Q-09 PARTICULATE CONTAMINATION: SUB-VISIBLE PARTICLES

- 3 Particulate matter in parenteral preparations consists of, mobile undissolved substances,
- 4 other than gas bubbles, that may originate from various sources and are unintentionally
- 5 present in the preparations. The level of particulate matter should be minimised and
- 6 controlled, independent of its type.
- 7 For the determination of particulate contamination 2 procedures, Method 1 (Light
- 8 Obscuration Particle Count Test) and Method 2 (Microscopic Particle Count Test), are
- 9 specified hereinafter. When examining injections and infusions for sub-visible particles,
- 10 Method 1 is preferably applied.
- 11 However, not all parenteral preparations can be examined directly for sub-visible
- 12 particles by one or both of these methods. When Method 1 is not applicable, e.g. in case
- 13 of preparations having reduced clarity or increased viscosity, the test is carried out
- 14 according to Method 2. Emulsions, colloids, and liposomal preparations are examples.
- 15 Similarly, preparations that produce air or gas bubbles when drawn into the sensor may
- 16 also require specific precautions during sample preparation and/or microscopic particle
- 17 count testing. If the viscosity of the preparation to be tested is sufficiently high so as to
- 18 preclude its examination by either test method, a quantitative dilution with an
- 19 appropriate particle-free solvent may be made to decrease viscosity, as necessary, to
- 20 allow the analysis to be performed.
- 21 The results obtained in examining a discrete unit or group of units for particulate
- 22 contamination cannot be extrapolated with certainty to other units that remain untested.
- 23 Thus, statistically sound sampling plans must be developed if valid inferences are to be
- drawn from observed data to characterise the level of particulate contamination in a
- 25 large group of units.

26 METHOD 1. LIGHT OBSCURATION PARTICLE COUNT TEST

- 27 Use a suitable apparatus based on the principle of light blockage which allows an
- automatic determination of the size of particles and the number of particles according tosize.
- 30 The apparatus is calibrated using suitable certified reference materials consisting of
- dispersions of spherical particles of known sizes between 10 μ m and 25 μ m. These
- 32 standard particles are dispersed in *particle-free water R*. Care must be taken to avoid
- 33 agglomeration of particles during dispersion.
- 34

35 General precautions

- The test is carried out under conditions limiting particulate contamination, preferably ina laminar-flow cabinet.
- 38 Very carefully wash the glassware and filtration equipment used, except for the
- 39 membrane filters, with a warm detergent solution and rinse with abundant amounts of
- 40 water to remove all traces of detergent. Immediately before use, rinse the equipment
- 41 from top to bottom, outside and then inside, with *particle-free water R*.
- 42 Take care not to introduce air bubbles into the preparation to be examined, especially
- 43 when fractions of the preparation are being transferred to the container in which the

1

2

- 44 determination is to be carried out.
- 45 In order to check that the environment is suitable for the test, that the glassware is
- 46 properly cleaned and that the water to be used is particle-free, the following test is
- 47 carried out: determine the particulate contamination of 5 samples of *particle-free*
- 48 *water R*, each of 5 mL, according to the method described below. If the number of
- 49 particles of 10 µm or greater size exceeds 25 for the combined 25 mL, the precautions
- 50 taken for the test are not sufficient. The preparatory steps must be repeated until the
- 51 environment, glassware and water are suitable for the test.

52 Method

- 53 Clean the outer surfaces of the container(s) using a jet of *particle-free water R* and avoid
- 54 contamination of the contents. Samples are tested in a manner that most directly
- represents the product fill. For parenteral preparations that have a sufficient volume for a
- single test, based on instrument capability and properties of the sample, testing of
- 57 individual units is often preferred to estimate the level and variation of particulate matter
- 58 in an entire group of units.
- 59 For parenteral preparations that do not have a sufficient volume, carefully and
- 60 thoroughly mix each unit. Then combine the contents of a suitable number of units in a
- 61 separate container, to obtain the volume required for a single test, based on instrument
- 62 capability and properties of the sample.
- 63 Powders for parenteral administration are reconstituted with *particle-free water R* or (4) with an approximate particular free values of (4) and (4) and
- 64 with an appropriate particle-free solvent when *particle-free water R* is not suitable.
- Eliminate gas bubbles by appropriate measures such as allowing to stand, applying a
- 66 gentle vacuum, or sonicating. Preparations containing proteins should not be sonicated.
- 67 The number of test specimens must be adequate to provide a statistically sound
- assessment. For large and small volume parenterals, an adequate volume of sample must
- 69 be provided for analysis; however, single units may be tested in a statistically sound
- 70 sampling plan.
- Remove 4 portions, each of approximately 5 ml, and count the number of particles equal
- to or greater than 10 μ m and 25 μ m. Disregard the result obtained for the first portion
- and calculate the average number of particles from the remaining portions of the
- 74 preparation to be examined. Smaller volumes than 5 ml can also be tested provided that
- this amount is appropriately justified. In general, for parenteral products that do not have
- a sufficient volume (e.g. less than 25 mL), testing with the volume of 1 to 5 mL may be
- 77 acceptable.

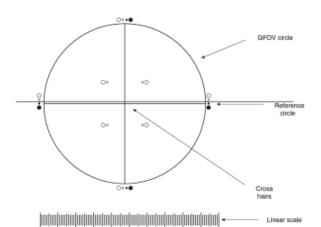
78 Evaluation

- For preparations supplied in units that contain a nominal volume of more than 100 mL,
- 80 apply the criteria of test 1.A.
- For preparations supplied in units that contain a nominal volume of less than 100 mL, apply the criteria of test 1.B.
- 83 For preparations supplied in units that contain a nominal volume of 100 mL, apply the
- criteria of test 1.A (JP requirements) or those of test 1.B (Ph. Eur. and USP
- 85 requirements).
- 86 If the average number of particles exceeds the limits, test the preparation by the
- 87 microscopic particle count test.
- 88 Test 1.A Preparations for infusion or injection supplied in units that contain a nominal

- 89 *content of more than 100 mL*
- 90 The preparation complies with the test if the average number of particles present in each
- 91 unit tested does not exceed 25 per millilitre equal to or greater than 10 µm and does not
- 92 exceed 3 per millilitre equal to or greater than 25 μ m.
- Test 1.B Preparations for infusion or injection supplied in units that contain a nominal
 content of less than 100 mL
- 95 The preparation complies with the test if the average number of particles present in each
- 96 unit tested does not exceed 6000 per container equal to or greater than 10 µm and does
- 97 not exceed 600 per container equal to or greater than 25 μ m. Where combining units is
- 98 required, the average number of particles present in the combined sample is used to
- 99 calculate the number of particles in one container.
- 100 METHOD 2. MICROSCOPIC PARTICLE COUNT TEST
- 101 Use a suitable binocular microscope, filter assembly for retaining particulate
- 102 contamination and membrane filter for examination.
- 103 The microscope is equipped with an ocular micrometer calibrated with an objective
- 104 micrometer, a mechanical stage capable of holding and traversing the entire filtration

area of the membrane filter, 2 suitable illuminators to provide episcopic illumination in

- addition to oblique illumination, and is adjusted to 100 ± 10 magnifications.
- 107 The ocular micrometer is a circular diameter graticule (see Figure 2.9.19.-1.) and
- 108 consists of a large circle divided by crosshairs into quadrants, transparent and black
- 109 reference circles 10 μ m and 25 μ m in diameter at 100 magnifications, and a linear scale
- 110 graduated in 10 µm increments. It is calibrated using a stage micrometer that is certified
- 111 by either a domestic or international standard institution. A relative error of the linear
- scale of the graticule within ± 2 per cent is acceptable. The large circle is designated the graticule field of view (GFOV).
- 114 2 illuminators are required. One is an episcopic brightfield illuminator internal to the
- microscope, the other is an external, focusable auxiliary illuminator adjustable to give reflected oblique illumination at an angle of 10-20°.
- 117 The filter assembly for retaining particulate contamination consists of a filter holder
- 118 made of glass or other suitable material, and is equipped with a vacuum source and a 119 suitable membrane filter.
- 120 The membrane filter is of suitable size, black or dark grey in colour, non-gridded or
- 121 gridded, and 1.0 µm or finer in nominal pore size.



- 122
- 123
- 124
- 126

127 General precautions

128 The test is carried out under conditions limiting particulate contamination, preferably in129 a laminar-flow cabinet.

Figure 1. – *Circular diameter graticule*

130 Very carefully wash the glassware and filter assembly used, except for the membrane

131 filter, with a warm detergent solution and rinse with abundant amounts of water to

remove all traces of detergent. Immediately before use, rinse both sides of the

133 membrane filter and the equipment from top to bottom, outside and then inside, with

134 *particle-free water R.*

In order to check that the environment is suitable for the test, that the glassware and the membrane filter are properly cleaned and that the water to be used is particle-free, the following test is carried out: determine the particulate contamination of a 50 mL volume

138 of *particle-free water R* according to the method described below. If more than

20 particles 10 µm or larger in size or if more than 5 particles 25 µm or larger in size are

140 present within the filtration area, the precautions taken for the test are not sufficient. The

preparatory steps must be repeated until the environment, glassware, membrane filter

142 and water are suitable for the test.

143 Method

- 144 Clean the outer surfaces of the container(s) using a jet of *particle-free water R* and avoid
- 145 contamination of the contents. Samples are tested in a manner that most directly
- 146 represents the product fill. For parenteral preparations that have a sufficient volume for a
- single test, based on instrument capability and properties of the sample, testing of
- 148 individual units is often preferred to estimate the level and variation of particulate matter
- in an entire group of units.
- 150 For parenteral preparations that do not have a sufficient volume, carefully and
- thoroughly mix each unit. Then combine the contents of a suitable number of units in a
- 152 separate container to obtain the volume required for a single test based on instrument
- 153 capability and properties of the sample.
- 154 Powders for parenteral administration are reconstituted with *particle-free water R* or
- 155 with an appropriate particle-free solvent when *particle-free water R* is not suitable.

- 156 The number of test specimens must be adequate to provide a statistically sound
- 157 assessment. For large and small volume parenterals, an adequate volume of sample must
- be provided for analysis; however, single units may be tested in a statistically sound
- 159 sampling plan.

160 Wet the inside of the filter holder fitted with the membrane filter with several millilitres

- 161 of *particle-free water R*. Transfer to the filtration funnel the total volume of a sample
- 162 pool or of a single unit, and apply vacuum. If needed, add stepwise a portion of the
- sample until the entire volume is filtered. After the last addition of sample, begin rinsing
- the inner walls of the filter holder by using a jet of *particle-free water R*. Maintain the
- vacuum until the surface of the membrane filter is free from liquid. Place the filter in aPetri dish and allow the filter to air-dry with the cover slightly ajar. After the filter has
- been dried, place the Petri dish on the stage of the microscope, scan the entire membrane
- 168 filter under the reflected light from the illuminating device, and count the number of
- 169 particles that are equal to or greater than 10 μ m and the number of particles that are
- 170 equal to or greater than 25 µm. Alternatively, partial filter count and determination of
- the total filter count by calculation is allowed. Calculate the average number of particles
- 172 for the preparation to be examined.
- 173 The particle sizing process with the use of the circular diameter graticule is carried out
- by transforming mentally the image of each particle into a circle and then comparing it
- 175 to the 10 μm and 25 μm graticule reference circles. Thereby the particles are not moved
- 176 from their initial locations within the graticule field of view and are not superimposed
- 177 on the reference circles for comparison. The inner diameter of the transparent graticule
- 178 reference circles is used to size white and transparent particles, while dark particles are
- 179 sized by using the outer diameter of the black opaque graticule reference circles.
- 180 In performing the microscopic particle count test do not attempt to size or enumerate 181 amorphous, semi-liquid, or otherwise morphologically indistinct materials that have the
- 181 amorphous, semi-liquid, or otherwise morphologically indistinct materials that have the 182 appearance of a stain or discoloration on the membrane filter. These materials show
- 182 appearance of a stant of discoloration on the memorane inter. These materials show 183 little or no surface relief and present a gelatinous or film-like appearance. In such cases
- 184 the interpretation of enumeration may be aided by testing a sample of the preparation by
- 185 the light obscuration particle count test.

186 **Evaluation**

- For preparations supplied in units that contain a nominal volume of more than 100 mL,apply the criteria of test 2.A.
- 189 For preparations supplied in units that contain a nominal volume of less than 100 mL,
- apply the criteria of test 2.B.
- 191 For preparations supplied in units that contain a nominal volume of 100 mL, apply the
- 192 criteria of test 2.A (JP requirements) or those of test 2.B (Ph. Eur. and USP
- 193 requirements).
- Test 2.A Preparations for infusion or injection supplied in units that contain a nominal
 content of more than 100 mL
- 196 The preparation complies with the test if the average number of particles present in each
- unit tested does not exceed 12 per millilitre equal to or greater than 10 μ m and does not
- 198 exceed 2 per millilitre equal to or greater than 25 μ m.
- 199 Test 2.B Preparations for infusion or injection supplied in units that contain a nominal
- 200 content of less than 100 mL

- 201 The preparation complies with the test if the average number of particles present in each
- 202 unit tested does not exceed 3000 per container equal to or greater than 10 µm and does
- 203 not exceed 300 per container equal to or greater than 25 µm. Where combining units is
- 204 required, the average number of particle present in the combined sample is used to
- 205 calculate the number of particles in one container.