# 1

# **ELEMENTAL IMPURITIES—PROCEDURES**

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# 3 INTRODUCTION

- 4 This chapter describes two analytical procedures (Procedures 1 and 2) and validation criteria for the
- 5 evaluation of the levels of elemental impurities. The chapter permits the use of any procedure that meets the
- 6 validation criteria specified in this chapter. As the chemical composition of the considered substances and
- 7 the specification limits for the element(s) of interest vary considerably, it is difficult to describe all suitable
- 8 sample preparation and measurement methods. By means of validation studies, analysts will confirm
- 9 that the analytical procedure is suitable for use on specified material. It is not necessary to verify
- 10 whether or not the same result can be obtained from the corresponding analyses for the same
- 11 sample against either procedure 1 or 2.
- 12 As elemental impurities may be ubiquitous they have the potential to be present in trace amounts
- 13 therefore special precautions may be necessary to avoid sample contamination.

### 14 Sample Preparation

- 15 Forms of sample preparation include *Neat*, *Direct aqueous solution*, *Direct organic solution*, and
- 16 *Indirect solution.* The selection of the appropriate sample preparation depends on the material under
- 17 test and is the responsibility of the analyst. When a sample preparation is not indicated in the
- 18 monograph, an analyst may use any appropriately validated sample preparation procedure,
- 19 including but not limited to procedures described below. In cases where spiking of a material under
- 20 test is necessary to provide an acceptable signal intensity, the blank should be spiked with the same
- 21 *Target elements*, and where possible, using the same spiking solution. The material or mixture under
- test must be spiked before any sample preparation steps are performed. Standard solutions may
- 23 contain multiple *Target elements*. [Note: if intended for a quantitative test, appropriate material
- handling procedures should be followed e.g. volatile liquids should be pipetted, viscous liquids
- should be weighed.]
- 26 **Neat:** Used for liquids or analytical procedures that allow the examination of unsolvated samples.
- 27 **Direct aqueous solution:** Used when the sample is soluble in an aqueous solvent.
- 28 **Direct organic solution:** Used when the sample is soluble in an organic solvent.
- 29 **Indirect solution:** Generally, an indirect solution is obtained when a material is not directly
- 30 soluble in aqueous or organic solvents. Total digestion is the preferred sample preparation approach
- 31 to obtain an *indirect solution*. Digest the sample using the *Closed vessel digestion* procedure
- 32 provided below or one similar to it.
- 33 **Closed vessel digestion:** This sample preparation procedure is designed for samples that must
- 34 be digested in a *Concentrated acid* using a closed vessel digestion apparatus. *Closed vessel*
- 35 *digestion* minimizes the loss of volatile impurities. The choice of a *Concentrated acid* depends on the
- 36 sample matrix. The use of any of the *Concentrated acids* may be appropriate, but each introduces
- 37 inherent safety risks. Therefore, appropriate safety precautions should be used at all times. [Note-

- Weights and volumes provided may be adjusted to meet the requirements of the digestion apparatusused.]
- 40 An example procedure that has been shown to have broad applicability is the following. Dehydrate
- 41 and predigest 0.5 g of material under test in 5 mL of freshly prepared *Concentrated acid*. Allow to sit
- 42 loosely covered for 30 minutes in a fume hood. Add an additional 10 mL of *Concentrated acid*, and
- 43 digest, using a closed vessel technique, until digestion or extraction results in a clear solution.
- 44 Repeat, if necessary, by adding an additional 5 mL of *Concentrated acid*. [Note—Where closed
- 45 vessel digestion is necessary, follow the manufacturer's recommended procedures to ensure safe46 use.1
- 47 Clear solutions are expected in the validation. In those cases where a clear solution cannot be
- 48 obtained, appropriate studies should ensure that the recovery is suitable for the intended use.
- 49 **Reagents:** All reagents used for the preparation of sample and standard solutions should be 50 sufficiently pure for the intended purpose.

# 51 ANALYTICAL PROCEDURES 1 AND 2

52 System standardization and suitability evaluation using applicable reference materials should be 53 performed for each analytical sequence.

# 54 **Procedure and Detection Technique**

- 55 *Procedure 1* can be used for elemental impurities generally amenable to detection by inductively
- 56 coupled plasma-atomic (optical) emission spectroscopy (ICP-AES or ICP-OES). *Procedure 2* can
- 57 be used for elemental impurities generally amenable to detection by inductively coupled plasma-
- 58 mass spectrometry (ICP–MS). Before initial use, the analyst should verify that the procedure is
- 59 appropriate for the instrument and sample used (procedural verification) by meeting the procedure
- 60 validation requirements below.

# 61 **Procedure 1: ICP–OES**

- 62 **Standard solution 1:** 1.5*J* of the *Target element(s)* in a *Matrix matched solution*
- 63 **Standard solution 2:** 0.5*J* of the *Target element(s)* in a *Matrix matched solution*
- 64 **Sample stock solution:** Proceed as directed in *Sample Preparation* above. Allow the sample to 65 cool, if necessary. For mercury determination, add an appropriate stabilizer.
- 66 **Sample solution:** Dilute the *Sample stock solution* with an appropriate solvent to obtain a final 67 concentration of the *Target element(s)* within the calibrated range.
- 68 Blank: Matrix matched solution
- 69 Elemental spectrometric system
- 70 Mode: ICP
- 71 **Detector:** Optical detection system
- 72 **Rinse:** Diluent used
- 73 **Standardization:** *Standard solution 1, Standard solution 2, and Blank*

- 74 **System suitability Sample:** Standard solution of the *Target element(s)* in a *Matrix matched solution*
- at a concentration within the calibrated range
- 76 Suitability requirements
- Short term Instrumental Stability: Compare results obtained from System suitability sample
  before and after the analysis of the Sample solution.
- 79 Suitability criteria: NMT 20% deviation from the theoretical concentration of the system suitability
- 80 sample. [NOTE—If samples are high in mineral content, rinse the system well in order to minimize
- carryover and check it by measuring a blank solution before introducing the System Suitability
   Sample.]
- 83 **Analysis:** Analyze according to the manufacturer's suggestions for program and wavelength.
- 84 Calculate and report results on the basis of the original sample size. [NOTE—Appropriate measures
- 85 must be taken to correct for matrix-induced interferences (e.g., wavelength overlaps).]
- 86 Procedure 2: ICP–MS
- 87 **Standard solution 1:** 1.5*J* of the *Target element(s)* in a *Matrix matched solution*
- 88 **Standard solution 2:** 0.5*J* of the *Target element(s)* in a *Matrix matched solution*
- 89 **Sample stock solution:** Proceed as directed for *Sample Preparation* above. Allow the sample to
- 90 cool, if necessary. For mercury determination, add an appropriate stabilizer.
- 91 **Sample solution:** Dilute the *Sample stock solution* with an appropriate solvent to obtain a final
- 92 concentration of the *Target element(s)* within the calibrated range
- 93 Blank: Matrix matched solution

# 94 Elemental spectrometric system

- 95 **Mode:** ICP. [NOTE—An instrument with a cooled spray chamber is recommended. (A collision cell 96 or reaction cell may also be beneficial.)]
- 97 **Detector:** Mass spectrometer
- 98 **Rinse:** Diluent used
- 99 Standardization: Standard solution 1, Standard solution 2, and Blank
- 100 **System suitability Sample:** Standard solution of the *Target element(s)* in a *Matrix matched*
- 101 solution at a concentration within the calibrated range
- 102 Suitability requirements
- 103 Short term Instrumental Stability: Compare results obtained from system suitability sample
- 104 before and after the analysis of the *Sample solution*.
- 105 Suitability criteria: NMT 20% deviation from the theoretical concentration of the system suitability
- 106 sample. [NOTE—If samples are high in mineral content, rinse the system well in order to minimize
- 107 carryover and check it by measuring a blank solution before introducing the System suitability
- 108 sample.]

- 109 Analysis: Analyze according to the manufacturer's suggestions for program and *m*/*z*. Calculate
- 110  $\,$  and report results based on the original sample size. [Note—Appropriate measures must be taken
- 111 to correct for matrix-induced interferences (e.g., argon chloride interference with arsenic
- 112 determinations).]

# 113 REQUIREMENTS FOR PROCEDURE VALIDATION

All procedures must be validated and shown to be acceptable, in accordance with the validation

- 115 requirements described below. The level of validation necessary to ensure that a procedure is
- 116 acceptable depends on whether a limit test or a quantitative determination is used. Any procedure
- 117 that has been validated and meets the acceptance criteria that follow is considered to be suitable for 118 use.

# 119 **PROCEDURES FOR LIMIT TESTS**

120 The following section defines the validation parameters for the acceptability of limit tests. Meeting

- 121 these requirements must be demonstrated experimentally using an appropriate system suitability
- 122 test and reference materials.
- 123 The suitability of the method must be determined by conducting studies with the material or mixture
- 124 under test spiked with known concentrations of each *Target element* of interest at the appropriate
- 125 Target concentration.

# 126 **Detectability**

127 **Standard solution:** A preparation of reference materials for the *Target element(s)* at 1.0 J *in a* 128 *Matrix matched solution.* 

129 **Spiked sample solution 1:** Prepare a solution of sample under test, spiked with appropriate

reference materials for the *Target element(s)* at the *Target concentration*, solubilized or digested as
described in *Sample Preparation*.

- 132 **Spiked sample solution 2:** Prepare a solution of the sample under test, spiked with appropriate
- reference materials for the *Target element(s)* at 80% of the *Target concentration*, solubilized or
- 134 digested as described in *Sample Preparation*.
- 135 Unspiked sample solution: A sample of material under test, solubilized or digested in the same136 manner as the spiked Sample solutions

# 137 Acceptance criteria

- 138 **Non-instrumental procedures:** *Spiked sample solution 1* provides a signal/response, e.g., color,
- 139 or intensity equivalent to or greater than that of the *Standard solution*. *Spiked sample solution 2* must
- 140 provide a signal /response, e.g., color, or intensity less than that of *Spiked sample solution 1*.
- 141 [NOTE—The signal/response, e.g., color, or intensity from each *Spiked sample solution* is NLT the
- 142 Unspiked sample solution determination.]
- 143 Instrumental procedures: The average value of the three replicate measurements of Spiked
- sample solution 1 is within ±15% of the average value obtained for the replicate measurements of
- 145 the Standard solution. The average value of the replicate measurements of Spiked sample solution 2

- 146 must provide a signal intensity or value less than that of the Standard solution. [NOTE—Correct the
- 147 values obtained for each of the spiked solutions using the *Unspiked sample solution*.]

# 148 Specificity

- 149 The procedure must be able to unequivocally assess each *Target element* in the presence of
- 150 components that may be expected to be present, including other *Target elements*, and matrix
- 151 components.

### 152 Precision, only for Instrumental Methods (Repeatability)

153 Sample solutions: Six independent samples of the material under test, spiked with appropriate
 154 reference materials for the *Target element(s)* at the *Target concentration*.

# 155 Acceptance criteria

156 **Relative standard deviation:** NMT 20% for each *Target element* 

# 157 **PROCEDURES FOR QUANTITATIVE TESTS**

- 158 The following section defines the validation parameters for the acceptability of procedures for
- 159 quantitative tests. Meeting these requirements must be demonstrated experimentally, using an
- 160 appropriate system suitability test and reference materials.
- 161 Accuracy
- 162 **Standard solutions:** Prepare solutions containing the *Target element(s)* at three concentrations 163 ranging from 0.5 to 1.5 *J*, using appropriate reference materials, in a *Matrix matched solution*.
- 164 **Test samples:** Prepare samples of the material under test spiked with appropriate reference
- 165 materials for the *Target element(s)* at the *Target concentration* before any sample preparation steps

166 (digestion or solubilization). Spike concentrations should range from 0.5 to 1.5 J and should include

- 167 at least 3 individual concentrations.
- 168 Acceptance criteria
- 169 **Spike recovery:** 70%–150% for the mean of three replicate preparations at each concentration
- 170 **Precision**
- 171 REPEATABILITY
- 172 **Test samples:** Six independent samples of material under test (taken from the same lot) spiked
- 173 with appropriate reference materials for the *Target element(s)* at the *Target concentration*.
- 174 Acceptance criteria
- 175 Relative standard deviation: NMT 20% (*n*= 6) for each *Target element*
- 176 INTERMEDIATE PRECISION (RUGGEDNESS)
- 177 Perform the *Repeatability* analysis again, either on a different day, with a different instrumentation,
- 178 with a different analyst, or a combination thereof. Combine the results of this analysis with the
- 179 *Repeatability* analysis so the total number is 12.

#### 180 Acceptance criteria

181 **Relative standard deviation:** NMT 25% (*n* = 12) for each *Target element* 

### 182 Specificity

183 The procedure must be able to unequivocally assess each *Target element* in the presence of

- 184 components that may be expected to be present, including other *Target elements*, and matrix
- components.

### 186 Range and Linearity

187 Demonstrated by meeting the *Accuracy* requirement.

# 188 Limit of Quantification

- 189 Use the results from the accuracy study.
- LOQ of 50% of J is confirmed when the accuracy acceptance criteria for 50% J spiked solution is
- 191 *met.*
- 192 Acceptance criterion: the LOQ is less than or equal to 50% of J

# 193 GLOSSARY

- 194 **Concentrated acid:** Concentrated ultra-pure nitric, sulfuric, hydrochloric, or hydrofluoric acids
- 195 Matrix matched solution: Solutions having the same solvent composition as the Sample
- solution. In the case of an aqueous solution, *Matrix matched solution* would indicate that the same
- acids, acid concentrations and mercury stabilizer are used in both preparations.
- 198 Target elements: Elements which must be evaluated according to the requirements defined in199 other chapters.
- 200 **Target limit or Target concentration:** The acceptance value for the elemental impurity being
- 201 evaluated. Exceeding the *Target limit* indicates that a material under test exceeds the acceptable
- 202 value. [NOTE—*Target limits* can be approximated by dividing the *permitted daily exposures (PDEs)*
- 203 by the maximum daily dose of the drug product.]
- 204 Final concentration of the *Target element(s)* in the standard and the sample solutions. It J: 205 corresponds to the concentration (w/v) of the Target element(s) at the Target limit, appropriately 206 diluted to the working range of the instrument. If a dilution is not necessary, J is equal to the Target 207 concentration. For example, if the target elements are lead and arsenic for an analysis of an oral 208 solid drug product with a daily dose of 10 g/day using inductively coupled plasma-mass 209 spectrometry (ICP–MS), the target limit for these elements would be  $0.5 \mu g/g$  and  $1.5 \mu g/g$ . 210 However, in both cases, the linear dynamic range of the ICP-MS is known to extend from 0.01 211 ng/mL to 0.1 µg/mL for these elements. Therefore, a dilution factor of at least 1:100 is required to
- ensure that the analysis occurs in the linear dynamic range of the instrument. *J* would thus equal 5
- 213 ng/ml and 15 ng/mL for lead and arsenic, respectively (Note: the density of the sample solution may
- 214 have to be considered).

- 215 Appropriate reference materials: Where Appropriate reference materials are specified in the
- 216 chapter, certified reference materials (CRM) from a national metrology institute (NMI), or reference
- 217 materials that are traceable to the CRM of an NMI should be used.