



# *Overview of HBD Activity 2003-2019*

*Mitchell W. Krucoff, MD, FACC, FAHA, FSCAI*

**Professor, Medicine/Cardiology  
Duke University Medical Center  
Director, Cardiovascular Devices Unit  
Duke Clinical Research Institute**



**Duke Clinical Research Institute**  
DUKE UNIVERSITY MEDICAL CENTER



## Global Cardiovascular Device Innovation: Japan-USA Synergies

– Harmonization by Doing (HBD) Program, a Consortium of Regulatory Agencies, Medical Device Industry, and Academic Institutions –

Takahiro Uchida, MD; Fumiaki Ikeno, MD; Koji Ikeda, PhD; Yuka Suzuki, PhD; Koji Todaka, MD; Hiroyoshi Yokoi, MD; Gary Thompson, BSc; Mitchel Krucoff, MD; Shigeru Saito, MD  
 on behalf of the Harmonization by Doing Program Working Group

**Background:** Global medical devices have become more popular, but investment money for medical device development is not easily available in the market. Worldwide health-care budget constraints mean that efficient medical device development has become essential. To achieve efficient development, globalization is a key to success. Spending large amounts of money in different regions for medical device development is no longer feasible.

**Methods and Results:** In order to streamline processes of global medical device development, an academic, governmental, and industrial consortium, called the Harmonization by Doing program, has been set up. The program has been operating between Japan and the USA since 2003. The program has 4 working groups: (1) Global Cardiovascular Device Trials; (2) Study on Post-Market Registry; (3) Clinical Trials; and (4) Infrastructure and Methodology Regulatory Convergence and Communication. Each working group has as its goals the achievement of speedy and efficient medical device development in Japan and the USA. The program has held multiple international meetings to deal with obstacles against efficient medical device development.

# HBD

## Program History



# September 2003

## The Era of Global Regulatory Harmonization

### TCT 2003: 15th Annual Transcatheter Cardiovascular Therapeutics

September 15 - 19, 2003; Washington, DC



**David Feigal MD**  
Director, CDRH 1999-2004



**The Maureen and Mike Mansfield Foundation**  
Promoting Understanding and Cooperation in U.S.-Asia Relations since 1983

#### Program Overview

The Mansfield Fellowship Program—named after Mike Mansfield, former U.S. Ambassador to Japan, Senate Majority Leader, U.S. Senator and U.S. Congressman from Montana—is a first-of-its-kind program for both the United States and Japan. The two-year Fellowships enable U.S. federal government employees to develop an in-depth understanding of Japan, learn how its government works, and establish relationships with their counterparts in the government of Japan as well as in the business, professional and academic communities.



# December 2003



## U.S. Food and Drug Administration

### CENTER FOR DEVICES AND RADIOLOGICAL HEALTH



[FDA](#) > [CDRH](#) > [International Issues](#) > Japan - U.S. "Harmonization By Doing" HBD Pilot Program Initiative

[Questions?](#)

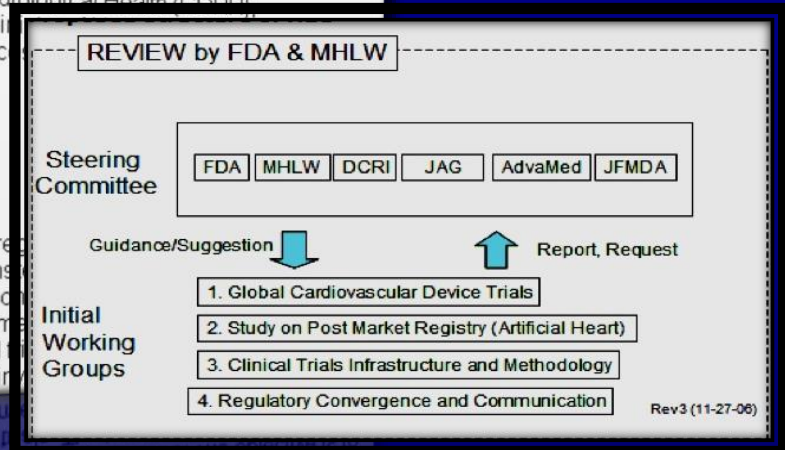
## Japan - U.S. "Harmonization By Doing" HBD Pilot Program Initiative

"Harmonization by Doing," commonly known as HBD, is an international effort to develop global clinical trials and address regulatory barriers that may be impediments to timely device approvals. This process is a cooperative effort to move both Japan and the U.S. toward international regulatory harmonization. Participants in this process include:

- U.S. Food and Drug Administration (FDA) Center for Devices and Radiological Health (CDRH)
- Japan's Pharmaceutical and Food Safety Bureau (PFSB) of the Ministry of Health, Labour and Welfare (MHLW) and its review agency, the Pharmaceutical and Medical Device Agency (PMDA)
- Duke Clinical Research Institute (DCRI),
- Japanese academic community, and
- Japanese and U.S. medical device industry.

### What is the HBD initiative?

The HBD initiative is a pilot project launched in December 2003 that seeks regulatory harmonization between the U.S. Food and Drug Administration (FDA) and MHLW-PMDA premarket review of device cardiovascular technology. In addition to harmonization, HBD will utilize parallel development, application submission, and clinical trial development for device projects by FDA and MHLW-PMDA in conjunction with the above-named organizations. The goal is to eliminate redundancies, added costs, and time delays inherent in sequential regulatory processes and to create guidance and discuss policy but to develop common protocols for international clinical trials.





Pharmaceuticals and Medical Devices Agency, Japan

## 2003-2004: Japan MHLW launches PMDA



*April 2004:*  
**PMDA Adopts Early  
Consultation**

# HBD Foundational Principles

- 1) **Balanced stakeholder leadership/participation**
- 2) **Pre-competitive collaboration, dialogue & trust**
- 3) **“Small steps to big changes”**
- 4) **Emphasis on “doing” (POC projects & deliverables)**
- 5) **Barrier elimination supporting global regulatory harmonization pathways**

# HBD

## *Proof Of Concept (POC) Projects*

# HBD POC's

*Educational Programs & Thinktanks*







第68回  
日本循環器学会総会・学術集会

# Global Regulatory Harmonization and Medical Devices Clinical Trials: Impact to Cardiology in Japan and Worldwide

# Japan Circulatory Society March 2004 Tokyo, Japan

日時：平成16年3月27日(土) 午後6:30～午後8:30  
会場：東京国際フォーラム 第15会場 (G-610 ガラス橋 6F)

### Course Directors

**Bram Zuckerman, MD**

*US Food and Drug Administration, Center for Devices and Radiological Health*

**Naoyuki Yasuda**

*Ministry of Health, Labour and Welfare, Pharmaceutical and Food Safety Bureau*

**Shigeru Saito, MD**

*Shonan Kamakura General Hospital*

**Mitchell W. Krucoff, MD**

*Duke Clinical Research Institute, Interventional Device Trials*

### Part I Regulatory Harmonization and Cardiology in Japan

Moderators: Bram Zuckerman, MD & Mitchell W. Krucoff, MD

- 1 Importance of Global Standards for Human Experimentation  
Presenter: Naoyuki Yasuda
- 2 Importance of Japanese Global Leadership in Trials  
Presenter: Shigeru Saito, MD
- 3 Importance of Harmonization and Japan: Industry Viewpoint  
Presenter: Michael Gropp, Guidant Corporation
- 4 Research Infrastructure in Japan  
Presenter: Kazuhiro Sase, MD, PhD, National Cardiovascular Center

### Part II General Issues

Moderators: Naoyuki Yasuda

- 1 From Physician to...  
Presenter: Mitchell W. Krucoff
- 2 Poolability of Data  
Presenter: Bram Zuckerman
- 3 Ethical Considerations  
Presenter: John Alpert
- 4 From Harmonization to...  
Presenter: Susan Alpert

共催：第68回日



**2004-2019:**  
**From “Japan-USA Barriers”**  
**to “Japan-USA Synergies”**



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招南 藤 全 監 査 官 氏  
藤 田 隆 夫 氏

ACC, CIT, PS, ned, CR

# December 2004: Kamakura Public Forum

## *Attention to the Patient's Perspective*



# Medical Technology Leadership Forum Washington D.C.

*April 2005*



Hiroshi Yamamoto  
MHLW

Dan Schultz  
U.S. FDA

Mitch Krucoff  
Duke/DCRI

*October 2007*



11TH CONFERENCE OF THE  
GLOBAL HARMONIZATION  
TASK FORCE

OCTOBER 3-4, 2007



**Tomiko Tawaragi**  
**MHLW**



Duke Clinical Research Institute  
DUKE UNIVERSITY MEDICAL CENTER

# HBD POC's

*Harmonized Regulatory Processes*

# Regulatory Convergence: Ethics, Methods and Science of Human Studies

REGULATORY MANAGER

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**RF**  
REGULATORY FOCUS



## Comparing GCP R Trials in the US an

By Harmonization-by-Doing W

### Introduction

The convergence of US and Japa  
device regulations and practices  
opportunity to accelerate deliver  
tive medical devices to patients i  
medical treatment. Reciprocal ac  
Good Clinical Practices (GCPs) w  
multinational studies and promo

## GCP Convergence Improves Transportability of Medical Device Clinical Data

By Harmonization-by-Doing Working Group 4

The safety, performance and effectiveness of medical devices are often evaluated by well-controlled clinical investigations before marketing authorization. The integrity of these clinical studies is ensured by compliance with voluntary standards or government regulations known as Good Clinical Practices (GCP's). Four GCP's are most applicable to US and Japanese marketing approvals: US Food and Drug Administration (FDA) regulations and guidance, Japanese GCP ordinances and notifications, ISO14155:2011 clinical investigation of Medical Devices for Human Subjects- Good Clinical Practice<sup>1</sup> and ICH E6 (R1) Guideline for Good Clinical Practice.<sup>2</sup>

Consistency among GCPs is very important to allow data from a clinical investigation conducted in one country to be used for regulatory marketing approval in another country (this is called data transportability). Consistency also may reduce the need for duplicative GCP audits of sponsors, IRBs and investigational sites by different authorities. However, the various GCPs are not identical, which in some cases may impede acceptance of foreign clinical investigation data. Both standards and regulations are evolving and recent revisions further affect consistency among GCPs and the transportability of clinical data obtained under them.

Regulatory Focus, April 2010

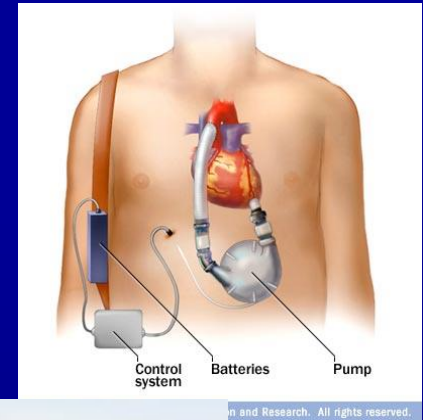
Regulatory Focus, January 2013



# HBD POC's

## *Post-market Surveillance Registries*

# Linking Post-Market Surveillance: LVADS



in and Research. All rights reserved.



## JACC

JOURNAL of the AMERICAN COLLEGE of CARDIOLOGY

J Am Coll Cardiol, 2010; 56:738-740, doi:10.1016/j.jacc.2010.05.021  
© 2010 by the American College of Cardiology Foundation

**INTERMACS (Interagency Registry for Mechanically Assisted Circulatory Support): A New Paradigm for Translating Registry Data Into Clinical Practice**

Marissa A. Miller, Karen Ulisney, and J. Timothy Baldwin

## JMACS

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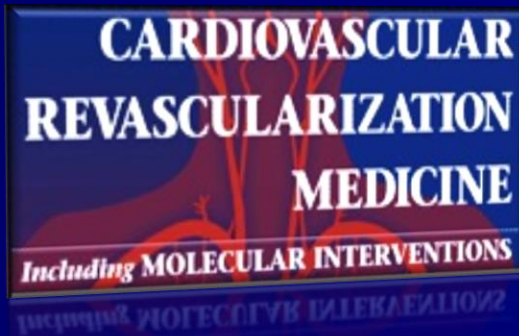




# HBD POC's

## *Global Clinical Trials*

# 2005: Endeavor Japan (Medtronic)



The clinical evaluation of the Endeavor zotarolimus-eluting coronary stent in Japanese patients with de novo native coronary artery lesions: primary results and 3-year follow-up of the Endeavor Japan study☆☆☆

Shigeru Saito , Ross Prpic, Jeffery J. Popma, John Alexander, Mitchell W. Krucoff, on behalf of the ENDEAVOR Japan Investigators

Cardiovascular Revascularization Medicine  
Volume 12, Issue 5, Pages 273–279, September–October, 2011

- Identical inclusion/exclusion
- Identical endpoints
- Identical core laboratories
- Enhanced poolability
- Enhanced interpretability



# 2007: SPIRIT III Japan (Abbott Vascular): *Enhanced poolability & interpretability*



## Mid-Term Results of Everolimus-Eluting Stent in a Japanese Population Compared With a US Randomized Cohort: SPIRIT III Japan Registry With Harmonization by Doing

Wednesday, 09/29/12 | 9993 reads

### Author(s):

Shigeru Saito, MD<sup>1</sup>, Shigeru Nakamura, MD<sup>2</sup>, Kenshi Fujii, MD<sup>3</sup>, Masato Nakamura, MD<sup>4</sup>, Takaaki Isshiki, MD<sup>5</sup>, Haruo Hirayama, MD<sup>6</sup>, Tadashi Kikuchi, MD, PhD<sup>7</sup>, Hiroshi Fujita, MD<sup>8</sup>, Hiroshi Nonogi, MD, PhD<sup>9</sup>, Kazuaki Mitsudo, MD<sup>10</sup>, Takeshi Kimura, MD<sup>11</sup>, Keiichi Igarashi, MD<sup>12</sup>, Kumiko Saito, MS, MPH<sup>13</sup>, Alexandra J. Lansky, MD<sup>14</sup>, Gregg W. Stone, MD<sup>14</sup>, Yasuhiro Honda, MD<sup>15</sup>, Katsuhisa Waseda, MD, PhD<sup>16</sup>, Peter J. Fitzgerald, MD, PhD<sup>15</sup>, Krishnankutty Sudhir, MD, PhD<sup>16</sup>

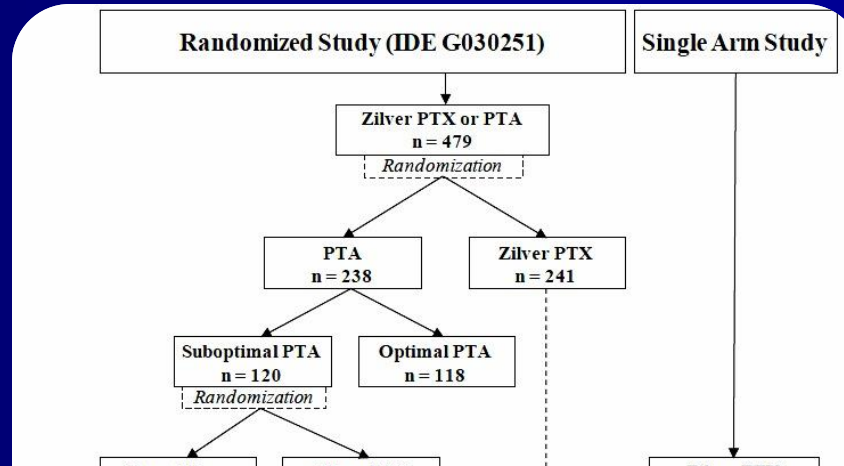
### Issue Number:

Volume 24 - Issue 9 - September 2012

- *Concomitant enrollment*
- Identical inclusion/exclusion
- Identical endpoints
- Identical core laboratories



# 2009: Zilver PTX (Cook Medical) Single protocol global RCT



## Zilver® PTX® Drug-Eluting Peripheral Stent - P100022

*This is a brief overview of information related to FDA's approval to market this product. See the links below to the Summary of Safety and Effectiveness Data (SSED) and product labeling for more complete information on this product, its indications for use, and the basis for FDA's approval.*

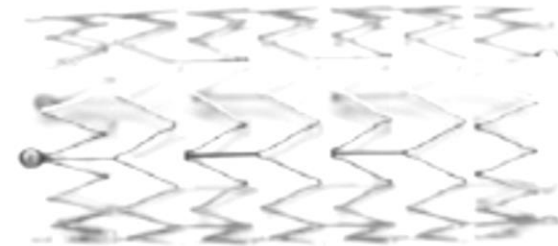
**Product Name:** Zilver® PTX Drug-Eluting Peripheral Stent

**PMA Applicant:** Cook, Inc.

**Address:** 750 Daniels Way, P.O. Box 489, Bloomington, IN 47402-0489

**Approval Date:** November 14, 2012

**Approval Letter:** [http://www.accessdata.fda.gov/cdrh\\_docs/pdf10/p100022a.pdf](http://www.accessdata.fda.gov/cdrh_docs/pdf10/p100022a.pdf)



# HARMONEE Study: Coronary DES Japan-USA RCT



ESC

European Society of Cardiology  
European Heart Journal (2018) 0, 1–9  
doi:10.1093/eurheartj/ehy275

CLINICAL RESEARCH  
Interventional cardiology

**Japan-United States of America Harmonized Assessment by Randomized Multicentre Study of OrbusNEich's Combo StEnt (Japan-USA HARMONEE) study: primary results of the pivotal registration study of combined endothelial progenitor cell capture and drug-eluting stent in patients with ischaemic coronary disease and non-ST-elevation acute coronary syndrome**

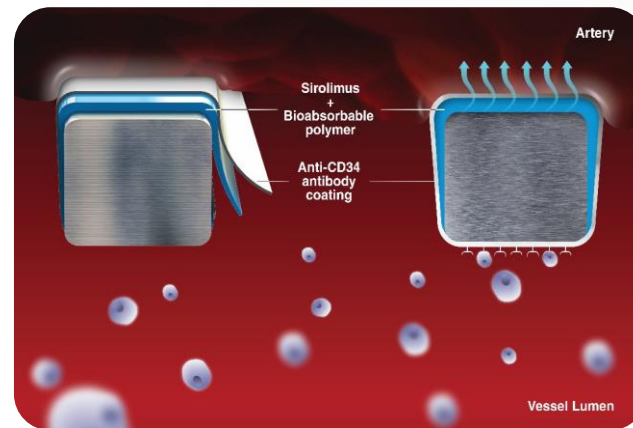
Shigeru Saito<sup>1</sup>, Mitchell W. Krucoff<sup>2\*</sup>, Shigeru Nakamura<sup>3</sup>, Roxana Mehran<sup>4</sup>, Akiko Maehara<sup>5</sup>, Hussein R. Al-Khalidi<sup>2</sup>, Stephen M. Rowland<sup>6</sup>, Gudaye Tasissa<sup>2</sup>, Debbie Morrell<sup>6</sup>, Diane Joseph<sup>2</sup>, Yumiko Okaniwa<sup>7</sup>, Yoshisato Shibata<sup>8</sup>, Barry D. Bertolet<sup>9</sup>, Mark D. Rothenberg<sup>10</sup>, Philippe G n reux<sup>11</sup>, Hiram Bezerra<sup>12</sup>, and David F. Kong<sup>2</sup>

Shigeru Saito<sup>1</sup>, Mitchell W. Krucoff<sup>2\*</sup>, Shigeru Nakamura<sup>3</sup>, Roxana Mehran<sup>4</sup>, Akiko Maehara<sup>5</sup>, Hussein R. Al-Khalidi<sup>2</sup>, Stephen M. Rowland<sup>6</sup>, Gudaye Tasissa<sup>2</sup>, Debbie Morrell<sup>6</sup>, Diane Joseph<sup>2</sup>, Yumiko Okaniwa<sup>7</sup>, Yoshisato Shibata<sup>8</sup>, Barry D. Bertolet<sup>9</sup>, Mark D. Rothenberg<sup>10</sup>, Philippe G n reux<sup>11</sup>, Hiram Bezerra<sup>12</sup>, and David F. Kong<sup>2</sup>



**Rationale and design of the Japan-USA harmonized assessment by randomized, multicenter study of OrbusNEich's combo StEnt (Japan-USA HARMONEE): Assessment of a novel DES platform for percutaneous coronary revascularization in patients with ischemic coronary disease and non-ST-elevation acute coronary syndrome**

David F. Kong, MD, Shigeru Saito, MD, Shigeru Nakamura, MD, Roxana Mehran, MD, Stephen M. Rowland, PhD, Alison Handler, MHS, Hussein R. Al-Khalidi, PhD, and Mitchell W. Krucoff, MD *Durham, NC; Kamakura, Sapporo, Kyoto, Japan; New York, NY; and Fort Lauderdale, FL*



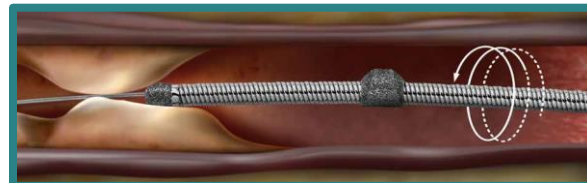
## The COMBO Plus Dual Therapy Stent

Kong DF et al Am Heart J 2017;187:112-121

Saito S, Krucoff MW et al. European Heart Journal (2018) 0, 1–9 doi:10.1093/eurheartj/ehy275



# COAST Study (CSI)



Diamondback 360® Coronary OAS Micro Crown

- To evaluate the performance of the Coronary OAS Micro Crown in treating *de novo*, severely calcified coronary lesions
  - Prospective, single-arm, multi-center Investigational Device Exemption (IDE) study conducted in Japan and the USA
  - Harmonization by Doing (regulatory collaboration between Japan and the USA)

100 patients enrolled

USA

74 patients  
12 sites

Japan

26 patients  
5 sites

1-year follow-up completed\* (93/100)

\*6 subjects died and 1 subject lost to follow-up



# HBD POC's

*Present Into Future Directions*

# HBD

## *“Harmonization By Data”:*

- *Consensus definitions*
- *Minimum core data sets*
- *Data quality*
- *Data structure & interoperability*





# Peripheral ARC (PARC)

## THE PRESENT AND FUTURE

### STATE-OF-THE-ART REVIEW

# Evaluation and Treatment of Patients With Lower Extremity Peripheral Artery Disease



## Consensus Definitions From Peripheral Academic Research Consortium (PARC)

Manesh R. Patel, MD,\* Michael S. Conte, MD,† Donald E. Cutlip, MD,‡§ Nabil Dib, MD,|| Patrick Geraghty, MD,¶ William Gray, MD,\*\*\* William R. Hiatt, MD,†† Mami Ho, MD, PhD,‡ Koji Ikeda, PhD,§§ Fumiaki Ikeno, MD,||| Michael R. Jaff, DO,¶¶ W. Schuyler Jones, MD,† Masayuki Kawahara, MD,‡ Robert A. Lookstein, MD,## Roxana Mehran, MD,# ## Sanjay Misra, MD,\*\*\* Lars Norgren, MD,††† Jeffrey W. Olin, MD,## Thomas J. Povsic, MD, PhD,\* Kenneth Rosenfield, MD,††† John Rundback, MD,§§§ Fadi Shamoun, MD,|||| James Tcheng, MD,\* Thomas T. Tsai, MD,¶¶¶ Yuka Suzuki, PhD,### Pascal Vranckx, MD,\*\*\*\* Bret N. Wiechmann, MD,†††† Christopher J. White, MD,†††† Hiroyoshi Yokoi, MD,§§§ Mitchell W. Krucoff, MD\*

### ABSTRACT

The lack of consistent definitions and nomenclature across clinical trials of novel devices, drugs, or biologics poses a significant barrier to accrual of knowledge in and across peripheral artery disease therapies and technologies. Recognizing this problem, the Peripheral Academic Research Consortium, together with the U.S. Food and Drug Administration



# Defining High Bleeding Risk in Patients Undergoing Percutaneous Coronary Intervention

## A Consensus Document From the Academic Research Consortium for High Bleeding Risk

**ABSTRACT:** Identification and management of patients at risk undergoing percutaneous coronary intervention are of importance, but a lack of standardization in defining this limits trial design, data interpretation, and clinical decision. The Academic Research Consortium for High Bleeding Risk is a collaboration among leading research organizations, regulatory authorities, and physician-scientists from the United States, Europe focusing on percutaneous coronary intervention. Two meetings of the 31-member consortium were held in DC, in April 2018 and in Paris, France, in October 2018. They were organized by the Cardiovascular European Research Consortium on behalf of the ARC-HBR group and included representative members from the US Food and Drug Administration and the Japanese Pharmacological and Medical Devices Agency, as well as observers from the pharmaceutical and medical device industries. A consensus definition of percutaneous coronary intervention high bleeding risk was developed that was based on review of evidence. The definition is intended to provide consistency in patient selection for clinical trials and to complement clinical decision-making and regulatory review. The proposed ARC-HBR consensus represents the first pragmatic approach to a consistent definition of high bleeding risk in clinical trials evaluating the safety and efficacy of devices and drug regimens for patients undergoing percutaneous coronary intervention.



ESC

European Society of Cardiology

European Heart Journal (2019) 0, 1–22  
doi:10.1093/eurheartj/ehz372

CURRENT OPINION

## Defining high bleeding risk in patients undergoing percutaneous coronary intervention: a consensus document from the Academic Research Consortium for High Bleeding Risk

Philip Urban<sup>1,2\*</sup>, Roxana Mehran<sup>3</sup>, Roisin Colleran<sup>4</sup>, Dominick J. Angiolillo<sup>5</sup>, Robert A. Byrne<sup>4</sup>, Davide Capodanno<sup>6,7</sup>, Thomas Cuisset<sup>8</sup>, Donald Cutlip<sup>9</sup>, Pedro Eerdmans<sup>10</sup>, John Eikelboom<sup>11</sup>, Andrew Farb<sup>12</sup>, C. Michael Gibson<sup>13,14</sup>, John Gregson<sup>15</sup>, Michael Haude<sup>16</sup>, Stefan K. James<sup>17</sup>, Hyo-Soo Kim<sup>18</sup>, Takeshi Kimura<sup>19</sup>, Akihiko Konishi<sup>20</sup>, John Laschinger<sup>12</sup>, Martin B. Leon<sup>21,22</sup>, P.F. Adrian Magee<sup>14</sup>, Yoshiaki Mitsutake<sup>20</sup>, Darren Mylotte<sup>23</sup>, Stuart Pocock<sup>15</sup>, Matthew J. Price<sup>24</sup>, Sunil V. Rao<sup>25</sup>, Ernest Spitzer<sup>26,27</sup>, Norman Stockbridge<sup>12</sup>, Marco Valgimigli<sup>28</sup>, Olivier Varenne<sup>29,30</sup>, Ute Windhoevel<sup>2</sup>, Robert W. Yeh<sup>31</sup>, Mitchell W. Krucoff<sup>25,32</sup>, Marie-Claude Morice<sup>2</sup>



# Real World Evidence: Data Structure, Quality & Capture Coordinated Registry Networks (CRNs) & NEST

Opinion

## VIEWPOINT

### Need for a National Evaluation System for Health Technology

**Jeffrey Shuren, MD, JD**  
US Food and Drug Administration, Silver Spring, Maryland.

**Robert M. Califf, MD**  
US Food and Drug Administration, Silver Spring, Maryland.

**Federal regulatory frameworks** governing medical products are designed to (1) provide evidence that a product benefits patients when used as intended and should be available despite accompanying risks and (2) ensure timely access to needed therapies and diagnostics. Historically, policy makers and product developers have viewed these objectives as being in tension. However, ensuring safety, expediting patient access, and enabling innovation can be complementary goals within a regulatory framework for medical devices.

The US standard for marketing a medical device is "reasonable assurance of safety and effectiveness" (RASE).<sup>1</sup> Generally, clinical studies must be conducted to demonstrate RASE for both high-risk and innovative lower-risk devices and US patients and clinicians have greater assurances that the benefits of devices outweigh the potential risks. In contrast, other countries apply a standard of safety and performance with limited

allow reliable risk estimation. Safety issues are therefore often not identified until many patients have been exposed to risks, leading to greater potential for avoidable harm as well as greater liability and loss of consumer confidence in the manufacturer. Spontaneous reporting is not systematic and can be biased by extraneous factors such as news reports. Other safety issues also depend on companies appropriately assimilating and reporting data.

However, a strategic approach to linking and using clinically based data sources, such as registries, electronic health records (EHRs), and claims data, could potentially reduce the burdens of obtaining appropriate evidence across the life cycle of a device. By leveraging clinical data and applying advanced analytics and flexible regulatory approaches tailored to the unique data needs and innovation cycles of specific device types, a more comprehensive and accurate framework could be created for assessing the risks and benefits of devices.

## VIEWPOINT

### Bridging Unmet Medical Device Ecosystem Needs With Strategically Coordinated Registries Networks

**Mitchell W. Krucoff, MD**  
Division of Cardiology, Department of Medicine, Duke University Medical Center, Durham, North Carolina.

**Art Sedrakyan, MD, PhD**  
MDEpiNet Science and Infrastructure Center, Weill Cornell Medical College, New York, New York.

**Sharon-Lise T. Normand, PhD**  
Harvard T. H. Chan School of Public Health, Boston, Massachusetts; and MDEpiNet Methodology Center, Harvard Medical School, Boston, Massachusetts.

**In June 2014**, the Medical Device Epidemiology Network (MDEpiNet) Public Private Partnership,<sup>1</sup> on behalf of the US Food and Drug Administration Center for Devices and Radiologic Health (CDRH), convened the Medical Device Registries Task Force (MDRTF) (see eAppendix in the Supplement). The task force was launched to address the CDRH's commitments<sup>2,3</sup> to strengthen the medical device postmarket surveillance system using existing resources and under current authorities and to develop an integrated system that efficiently and effectively achieves its basic functions, from timely identification of postmarket signals to facilitating premarket device clearance and approval.

The MDRTF included broad stakeholder representation and was mandated to examine the objectives and logistics of leveraging existing electronic registries and information repositories in support of a national system. This work was done in parallel with efforts at the Engelberg Center at the Brookings Institution, which in 2015 reported recommendations from their planning board for a "national medical device surveillance system." These recommendations depicted a system that "supports optimal patient care by leveraging the experiences of patients to inform decisions about medical device safety

The MDRTF recognized that most existing registries, electronic health records (EHRs), and data sources do not contain all the elements necessary for device evaluations, including device and procedural details, patient descriptors, or long-term outcomes. However, the MDRTF recognized that such limitations could be mitigated through interoperability solutions that strategically link complementary registries and data sources to produce networks for which the data composite could support robust device evaluation. The MDRTF termed this structure the *strategically coordinated registries network*, or CRN—with the recognition that many key elements in such networks (such as EHRs, administrative claims data, or mobile device outputs) are not registries per se. The MDRTF recommends strategic CRNs as the foundational architectural construct for the national system that will augment national registry development and unique device identifier implementation rather than replace them.

The proposed CRN structure could provide novel, important attributes to the national system. Creation of CRNs could encourage efficient "dual-purpose" leveraging of existing registries, EHRs, administrative data resources, and lessons learned from existing linked-registry models such as the Transcatheter Value Therapy registry administra-

JAMA Published online July 11, 2016

Krucoff MW, Normand SL et al, JAMA 2015



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DUKE UNIVERSITY MEDICAL CENTER

## National Evaluation System for health Technology Coordinating Center (NESTcc) Selects Medical Device Real-World Evidence Demonstration Projects

February 2, 2018

Projects support NESTcc's mission to establish fu

**Arlington, VA** – (January 29, 2018) – The **National Ev** selected eleven **demonstration projects** for their pote real-world evidence (RWE) generation across the medic explores diverse aspects of evidence generation metho healthcare systems, device types, and manufacturers.

### Projects mainly focused on Pre-Market requirements:

- Lung-RADS Assist: Artificial Intelligence Model Verification, Reporting, and Monitoring
- Registry Assessment of Peripheral Interventional Devices (RAPID) - Superficial femoral and Popliteal Evidence Development (SPEED) as first device evaluation project
- SAFE STEMI for Seniors: An International CRN-based Prospective Randomized IDE Study of Labeling for Diagnostic and Therapeutic Devices Used in Seniors Suffering Heart Attack

### Projects mainly focused on Post-Market requirements (Including Post-Approval Studies):

- Developing and Implementing Sustainable Real-World Evidence Infrastructure for in vitro Diagnostics (IVDs) Through Systemic Harmonization and Interoperability for Enhancement of Laboratory Data (SHIELD)
- Electrophysiology Predictable and Sustainable Implementation of National Registries (EP PASSION)
- Feasibility Study to Evaluate the use of mHealth as Data Source in Post-Market Surveillance
- Post-Market Medical Device Surveillance with a Novel mHealth Platform
- Use of EHR-Based Data Network to Support Evidence Generation Across the Total Product Life Cycle (TPLC)
- Use of linked implantable device/Medicare data to assess association between device diagnostics and patient outcomes

### Projects mainly focused on Surveillance:

- ICD Registry DELTA Active Surveillance Pilot Study
- Vascular Implant Surveillance and Interventional Outcomes Network (VISION)

<https://nestcc.org/demo-announcement/>



# Registry Assessment of Peripheral Interventional Devices (*RAPID*): *Core Minimum Data Set* for Device Evaluation

Jack L. Cronenwett, M.D.  
Dartmouth-Hitchcock Medical Center

Pablo Morales, M.D.  
U.S. FDA

Robert Thatcher  
CEO 4C Medical

Mitchell W. Krucoff, M.D.  
Duke University Medical Center/Duke Clinical Research Institute



# RAPID Minimum Core Elements for PAD Devices: Trans-Pacific Co-publication



Circ J 2018; 82: 316–322  
doi:10.1253/circj.CJ-17-1156

REVIEW

## Registry Assessment of Peripheral Interventional Devices (RAPID)

— Registry Assessment of Peripheral Interventional Devices Core Data Elements —

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**Background:** The current state of evaluating patients with peripheral artery disease and more specifically of evaluating medical devices used for peripheral vascular intervention (PVI) remains challenging because of the heterogeneity of the disease process, the multiple physician specialties that perform PVI, the multitude of devices available to treat peripheral artery disease, and the lack of consensus about the best treatment approaches. Because PVI core data elements are not standardized across clinical care, clinical trials, and registries, aggregation of data across different data sources and physician specialties is currently not feasible.

Jones WS, Krucoff MW et al, Circ J 2018; 82:316-22

SPECIAL COMMUNICATIONS

## Registry Assessment of Peripheral Interventional Devices (RAPID): Registry assessment of peripheral interventional devices core data elements



W. Schuyler Jones, MD,<sup>a</sup> Mitchell W. Krucoff, MD,<sup>a</sup> Pablo Morales, MD,<sup>b</sup> Rebecca W. Wilgus, RN, MSN,<sup>a</sup> Anne H. Heath, BA,<sup>a</sup> Mary F. Williams, BS,<sup>a</sup> James E. Tchong, MD,<sup>a</sup> J. Danica Marinac-Dabic, MD, PhD,<sup>b</sup> Misti L. Malone, PhD,<sup>b</sup> Terrie L. Reed, MS,<sup>b</sup> Rie Fukaya, MMedSc,<sup>c</sup> Robert A. Lookstein, MD,<sup>d</sup> Nobuhiro Handa, MD,<sup>e</sup> Herbert D. Aronow, MD, MPH,<sup>e</sup> Daniel J. Bertges, MD,<sup>f</sup> Michael R. Jaff, DO,<sup>g</sup> Thomas T. Tsai, MD, MSc,<sup>h</sup> Joshua A. Smale, BS,<sup>i</sup> Margo J. Zaugg, BSN,<sup>j</sup> Robert J. Thatcher, MBA,<sup>k</sup> and Jack L. Cronenwett, MD,<sup>l</sup> Durham, NC; Silver Spring, Md; Tokyo, Japan; New York, NY; Providence, RI; Burlington, Vt; Newton, Mass; Denver, Colo; Tempe, Ariz; Santa Clara, Calif; Minneapolis, Minn; and Lebanon, NH

### ABSTRACT


**Objective:** The current state of evaluating patients with peripheral artery disease and more specifically of evaluating medical devices used for peripheral vascular intervention (PVI) remains challenging because of the heterogeneity of the disease process, the multiple physician specialties that perform PVI, the multitude of devices available to treat peripheral artery disease, and the lack of consensus about the best treatment approaches. Because PVI core data elements are not standardized across clinical care, clinical trials, and registries, aggregation of data across different data sources and physician specialties is currently not feasible.

Jones WS, Krucoff MW et al, J Vasc Surg 2018; 67:637-45



# Late Mortality Safety Signal Discernment for Paclitaxel Delivery Devices in PAD

An official website of the United States government [Here's how you know](#) ✓

 **U.S. FOOD & DRUG ADMINISTRATION** Q Search

← Home / Medical Devices / Medical Device Safety / Letters to Health Care Providers / August 7, 2019 UPDATE: Treatment of Peripheral Arterial Disease with Paclitaxel-Coated Balloons and Paclitaxel-Eluting Stents Potentially Associated with Increased Mortality

## August 7, 2019 UPDATE: Treatment of Peripheral Arterial Disease with Paclitaxel-Coated Balloons and Paclitaxel-Eluting Stents Potentially Associated with Increased Mortality

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**Letters to Health Care Providers**

August 7, 2019

Earlier this year, we notified health care providers about a late mortality signal in patients treated for peripheral artery disease (PAD) in the femoropopliteal artery with paclitaxel-coated balloons and paclitaxel-eluting stents. We are issuing this update to provide the latest information on our analysis of long-term follow-up data from premarket trials and to provide summary information from our June 2019 advisory panel meeting. In addition, we are including recommendations to health care providers for assessing and treating patients with PAD using paclitaxel-coated devices.

This communication updates our [January 17](#) and [March 15, 2019](#), notifications to health care providers.

Content current as of:  
08/07/2019

<https://www.fda.gov/medical-devices/letters-health-care-providers/august-7-2019-update-treatment-peripheral-arterial-disease-paclitaxel-coated-balloons-and-paclitaxel>



# RAPID PTX Pathways Program

## *Working Groups*

- **Lean CRF**
- **SMART CRF**
- **Patient Preference Science**
- **Signal Discernment  
Biostatistics & Epi**
- **Independent Programs &  
Projects**

## *Partner Collaborations*

- **FDA PTX Team**
- **Industry collaborative**
- **Professional societies  
collaborative**

# The Randomized Registry Trial — The Next Disruptive Technology in Clinical Research?

Michael S. Lauer, M.D., and Ralph B. D'Agostino, Sr., Ph.D.



The NEW ENGLAND JOURNAL of MEDICINE  
September 2013

## Embedding a randomized clinical trial into an ongoing registry infrastructure: Unique opportunities for efficiency in design of the Study of Access site For Enhancement of Percutaneous Coronary Intervention for Women (SAFE-PCI for Women)

Connie N. Hess, MD, MHS,<sup>a</sup> Sunil V. Rao, MD,<sup>a</sup> David F. Kong, MD,<sup>a</sup> Laura H. Aberle, BSPH,<sup>a</sup> Kevin J. Anstrom, PhD,<sup>a</sup> C. Michael Gibson, MD,<sup>b</sup> Ian C. Gilchrist, MD,<sup>c</sup> Alice K. Jacobs, MD,<sup>d</sup> Sanjit S. Jolly, MD,<sup>e</sup> Roxana Mehran, MD,<sup>f</sup> John C. Messenger, MD,<sup>g</sup> L. Kristin Newby, MD, MHS,<sup>a</sup> Ron Waksman, MD,<sup>h</sup> and Mitchell W. Krucoff, MD<sup>a</sup> *Durham, NC; Boston, MA; Hershey, PA; Ontario, Canada; New York, NY; Denver, CO; and Washington, DC*

Hess C et al, Am Heart J 2013

Rao S et al JACC Cardiovascular Int 7(8)2014

## A Registry-Based Randomized Trial Comparing Radial and Femoral Approaches in Women Undergoing Percutaneous Coronary Intervention

The SAFE-PCI for Women (Study of Access Site for Enhancement of PCI for Women) Trial

Sunil V. Rao, MD,\* Connie N. Hess, MD, MHS,\* Britt Barham, BA,\* Laura H. Aberle, BSPH,\* Kevin J. Anstrom, PhD,\* Tejan B. Patel, MD,† Jesse P. Jorgensen, MD,‡ Ernest L. Mazzaferri Jr., MD,§ Sanjit S. Jolly, MD,|| Alice Jacobs, MD,¶ L. Kristin Newby, MD,\* C. Michael Gibson, MD,# David F. Kong, MD,\* Roxana Mehran, MD,\*\* Ron Waksman, MD,†† Ian C. Gilchrist, MD,‡‡ Brian J. McCourt,\* John C. Messenger, MD,§§ Eric D. Peterson, MD, MPH,\* Robert A. Harrington, MD,|||| Mitchell W. Krucoff, MD\*



# IMDRF Essential Principles for Device Evidence: Registry Infrastructure and Analytic Methodologies



<http://www.imdrf.org/docs/imdrf/final/technical/imdrf-tech-180327-usability-tools-n46.pdf>



<http://www.imdrf.org/docs/imdrf/final/technical/imdrf-tech-170316-methodological-principles.pdf>





## EuroHeart: European Unified Registries On Heart Care Evaluation and Randomized Trials

**An ESC project to develop a new IT registry system which will encompass multiple features of cardiovascular medicine**

In July 2019, the ESC Board agreed to co-ordinate and sponsor the development of the European Unified Registries On Heart care Evaluation And Randomized Trials (EuroHeart) for supporting assessment and improvement of quality of cardiovascular care in Europe based on continuous recording of individual patient data. EuroHeart will offer a common IT- and dataset infrastructure, which will allow participating countries to undertake continuous quality improvement, with the added value of providing a platform for observational and randomized research and post-marketing surveillance of new devices and pharmacotherapies. The national quality development programme, infrastructure, and the database will belong to each participating country. The EuroHeart will have the potential to stepwise include most ESC countries, which could either adopt the proposed EuroHeart common infrastructure or align already existing systems to the EuroHeart

Practice Guidelines. The EORP provides important information on the development of the treatment of cardiovascular disease in Europe but has been criticized concerning data quality, representativeness and coverage regarding common diseases. In 2018, it was agreed that there was a need to further develop ESC-generated observational data and simultaneously expand the scope of the registry programme to also include a quality development programme, long-term monitoring of medical devices and pragmatic RCTs and under instruction of the ESC Board, the principles and pilot phase of European Unified Registries On Heart care Evaluation And Randomized Trials (EuroHeart) project were developed in 2019.

This article presents a summary of the EuroHeart programme.

### Improving the quality of observational data



# Cardiogenic Shock Devices

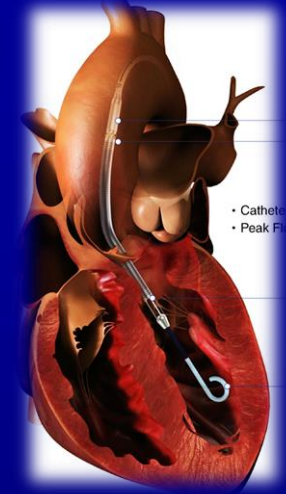
Cardiac Safety Research Consortium

## Clinical and regulatory landscape for cardiogenic shock: A report from the Cardiac Safety Research Consortium ThinkTank on cardiogenic shock<sup>☆</sup>

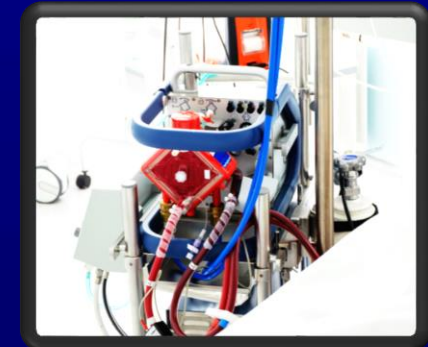
Marc Samsky, MD,<sup>a</sup> Mitchell Krucoff, MD,<sup>b</sup> Andrew D. Althouse, PhD,<sup>c</sup> William T. Abraham, MD,<sup>d</sup> Philip Adamson, MD, MSc,<sup>e</sup> Fernando Aguel,<sup>f</sup> Seth Bilazarian,<sup>g</sup> George D. Dangas, MD,<sup>h</sup> Ian C Gilchrist, MD,<sup>i</sup> Timothy D. Henry, MD, FACC, MSCAI,<sup>j</sup> Judith S. Hochman, MD,<sup>k</sup> Navin K. Kapur, MD, FAHA,<sup>l</sup> John Laschinger, FACC,<sup>m</sup> Roy G. Masters, MD, FRCSC,<sup>n</sup> Eric Michelson, MD,<sup>o</sup> David A. Morrow, MD, MPH,<sup>p</sup> Valarie Morrow, MD,<sup>q</sup> E. Magnus Ohman, MD,<sup>r</sup> Ileana Pina, MD, MPH,<sup>s</sup> Mustafa C. Razaieff, MD,<sup>t</sup> Joseph R. Teitelbaum, MD,<sup>u</sup> Frank J. Sponholz, MD,<sup>v</sup> Norman Stockbridge, MD,<sup>w</sup> and Sunil Rao, MD<sup>x</sup>

Cardiogenic shock (CS) is a leading cause associated with acute myocardial infarction. Improved prehospital emergency care and a transition from initially systemic lytics to mechanical circulatory support (MCS) are needed to improve outcomes.

**Shock III at CRT  
Saturday Feb 22, 2020  
Washington D.C.**



• Catheter  
• Peak Flow



Samsky M, Krucoff M et al Am Heart J November 2019



Duke Clinical Research Institute  
DUKE UNIVERSITY MEDICAL CENTER



# **HB *Doing***

## ***Early Feasibility Studies (EFS)***

# Early Feasibility Studies: Can We Do Together? 2013-2017-2019

**Investigational Device Exemptions  
(IDEs) for Early Feasibility  
Medical Device Clinical Studies,  
Including Certain First in Human  
(FIH) Studies**

**Guidance for Industry and Food  
and Drug Administration Staff**

Document issued on: October 1, 2013

**EFS in Japan: PMDA View**

*Sara Takahashi*

*Reviewer*

*Office of Medical Devices III*

*Pharmaceuticals and Medical Devices Agency (PMDA), Japan*



## Percutaneous Mitral Valve EFS POC



# ***HB Doing***

## ***HBD for Children***



# Introduction and achievement of HBD-for-Children

**Yasuko Nakamura**

*Reviewer, Office of Medical Devices III*

*Pharmaceuticals and Medical Devices Agency (PMDA)*



Japan-US HBD East 2017 Think Tank Meeting



## **HBD-for-Children Progress and Challenges**

**Satoshi Yasukochi, MD**  
*Nagano Children's Hospital  
JSPCCS vice-president*

December 7<sup>th</sup>, 2017

National Center for Global Health and Medicine (NCGM)



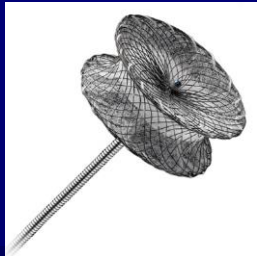
tct2017

1



tct2017

# POC candidates

	Covered CP Stent	Medtronic Melody Transcatheter Pulmonary Valve	AMPLATZER muscular VSD occluder
industry	NuMED	Medtronic	ST. JUDE MEDICAL
			



# Future directions

- International approaches to safety signal discernment PTX in PAD
- Real World Evidence
- HBD for Children
- Early Feasibility Studies: mitral valve
- High Bleeding Risk PCI patients
- Multi-national collaboration: Inter<sup>2</sup>Nest
- Vascular shunts
- Billing data for event ascertainment ?
- Cardiogenic shock ?

# HBD

*Together We Have Made a Pretty Big Splash!*

