptance criteria**

214 **Non-instrumental procedures:** *Spiked sample solution*
215 *1* provides a signal or intensity equivalent to or greater
216 than that of the *Standard solution*. *Spiked sample solution*
217 *2* must provide a signal or intensity less than that of
218 *Spiked sample solution 1*. (Note: The signal from each
219 *Spiked sample solution* is NLT the *Unspiked sample*
220 *solution* determination.)

221 **Instrumental procedures:** The average value of the
222 three replicate measurements of *Spiked sample solution 1*
223 is within $\pm 15\%$ of the average value obtained for the
224 replicate measurements of the *Standard solution*. The
225 average value of the replicate measurements of *Spiked*
226 *sample solution 2* must provide a signal intensity or value
227 less than that of the *Standard solution*. (Note: Correct
228 the values obtained for each of the spiked solutions using
229 the *Unspiked sample solution*.)

230 **3.1.2. Specificity**

231 The procedure must be able to unequivocally assess
232 each *Target element* in the presence of components that
233 may be expected to be present, including other *Target*
234 *elements*, and matrix components.

235 **3.1.3. Precision, only for Instrumental Methods** 236 **(Repeatability)**

237 **Sample solutions:** Six independent samples of the
238 material under test, spiked with appropriate reference
239 materials for the *Target elements* at the *Target*
240 *concentration*

241 **Acceptance criteria**

242 **Relative standard deviation:** NMT 20% for each
243 *Target element*

244 **3.2. Procedures for Quantitative Tests**

245 The following section defines the validation parameters
246 for the acceptability of procedures for quantitative tests.
247 Meeting these requirements must be demonstrated ex-
248 perimentally, using an appropriate system suitability test
249 and reference materials.

250 **3.2.1. Accuracy**

251 **Standard solutions:** Prepare solutions containing the
252 *Target element(s)* at three concentrations ranging from
253 50% to 150% of *J*, using appropriate reference materials,
254 in a *Matched matrix*.

255 **Test samples:** Prepare samples of the material under test
256 spiked with appropriate reference materials for the *Target*

257 *element(s)* before any sample preparation steps (digestion
258 or solubilization) at 3 concentrations ranging from 50% to
259 150% of the *Target concentration*.

260 **Acceptance criteria**

261 **Spike recovery:** 70%–150% for the mean of three
262 replicate preparations at each concentration

263 **3.2.2. Precision**

264 **Repeatability**

265 **Test samples:** Six independent samples of material
266 under test (taken from the same lot) spiked with
267 appropriate reference materials for the *Target element(s)*
268 at the *Target concentration*.

269 **Acceptance criteria**

270 **Relative standard deviation:** NMT 20% (N = 6) for
271 each *Target element*

272 **Intermediate precision (ruggedness)**

273 Perform the *Repeatability* analysis again at least once
274 either on a different day, with a different instrumentation,
275 with a different analyst, or a combination thereof.
276 Combine the results of this analysis with the *Repeatability*
277 analysis so the total number of samples is at least 12.

278 **Acceptance criteria**

279 **Relative standard deviation:** NMT 25% for each
280 *Target element*

281 **3.2.3. Specificity**

282 The procedure must be able to unequivocally assess
283 each *Target element* in the presence of components that
284 may be expected to be present, including other *Target*
285 *elements*, and matrix components.

286 **3.2.4. Range and Linearity**

287 Demonstrated by meeting the *Accuracy* requirement.

288 **3.2.5. Limit of Quantification**

289 LOQ of 50% of *J* is confirmed when the accuracy
290 acceptance criteria for the corresponding spiked solution
291 is met.

292 **Acceptance criterion:** the LOQ is smaller or equal to
293 50% of *J*.

294 **4. Glossary**

295 **Concentrated acid:** Concentrated ultra-pure nitric,
296 sulfuric, hydrochloric, or hydrofluoric acids or any other
297 acid or mixture of acids that is demonstrated suitable.

298 **Matched matrix:** Solutions having the same solvent
299 composition as the *Sample solution*. In the case of an
300 aqueous solution, *Matched matrix* would indicate that the
301 same acids, acid concentrations and mercury stabilizer are
302 used in both preparations.

303 **Target elements:** Elements whose levels in the drug
304 product must be controlled within acceptable limits.

305 **Target limit or Target concentration:** The acceptance
306 value for the elemental impurity being evaluated.
307 Exceeding the *Target limit* indicates that a material under
308 test exceeds the acceptable value. *Target limits* in the final

309 drug product can be approximated by dividing the
310 *permitted daily exposures (PDEs)* by the maximum daily
311 dose. When evaluating the significance of elemental
312 impurity levels, it is possible to set the *Target limits* to the
313 values obtained by dividing 30% of *PDEs* by the
314 maximum daily dose. Furthermore, when the permitted
315 concentration limit of each element in the individual
316 components of the drug product is set, it can be set as the
317 *Target concentration*.

318 **J:** The concentration (w/v) of the *Target element(s)* at the
319 *Target limit*, appropriately diluted to the working range of
320 the instrument. If a dilution is not necessary, *J* is equal to
321 the *Target concentration*. For example, if the target
322 elements are lead and arsenic for an analysis of an oral
323 solid drug product with a daily dose of 10 g/day using
324 inductively coupled plasma–mass spectrometry (ICP–MS),
325 the target limit for these elements would be 0.5 $\mu\text{g/g}$ and
326 1.5 $\mu\text{g/g}$. However, in both cases, the linear dynamic
327 range of the ICP–MS is known to extend from 0.01 ng/mL
328 to 0.1 $\mu\text{g/mL}$ for these elements. Therefore, a dilution
329 factor of at least 1:100 is required to ensure that the
330 analysis occurs in the linear dynamic range of the
331 instrument. *J* would thus equal 5 ng/mL and 15 ng/mL for
332 lead and arsenic, respectively.

333 **Appropriate reference materials:** In principle, where
334 *Appropriate reference materials* are specified in the
335 chapter, certified reference materials (CRM) from a
336 national metrology institute (NMI), or reference materials
337 that are traceable to the CRM of an NMI should be used.

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