

1 Leak Tests for Packaging of Sterile Products

(無菌医薬品包装の漏れ試験法)

This General Information describes test methods to measure the entry and escape of gases and liquids in packages and containers of sterile pharmaceuticals, and to recognize their unintentional fluid transfer due to leakage. The measured values may indicate the presence, location and size of leak channels as well as leak amount.

The leak tests are classified to qualitative leak tests and quantitative leak tests. This General Information describe liquid immersion test, liquid leak test, tracer liquid test (dye penetration test) and sniffing method (helium leak test method 1) as qualitative leak tests, and sealed chamber method (pressure change leak test method 1), vacuum decay method (pressure change leak test method 2), pressure integration method (helium leak test method 2), vacuum chamber method (helium leak test method 3), immersion method (helium leak test method 4), high-voltage leak test (pinhole test method) and laser-based gas headspace analysis as quantitative leak tests.

Test methods should be selected according to the characteristics of samples and the purpose of the test¹⁻⁶⁾. Samples, the configuration of test apparatus, and conditions such as temperature, pressure and time, are set appropriately based on various technical data, because they affect the validity of results and the safety of operations. It is desirable to use the apparatus that has been calibrated by a standard traceable to the national measurement standard as needed. The leak tests are applied to stoppered rigid or flexible packages and containers, which are empty or contain liquid or solid sterile pharmaceuticals. Specifically, ampoules, vials, syringes, containers for ophthalmic solutions, plastic bags, etc. are subject to the tests.

1. Qualitative leak tests

The qualitative leak tests are test methods to directly observe or measure the leak phenomena, and are used to confirm the presence, position(s) and conditions of leakage.

1.1. Liquid immersion test

The liquid immersion test method is used to detect the presence and locations of leaks by observation of gas emission occurred from defect regions as bubbles, when a sample containing gas in its inside is immersed in liquid and the headspace of the liquid tank is depressurized. In many cases, water is used as the liquid, and in that case it is also called a water immersion test. It observes the generation of gas bubbles after the completion of reduced pressure until the prescribed time, and evaluate the location(s) of leak(s), the size and the occurrence frequency of the gas bubbles.

In some cases, bubbles are observed in a liquid tank using a sample pressurized with gas. The amount of leakage can be quantified by collecting the generated gas bubbles in a liquid tank with a measuring cylinder etc. for defined time and measuring the amount. The amount of leakage can be expressed as a function of the sampling time for collecting gas bubbles and the collected quantity being corrected with a reference pressure or one atmospheric pressure. The test is performed at prescribed temperature as required, and the value of reduced pressure and measurement time of the headspace of a liquid tank are set according to the pressure resistance of a sample and assumed defects. It is applied to rigid or flexible packages and containers

1.2. Liquid leak test

The liquid leak test methods are test methods to visualize and observe the transfer of liquid due to leakage using an additive or a developer. The liquid leak test methods include the method that adds liquid containing a fluorescent dye to a sample to detect the leaked liquid by irradiation with ultraviolet light, and the method that coats the surface of a sample with a developer to observe an indication pattern generated by a chemical reaction of the leaked liquid and the developer (Table 1).

Table 1 Types of liquid leak tests

	Methods	Excipients added to liquid	Developers	Observation	Indication pattern
Using an additive	Fluorescent dye method	Fluorescent dye	no	under ultraviolet light (in a dark place)	fluorescence
Using a developer	White development method	no	White developer	under white light	gray color
	Color forming development method	no	Color-forming developer	under white light	red color
	Fluorescence development method	no	Fluorescence developer	under ultraviolet light (in a dark place)	fluorescence

In the fluorescent dye method, liquid containing fluorescent dye is injected inside a sample, or is dissolved in liquid inside a sample, and leakage is detected under ultraviolet light in a dark place. The inside of the sample is pressurized, as necessary, and change due to leakage is observed. In the test methods using a developer, the adequately stirred developer is applied evenly on the surface of a sample by a spray or a brush. After drying of the developed coating film, an indication pattern due to leakage is observed under white light in the cases of the white development method and the color development method. In the fluorescent development method, an indication pattern due to leakage is observed under ultraviolet light in a dark place. Record the indication pattern due to leakage as the description of position, size

91 and number, etc. or as an image. These test methods are
92 applied to rigid or flexible packages and containers.

93 1.3. Tracer liquid test (dye penetration test)

94 The tracer liquid test method is a method to observe the
95 inflow or outflow of tracer liquid by immersing a sample in
96 liquid. The test method is used to detect leak location and
97 to evaluate the relative amount of leakage in non-porous
98 rigid or flexible containers. A dye solution or a solution
99 containing metal ions is used as the tracer liquid. The trans-
100 fer of the dye is observed visually or measured using in-
101 struments. The test method is applied to clear, pressure-
102 tight or other, stoppered rigid or flexible packages and con-
103 tainers which are empty or contain contents (liquid or solid).

104 a) In the test method where a tracer liquid is introduced,
105 immerse a sample containing no tracer liquid in a chamber
106 filled with the tracer liquid, cover the chamber, and pres-
107 surize or depressurize the chamber so that the head space
108 part has a prescribed pressure, and hold. After prescribed
109 time has elapsed, the head space part is opened to the at-
110 mosphere and left for prescribed time. Then the sample is
111 taken out, and the surface is cleaned. The tracer liquid
112 which has invaded into the sample is observed visually or
113 measured by chemical analysis.

114 b) In the test method where a tracer liquid is flowed out,
115 immerse a sample containing a tracer liquid in a chamber
116 filled with a solution containing no tracer liquid. Then
117 cover the chamber, pressurize or depressurize so that the
118 head space part becomes a prescribed pressure, and hold for
119 prescribed time to flow out the tracer liquid. After the head
120 space part is left for a prescribed time under the atmos-
121 pheric pressure, the sample is taken out, and the transfer of
122 the tracer liquid is measured by observation of the liquid
123 inside the chamber or by chemical analysis. The test
124 method is applied to rigid containers.

125 1.4. Sniffer method (Helium leak test method 1)⁷⁾

126 The helium leak test method by the sniffer method is also
127 called the suction method. This test method is a method to
128 detect leakage by filling helium gas in a sample under nor-
129 mal pressure or pressurized condition and sucking the he-
130 lium gas that leaks into the outside by a suction probe. In
131 addition, some methods that apply a suction probe to meas-
132 urement regions or scan with the probe to detect the pres-
133 ence and the position of leakage are also used. The test
134 method is applied to rigid or flexible packages and contain-
135 ers.

136 2. Quantitative leak tests

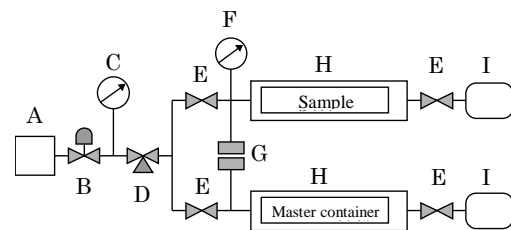
137 The quantitative leak test methods provide the amount of
138 leakage in numerical physical quantities. Because meas-
139 ured values are affected by conditions (sample temperature,
140 testing time, etc.) and environmental factors (air tempera-
141 ture, humidity, atmospheric pressure, etc.) of the test

142 method, it is necessary to use them with sufficient consid-
143 eration of these factors.

144 2.1. Sealed chamber method (Pressure change leak 145 test 1)⁸⁾

146 The sealed chamber pressure change leak test method is
147 used to measure the leakage of a stoppered sample by pres-
148 sure change after pressurizing or depressurizing the cham-
149 bers which contain the sample and a master container (a
150 leak-free container having same structure as the sample),
151 respectively. The method may be destructive or non-de-
152 structive depending on the pressure resistance of the sam-
153 ple and the pressure setting of a chamber. It is applied to
154 rigid or flexible packages and containers.

155 In this test method, use an apparatus shown in Fig. 1,
156 place a sample and a master container in each chamber,
157 close valves after pressurizing or depressurizing the cham-
158 bers, and measure pressure difference between the cham-
159 bers after prescribed time. To detect large leaks, measure
160 pressure difference after releasing the pressure of the inside
161 of both chambers into discharge containers. The amount of
162 leakage is expressed as a function of the value of the pres-
163 sure difference between the chambers, the space volume of
164 the sample and the chamber, the volume ratio of the cham-
165 ber and the discharge container, etc.



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- | | |
|---|---------------------------------|
| A : Pressurizing or depres-
suring apparatus | F : Vacuum gauge |
| B : Pressure regulating valve | G : Differential pressure gauge |
| C : Pressure gauge | H : Chamber |
| D : Pressure and exhaust
valve | I : Release container |
| E : Shut-off valve | |

169 **Fig. 1** Example of apparatus configuration for the sealed chamber
170 method (pressure method)

171 2.2. Vacuum decay method (Pressure change leak test 172 method 2)

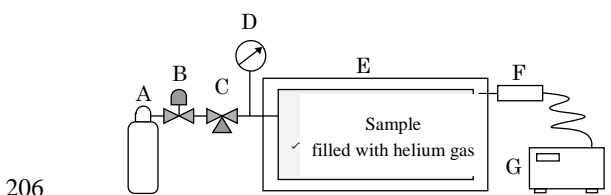
173 The vacuum decay pressure change leak test is applied
174 to test samples containing liquids. An apparatus similar to
175 that used in the sealed chamber method is used for this test.
176 In the operation, a sample and a master container are placed
177 in chambers, respectively, pressure in the chambers are de-
178 pressurized below the vapor pressure of the liquid. Changes
179 in the chamber pressure due to the evaporation of the leaked

180 liquid is measured by a vacuum gauge or a differential pres-
 181 sure sensor. The degree of pressure rise is expressed as a
 182 function of gap volume between the sample and the cham-
 183 ber and the measurement time, and is affected by the
 184 amount of leaked liquid, vapor pressure, degree of vacuum
 185 and the temperature of the liquid. This method is applied to
 186 rigid or flexible packages and containers that contain liquid
 187 and have no head space.

188 2.3. Pressure integration method (Helium leak test 189 method 2)

190 In the pressure integration method, the sample filled with
 191 helium gas under normal or pressurized pressure is hold in
 192 a chamber or a cover with hood (coating material) to collect
 193 helium gas leaked to space between the hood and the sam-
 194 ple for a prescribed time. Measure the leakage by sucking
 195 the collected gas using a suction probe.

196 For this test method, an apparatus shown in Fig. 2 is used,
 197 and the amount of leakage is expressed as a function of the
 198 concentration of helium gas, the gap volume between the
 199 hood and the sample, the time for collecting helium gas, the
 200 amount of suction by a suction probe, etc. This method is
 201 capable of measuring leakage from a whole sample, and is
 202 less susceptible to the concentration of surrounding helium.
 203 This method is applied to non-stoppered rigid containers
 204 without contents.
 205



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 A : Helium cylinder E : Chamber or cover
 B : Pressure regulating valve F : Suction probe
 C : Pressure and exhaust valve G : Helium leak detector
 D : Pressure gauge

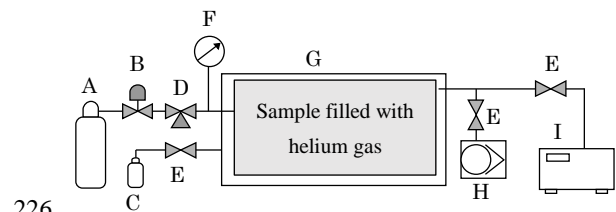
207 **Fig. 2** Example of apparatus configuration for pressure integration
 208 method

209 2.4. Vacuum chamber method (Helium leak test 210 method 3),

211 The vacuum chamber method helium leak test is also
 212 called the vacuum container method. This test measures he-
 213 lium gas leaked from a sample filled with helium gas in the
 214 chamber maintained at high degree of vacuum by exhaust-
 215 ing. High detection sensitivity is obtained compared to the
 216 pressure integration method. This method is applied to non-
 217 stoppered rigid containers without contents.

218 For this test method, use an apparatus shown in Fig. 3,
 219 set a sample filled with pressured helium gas in a vacuum
 220 chamber, close the chamber, and depressurize. The value
 221 of leakage is obtained from the difference between the

222 amount of helium in the chamber, in the presence and ab-
 223 sence of the sample, at the time when the inside of the
 224 chamber reaches prescribed vacuum.
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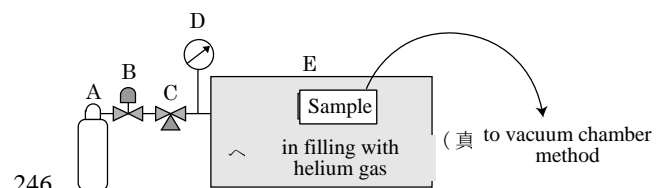
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A : Helium cylinder F : Vacuum gauge
 B : Pressure regulating valve G : Vacuum chamber
 C : Calibration leak H : Auxiliary exhaust apparatus
 D : Pressure and exhaust valve I : Helium leak detector
 E : Shut-off valve

227 **Fig. 3** Example of apparatus for the vacuum chamber method

228 2.5. Immersion method (Helium leak test method 4)

229 The immersion method helium leak test is also called the
 230 bombing method. This test measures outflow due to leak-
 231 age by the vacuum chamber method after introducing he-
 232 lium gas into the space inside a sample through defects in
 233 a chamber filled with helium gas and then taking out the
 234 sample, using an apparatus shown in Fig. 4. A sample hav-
 235 ing space inside is placed in a chamber pressurized with he-
 236 lium gas and the concentration of helium inside the sample
 237 is increased by immersing helium gas through defect holes
 238 from the outside. Then the leakage of the sample is meas-
 239 ured by the vacuum chamber method. This method cannot
 240 be applied to samples having large leaks. The degree of
 241 leakage is expressed as a function of leaving-time in the air,
 242 inside volume of a sample, time for filling, pressure for fill-
 243 ing, etc. This method is applied to stoppered rigid contain-
 244 ers having space inside a sample.
 245



246

A : Helium cylinder D : Vacuum gage
 B : Pressure regulating valve E : Helium gas filling chamber
 C : Pressure and exhaust valve

247 **Fig. 4** Example of apparatus configuration for immersion method

248 2.6. High-voltage leak test (Pinhole test)

249 The high voltage leak test is used to detect pinholes
 250 which allow leakage, in the area between where electrodes
 251 are applied. The electric current that flows upon application
 252 of the high voltage to the sample is measured. Rapid non-
 253 destructive tests are possible in appropriate measurement

254 conditions. This method is applied to rigid or flexible pack-
255 ages and containers with non-conductive packaging mate-
256 rials, containing conductive contents that are not affected
257 by applied voltage.

258 **2.7. Laser-based gas headspace analysis**

259 The laser-based headspace gas analysis is a method that
260 detect changes in the headspace gas of a sample due to leak-
261 age from the absorbance or frequency modulation of spe-
262 cific frequency bands in the transmission of a laser light.
263 Information such as the concentration of oxygen, carbon
264 dioxide or water vapor and an internal pressure are obtained
265 by irradiating a sample held between a light source and a
266 detector with a light having a wavelength suitable for the
267 gas to be measured. The possibility of leakage is judged by
268 comparing the measurement value with the value obtained
269 for a reference sample having controlled defects. Non-de-
270 structive tests are possible in appropriate measurement con-
271 ditions, however, it is necessary to perform the tests under
272 environment suitable for the purpose because temperature
273 and humidity affect the results. This method is applied to
274 light-transmitting containers that have a headspace gas.

275 **3. References**

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