

PMDA Perspectives on Companion Diagnostics Development in Japan

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Presentation Topics

- CDx Regulation in Japan
- CDx Development and Evaluation
- Current Challenges and Future Perspectives



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Notification and Administrative Notice

| Issue date | Title | |
|-------------------|--|--|
| July 1, 2013 | Notification on Marketing Authorization Application of In Vitro Companion Diagnostics and Corresponding Therapeutic products | |
| | Questions and Answers on Companion Diagnostics and Corresponding Therapeutic products | |
| Dec 26, 2013 | Technical Guidance on Development of In Vitro Companion Diagnostics and Relevant Drugs | |
| Feb 29, 2014 | Points to consider on Marketing Authorization Application Form of Companion Diagnostics | |
| Mar 28, 2014 | Questions and Answers on Marketing Authorization Application Form of Companion Diagnostics | |
| June-July 2018 | Questions and Answers on Application Dossier of Companion Diagnostics (in preparation) | |

http://www.pmda.go.jp/english/rs-sb-std/standards-development/cross-sectional-project/0005.html



Notification and Administrative Notice

2013.7.1 Notification Definition of CDx

A companion diagnostic is an in vitro diagnostic reagent or device essential for the safe and effective use of a corresponding therapeutic product

Contemporaneous MAA of CDx and therapeutic product

2013.12.24
Administrative
Notice

<Technical Guidance>

- Points to consider for CDx development
- Evaluation of analytical and clinical performance.

2014.2.19 2014.3.28 Notification

Description of Application Form

2018 July?
Administrative
Notice

What should be described in application dossier



Encourage sharing of the necessary data or information between drug and CDx sponsor



CDx Approved in Japan

| CDx Trade Name | Corresponding drug | Biomarker |
|---|---------------------------|--------------------------|
| POTELIGEO TEST IHC/POTELIGEO TEST FCM | mogamulizumab | CCR4 protein |
| Cobas BRAF V600 mutation test | vemurafenib | BRAF mutation |
| Histofine ALK iAEP kit | alectinib | ALK protein |
| Vysis ALK Break Apart FISH probe kit | crizotinib and alectinib | ALK fusion |
| THxID BRAF kit | dabrafenib/trametinib | BRAF mutation |
| Cobas EGFR mutation test v2.0 | osimertinib | EGFR mutation |
| OncoGuide AmoyDx ROS1 Gene Fusions Detection Kit | crizotinib | ROS1 fusion (RNA) |
| PD-L1 IHC 22C3 pharmDx [Dako] | pembrolizumab | PD-L1 protein |
| Ventana OptiView ALK (D5F3) | crizotinib and ceritinib | ALK protein |
| MEBGEN RASKET-B kit | cetuximab and panitumumab | KRAS and NRAS mutation |
| BRACAnalyis CDx | olaparib | BRCA1 and BRCA2 mutation |
| Oncomine Dx Target test | dabrafenib/trametinib | BRAF mutation |
| | | as of June 26,2018 |

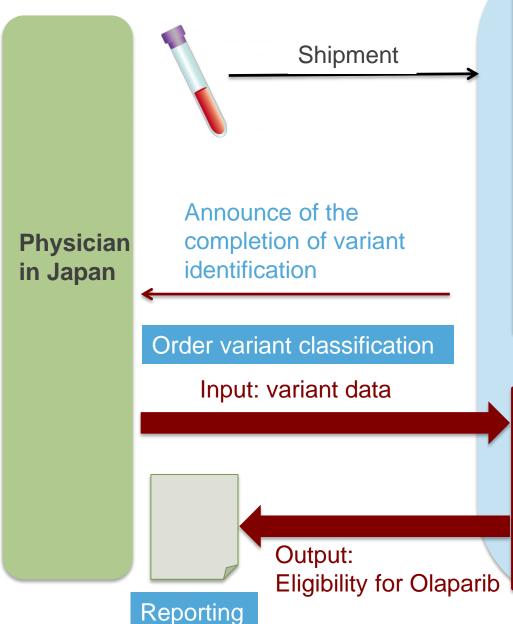


Approval of BRACAnalysis CDxTM

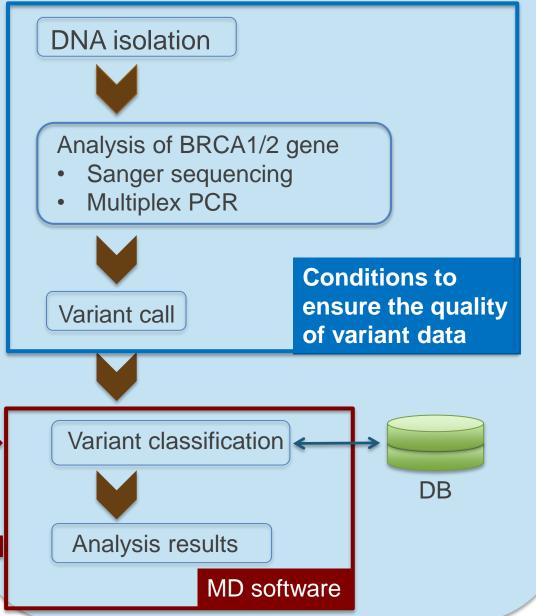
- ☐ Approved in March 2018 as medical device software
- Intended use: aid in identifying breast cancer patients eligible for treatment with Lynparza (olaparib)
- Conditions of approval
 - Submission of annual report on the evaluation of the robustness of the variant classification process, classification changes and newly identified variants.
 - Assurance of cyber security and patient privacy



BRACAnalysis CDxTM approved as MD software



Myriad Genetic Laboratories in US





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CDx Development

- Early stages of the therapeutic product (TP) development
 - Rationale for therapy targeted to a biomarker positive or negative population
 - Determination of the clinical cutoff
- □ Prior to Major Efficacy Trial
 - Analytical validation studies should be completed.
 - Variables that affect the test result should be specified and controlled.
- Later Stages of the TP development
 - Evaluation of clinical performance of the candidate CDx
 - Confirmation of the cut-off value



Evaluation of CDx

Basic Principles:

- □ CDx should provide accurate and reproducible results.
- □ CDx should be able to identify a population expected to benefit from the therapeutic product.
- ■Analytical Performance
 - Accuracy
 - Precision(repeatability and reproducibility)
 - Specificity
 - Detection Limit
 - Interfering substances
 - Cross-contamination
 - Robustness
- **□**Clinical Performance



What to be described in application dossier

New Administrative Notice expected to be issued in July

- Rationale for clinical cut-off based on exploratory trial data in which both biomarker positive and negative patient were enrolled
- Description of CTA methods used to establish clinical cut-off based on exploratory trial
- Rationale of the clinical cut-off for patient enrollment in major efficacy trial, taking into consideration of the impact of the difference between CTA and the candidate CDx (basically based on concordance study)





Data to be provided for Marketing Authorization Application (MAA) of CDx

DIA 2018

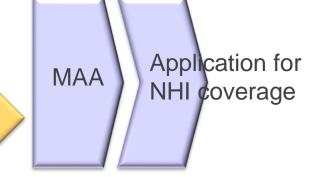
Analytical Performance

- Kit design
- Performance Evaluation

Clinical Performance

- Rationale of CDx development
 - Establishment of clinical cut-off

Evaluation of clinical performance & cut-off value



Coordinated MAA of CDx and TP

literature Non-clinical data

Therapeutic Product

- Test methods
- Sample prep.
- pre-analytical variables
- Test results
- Efficacy data of BM-positive- and negativepopulation

- Test methods
- Sample prep.
- pre-analytical variables
- Test results
- Summary of major efficacy trial

To be described in application dossier

Non-clinical study

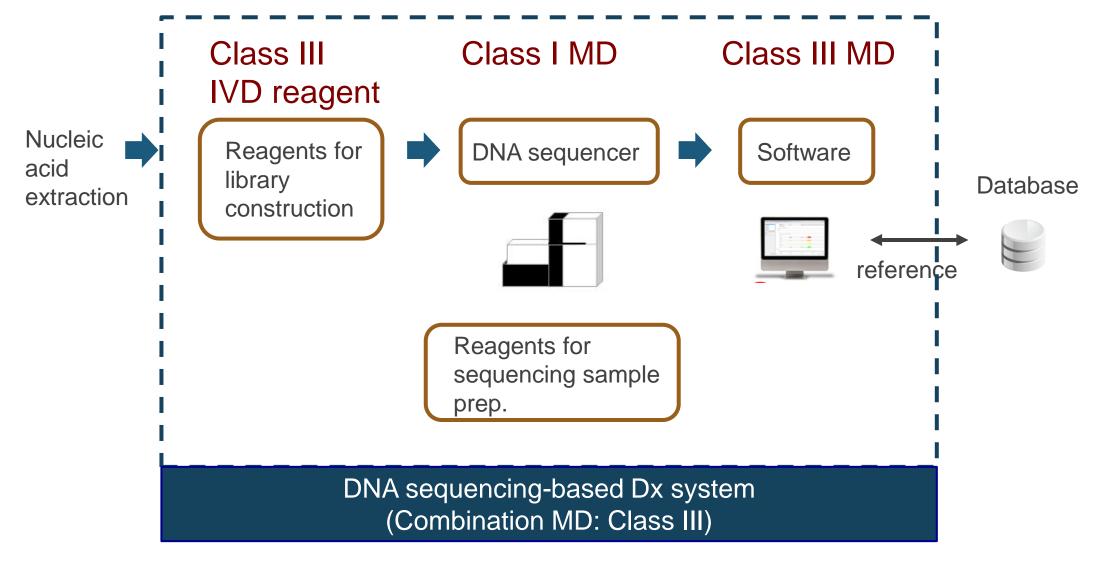
Exploratory Study

Major Efficacy
Trial



Application for NHI price listing

Regulatory Framework of DNA Sequencing-based Dx System





Evaluation of NGS-based CDx

- Basic principles are the same as conventional CDx.
 - CDx should provide accurate and reproducible results.
 - CDx should be able to identify a population expected to benefit from the therapeutic.
- □ Validation approach considering the characteristic feature of NGS-based system could be possible.



Evaluation of NGS-based CDx

- ☐ In principle, clinical specimen should be used to evaluate analytical performance.
- ☐ For rare variants, use of contrived sample could be acceptable if the scientific rationale is provided.
- ☐ It is acceptable to evaluate analytical performance for the representative subset of variants if scientific rationale is provided.
 - the representative subset of variants should cover the range of variants to be detected by the system.
 - Variant type (SNV, indels, CNV, fusion), genome context, length should be considered.



Follow-on CDx

- □ Comparability of clinical performance of follow-on CDx to the original CDx could be demonstrated by analytical concordance study.
- Reference in concordance study should be the originator product of which clinical performance is demonstrated based on clinical trial data of the corresponding TP.
 - Approved follow-on CDx should not be a reference in concordance study.

<Possible Issues>

 Acceptability of the reference CDx approved outside of Japan (e.g. US-approved but not Japan-approved CDx)



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Challenges in CDx evaluation

- Pan-cancer claim
 - Availability of specimens
 - How to provide evidence to support test performance for all tumor types
- ☐ CDx development in rare disease
 - How to ensure analytical and clinical performance with limited specimens
 - How to conduct bridging study



Challenges after implementation of oncology panel into clinical settings

- Possibility to identify patients eligible for TP using oncology panel in medical facilities
 - Use of panel result is expected by physicians considering early patient access to TP and the limitation of clinical specimen
 - Measures to ensure the safety and efficacy of the corresponding TP are necessary.
- Possibility to establish standards for comparability evaluation
 - ■Comparability of genetic tests, especially for NGS-based tests



Cancer Genome Consortium for Medicine in Japan



11 core medical facilities for cancer genome profiling for medicine



Approx. 100 associate medical facilities

Genome profiling using oncology panel



Annotation of variants using DB

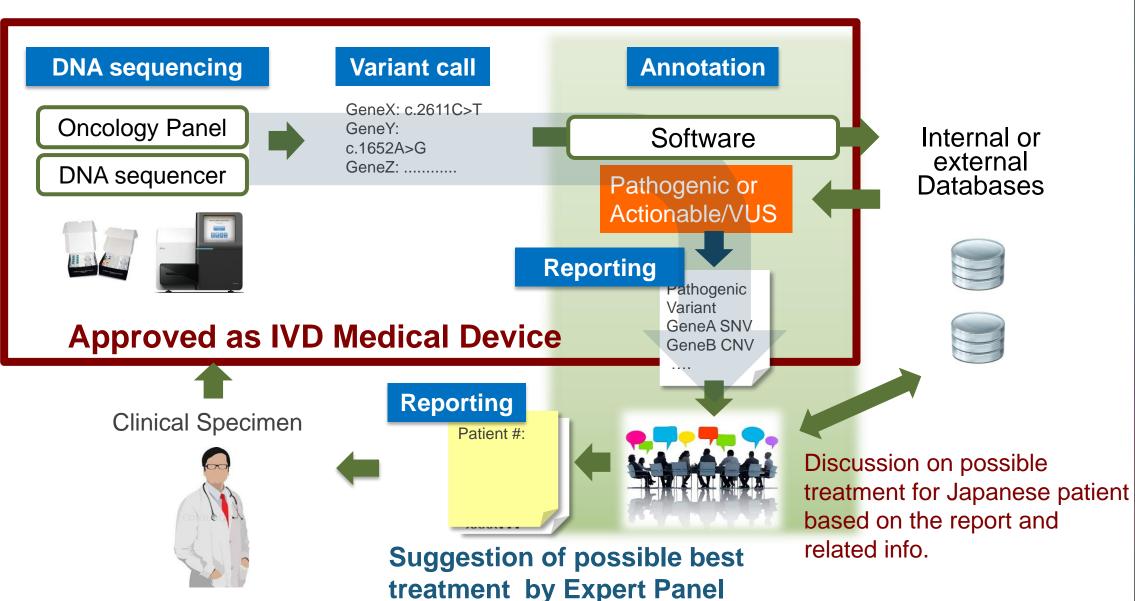


Evaluation of variant annotation and reporting of the possible best treatment by expert panel





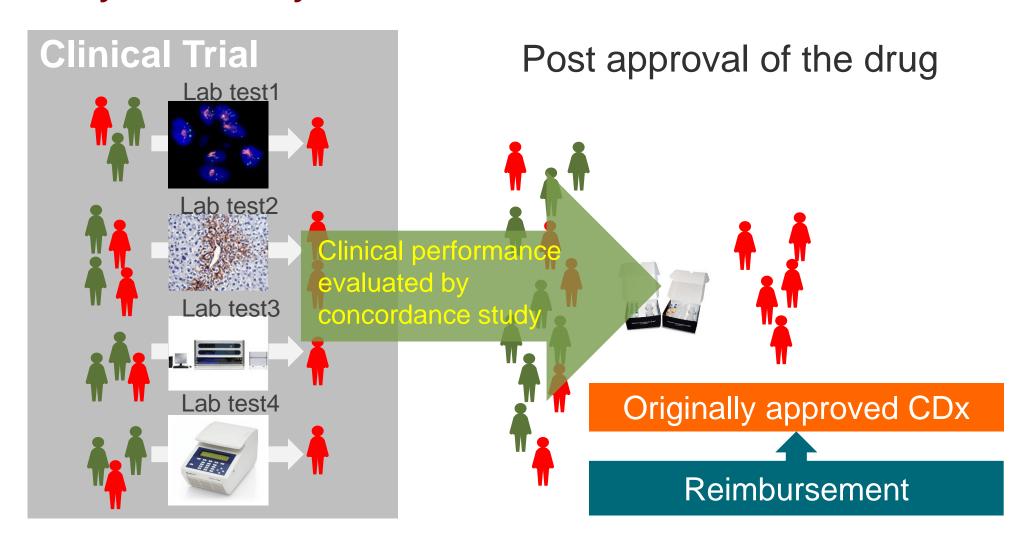
Implementation of Oncology Panel in Japanese Clinical Setting





Role of approved CDx

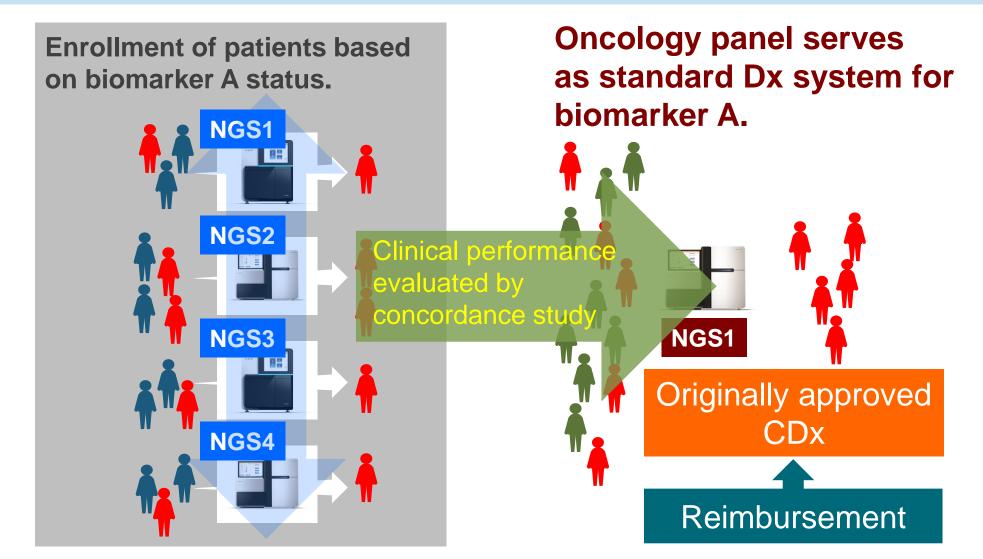
☐ Originally approved CDx serves as standard Dx system to ensure the safety and efficacy of TP.





After implementation of oncology panel,

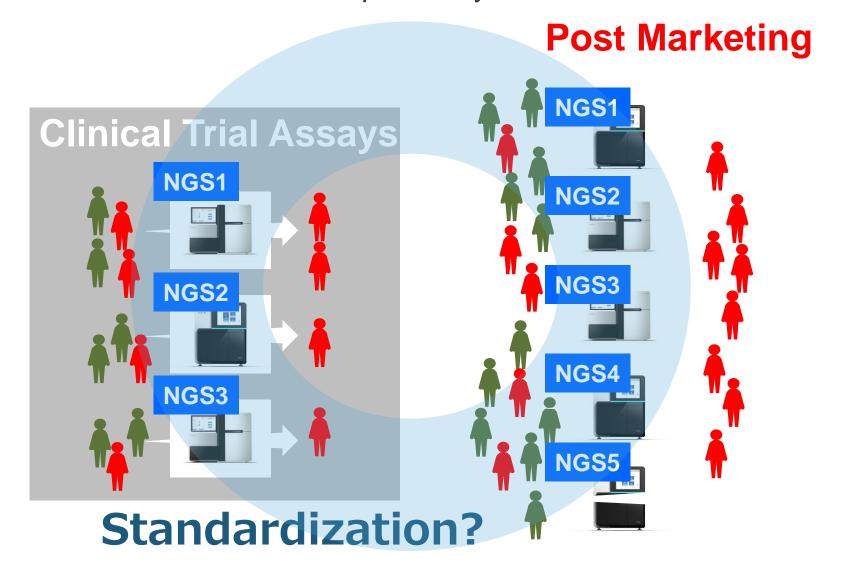
The requirement for the diagnostic system to ensure the safety and efficacy of the corresponding therapeutic product will NOT change.





Further more...

Is it possible to ensure the clinical efficacy and safety of therapeutic product by the establishment of comparability standards?





Summary

- New administrative notice will be issued soon to clarify the scientific data and justification to be provided in application dossier.
- PMDA expects the new administrative notice will be used as a tool to facilitate the communication and data sharing between drug and CDx sponsors.
- ► Along with for the implementation of oncology panel into medical setting in near future, how to evaluate the comparability of NGS-based tests for CDx use is under discussion.



Thank You

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