

2.00 Chromatography

This test is harmonized with the European Pharmacopoeia and the U. S. Pharmacopoeia.

The corresponding part of the attributes/provisions which are agreed as non-harmonized within the scope of the harmonization is marked with symbols (♦ ◆) and the corresponding parts which are agreed as the JP local requirement other than the scope of the harmonization are marked with symbols (◇ ◇).

Information on the harmonization with the European Pharmacopoeia and the U. S. Pharmacopoeia is published on the website of the Pharmaceuticals and Medical Devices Agency.

1. Introduction

Chromatographic separation techniques are multi-stage separation procedures in which the components of a sample are distributed between 2 phases, one of which is stationary, while the other is mobile. The stationary phase may be a solid or a liquid supported on a solid or a gel. The stationary phase may be packed in a column, spread as a layer, or distributed as a film, etc. The mobile phase may be gaseous or liquid or supercritical fluid. The separation may be based on adsorption, mass distribution (partition), ion exchange, etc., or may be based on differences in the physico-chemical properties of the molecules such as size, mass, volume, etc. This chapter contains definitions and calculations of common parameters and generally applicable requirements for system suitability.

◇ The prescription described in Liquid Chromatography <2.01> other than the prescription of this test can be applied to the system suitability of liquid chromatography. ◇ Principles of separation, apparatus and methods are given in the corresponding general tests.

2. Definitions

The system suitability and acceptance criteria in monographs have been set using parameters as defined below. With some equipment, certain parameters, such as the signal-to-noise ratio and resolution, can be calculated using software provided by the manufacturer. It is the responsibility of the user to ensure that the calculation methods used in the software are equivalent to the requirements of the Japanese Pharmacopoeia and to make any necessary corrections if this is not the case.

Chromatogram

A graphical or other representation of detector response, effluent concentration or other quantity used as a measure of effluent concentration, versus time or volume. Idealized chromatograms are represented as a sequence of Gaussian peaks on a baseline (Figure 2.00-1).

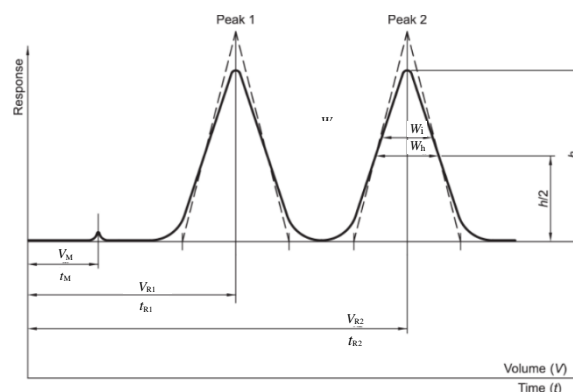


Figure 2.00-1

V_M : Hold-up volume

t_M : Hold-up time

V_{R1} : Retention volume of peak 1

t_{R1} : Retention time of peak 1

V_{R2} : Retention volume of peak 2

t_{R2} : Retention time of peak 2

W_h : Peak width at half-height

W_i : Peak width at the inflexion point

h : Height of the peak

$h/2$: Half-height of peak

Distribution constant (K_0)

In size-exclusion chromatography, the elution characteristics of a component in a particular column may be given by the distribution constant (also referred to as distribution coefficient), which is calculated using the following equation:

$$K_0 = \frac{t_R - t_0}{t_i - t_0}$$

t_R : Retention time

t_0 : Retention time of an unretained compound

t_i : Total mobile phase time

Dwell volume (D) (also referred to as V_D):

The dwell volume (also known as gradient delay volume) is the volume between the point at which the eluents meet and the inlet of the column. It can be determined using the following procedure.

Column: replace the chromatographic column by an appropriate capillary tubing (e.g. 1 m × 0.12 mm).

Mobile phase.

Mobile phase A: water.

Mobile phase B: 0.1 vol% solution of acetone in water.

| Time (min) | Mobile phase A (vol%) | Mobile phase B (vol%) |
|------------|-----------------------|-----------------------|
| 0 – 20 | 100 → 0 | 0 → 100 |
| 20 – 30 | 0 | 100 |

82
 83 Flow rate: Set to obtain sufficient back-pressure (e.g. 2
 84 mL/min).
 85 Detection: Spectrophotometer at 265 nm.
 86 Determine the time ($t_{0.5}$) (minutes) when the absorbance
 87 has increased by 50% (Figure 2.00-2).

$$D = t_D \times F$$

89 t_D : $t_{0.5} - 0.5 t_G$ (minutes)
 90 t_G : Pre-defined gradient time (= 20 minutes)
 91 F : Flow rate (mL/minute)

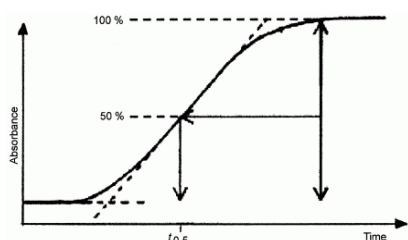


Figure 2.00-2

94
 95
 96 *Note:* Where applicable, this measurement is performed with
 97 the autosampler in the inject position so as to include the in-
 98 jection loop volume in the dwell volume.

99 **Hold-up time (t_M)**

100 Time required for elution of an unretained component
 101 (Figure 2.00-1, baseline scale being in minutes or seconds).
 102 In size-exclusion chromatography, the term retention time
 103 of an unretained compound (t_0) is used.

104 **Hold-up volume (V_M)**

105 Volume of the mobile phase required for elution of an un-
 106 retained component. It may be calculated from the hold-up
 107 time and the flow rate (F) in mL/minute using the following
 108 equation:

$$V_M = t_M \times F$$

110 In size-exclusion chromatography, the term retention vol-
 111 ume of an unretained compound (V_0) is used.

112 **Peak**

113 Portion of a chromatogram recording the detector response
 114 when a single component (or 2 or more unresolved compo-
 115 nents) is eluted from the column.

116 The peak response may be represented by the peak area or
 117 the peak height (h).

118 **Peak-to-valley ratio (p/v)**

119 The peak-to-valley ratio may be employed as a system
 120 suitability criterion when baseline separation between two
 121 peaks is not achieved (Figure 2.00-3).

122

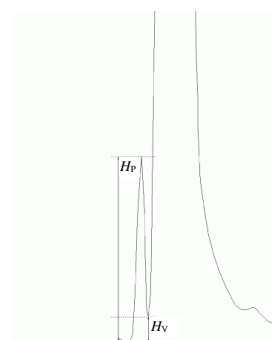


Figure 2.00-3

$$p/v = \frac{H_p}{H_v}$$

123

124

125

126

127 H_p : Height above the extrapolated baseline of the minor
 128 peak

129 H_v : Height above the extrapolated baseline at the lowest
 130 point of the curve separating the minor and major
 131 peaks

132 **Plate height (H) (synonym: Height equivalent to one the- 133 oretical plate (HETP))**

134 Ratio of the column length (L) (μm) to the plate number
 135 (N):

$$H = \frac{L}{N}$$

136

137 **Plate number (N)**

138 A number indicative of column performance (column effi-
 139 ciency). It can only be calculated from data obtained under
 140 either isothermal, isocratic or isodense conditions, depending
 141 on the technique, as the plate number, using the following
 142 equation, the values of t_R and w_h being expressed in the same
 143 units.

$$N = 5.54 \left(\frac{t_R}{w_h} \right)^2$$

144

145 t_R : Retention time of the peak corresponding to the com-
 146 ponent;

147 w_h : Peak width at half-height ($h/2$).

148

149 The plate number varies with the component as well as
 150 with the column, the column temperature, the mobile phase
 151 and the retention time.

152 **Reduced plate height (h)**

153 Ratio of the plate height (H) (μm) to the particle diameter
 154 (d_p) (μm):

$$h = \frac{H}{d_p}$$

155

156 **Relative retardation (R_{rel})**

157 The relative retardation, used in thin-layer chromatography,
158 raphy, is calculated as the ratio of the distances travelled by
159 the spot of the compound of interest and a reference com-
160 pound (Figure 2.00-4).

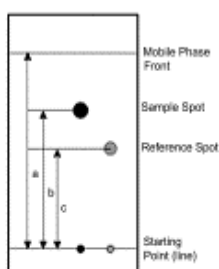
161
$$R_{rel} = b/c$$

162 a : Migration distance of the mobile phase

163 b : Migration distance of the compound of interest

164 c : Migration distance of the reference compound

165



166
167 Figure 2.00-4
168

169 **Relative retention (r)**

170 Relative retention is calculated as an estimate using the fol-
171 lowing equation:

172
$$r = \frac{t_{Ri} - t_M}{t_{Rst} - t_M}$$

173 t_{Ri} : Retention time of the peak of interest

174 t_{Rst} : Retention time of the reference peak (usually the peak
175 corresponding to the substance to be examined)

176 t_M : Hold-up time

177 **Relative retention, unadjusted (r_G) or (RRT)**

178 Unadjusted relative retention is calculated using the fol-
179 lowing equation:

180
$$r_G = \frac{t_{Ri}}{t_{Rst}}$$

181 Unless otherwise indicated, values for relative retention
182 stated in monographs correspond to unadjusted relative re-
183 tention.

184 **Relative retention time (RRT):**

185 see Relative retention, unadjusted.

186 **Resolution (R_S)**

187 The resolution between peaks of 2 components (Figure
188 2.00-1) may be calculated using the following equation:

189
$$R_S = \frac{1.18(t_{R2} - t_{R1})}{w_{h1} + w_{h2}}$$

190 t_{R1}, t_{R2} : Retention times of the peaks, $t_{R2} > t_{R1}$

191 w_{h1}, w_{h2} : Peak widths at half-height

192 \diamond Complete separation means the resolution of not less than
193 1.5, and is also referred to as baseline separation. \diamond

194 In quantitative thin-layer chromatography, using densi-
195 tometry, the migration distances are used instead of retention
196 times and the resolution between peaks of 2 components may
197 be calculated using the following equation:

198
$$R_S = \frac{1.18a(R_{F2} - R_{F1})}{w_{h1} + w_{h2}}$$

199 $R_{F2} > R_{F1}$

200 R_{F1}, R_{F2} : Retardation factors of the peaks

201 w_{h1}, w_{h2} : Peak widths at half-height

202 a : Migration distance of the solvent front

203 **Retardation factor (R_F)**

204 The retardation factor, used in thin-layer chromatography,
205 is the ratio of the distance from the point of application to the
206 center of the spot and the distance simultaneously travelled
207 by the solvent front from the point of application (Figure
208 2.00-4).

209
$$R_F = \frac{b}{a}$$

210 b : Migration distance of the compound of interest

211 a : Migration distance of the solvent front

212 **Retention factor (k)**

213 The retention factor (also known as mass distribution ratio
214 (D_m) or capacity factor (k')) is defined as:

215
$$k = \frac{\text{amount of component in stationary phase}}{\text{amount of component in mobile phase}} = K_C \frac{V_S}{V_M}$$

216 K_C : Distribution constant (also known as equilibrium dis-
217 tribution coefficient);

218 V_S : Volume of the stationary phase

219 V_M : Volume of the mobile phase

220 The retention factor of a component may be determined
221 from the chromatogram using the following equation:

222
$$k = \frac{t_R - t_M}{t_M}$$

223 t_R : Retention time

224 t_M : Hold-up time

225 **Retention time (t_R)**

226 Time elapsed between the injection of the sample and the
227 appearance of the maximum peak response of the eluted sam-
228 ple zone (Figure 2.00-1, baseline scale being in minutes or
229 seconds).

230 **Retention volume (V_R)**

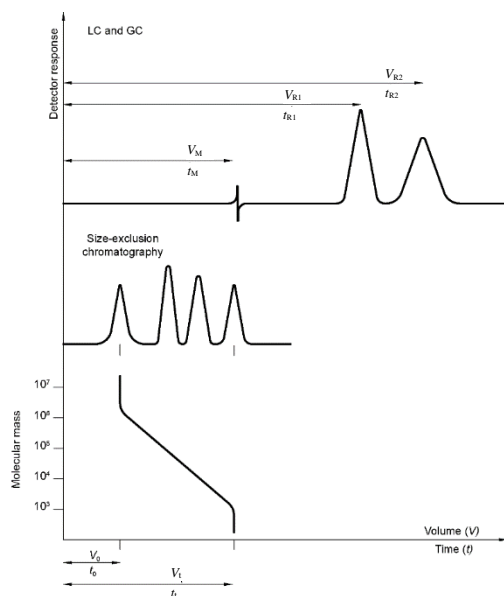
231 Volume of the mobile phase required for elution of a com-
232 ponent. It may be calculated from the retention time and the
233 flow rate (F : mL/minute) using the following equation:

$$234 \quad V_R = t_R \times F$$

235 **Retention time of an unretained compound (t_0)**

236 In size-exclusion chromatography, retention time of a
237 component whose molecules are larger than the largest gel
238 pores (Figure 2.00-5).

239



240

241 Figure 2.00-5

242

243 **Retention volume of an unretained compound (V_0)**

244 In size-exclusion chromatography, retention volume of a
245 component whose molecules are larger than the largest gel
246 pores. It may be calculated from the retention time of an un-
247 retained compound and the flow rate (F : mL/minute) using
248 the following equation:

$$249 \quad V_0 = t_0 \times F$$

250 **Separation factor (α)**

251 Relative retention calculated for two adjacent peaks (by
252 convention, the value of the separation factor is always > 1):

$$253 \quad \alpha = k_2 / k_1$$

254 k_1 : Retention factor of the first peak255 k_2 : Retention factor of the second peak256 **Signal-to-noise ratio (S/N)**

257 The short-term noise influences the precision and accuracy
258 of quantitation. The signal-to-noise ratio is calculated using
259 the following equation:

260

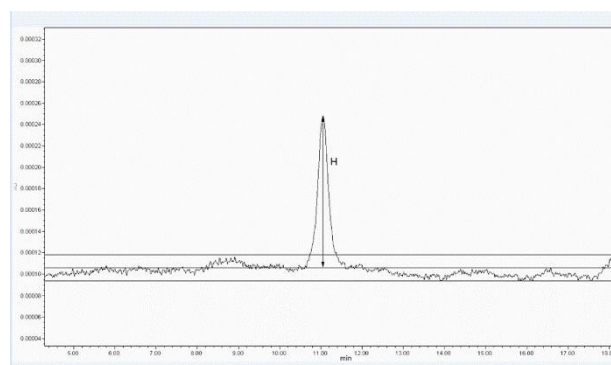
$$S/N = \frac{2H}{h}$$

261 H : Height of the peak (Figure 2.00-6) corresponding to the
262 component concerned, in the chromatogram obtained
263 with the prescribed reference solution, measured from the
264 maximum of the peak to the extrapolated baseline
265 of the signal observed over a distance equal to 20 times
266 the width at half-height

267 h : Range of the noise in a chromatogram obtained after
268 injection of a blank (Figure 2.00-7), observed over a
269 distance equal to 20 times the width at half-height of
270 the peak in the chromatogram obtained with the pre-
271 scribed reference solution and, if possible, situated
272 equally around the place where this peak would be
273 found.

274

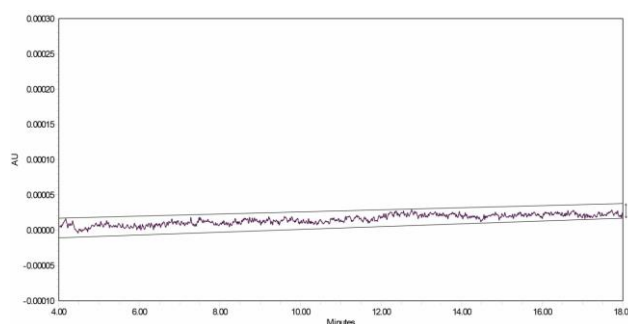
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276

277 Figure 2.00-6. Chromatogram of the reference solution

278



279

280 Figure 2.00-7. Chromatogram of a blank

281 If a baseline of 20 times the width at half-height is not ob-
282 tainable because of peaks due to the solvents or reagents, or
283 arising from the mobile phase or the sample matrix, or due to
284 the gas chromatographic temperature program, a baseline of
285 at least 5 times the width at half-height is permitted.

286 **Symmetry factor (A_s)**

287 The symmetry factor of a peak (also known as the asym-
288 metry factor or tailing factor) (Figure 2.00-8) is calculated
289 using the following equation:

$$A_s = \frac{w_{0.05}}{2d}$$

291 $w_{0.05}$: Width of the peak at one-twentieth of the peak height
 292 d : Distance between the perpendicular dropped from the
 293 peak maximum and the leading edge of the peak at one-
 294 twentieth of the peak height

295 An A_s value of 1.0 signifies symmetry. When $A_s > 1.0$, the
 296 peak is tailing. When $A_s < 1.0$, the peak is fronting.
 297

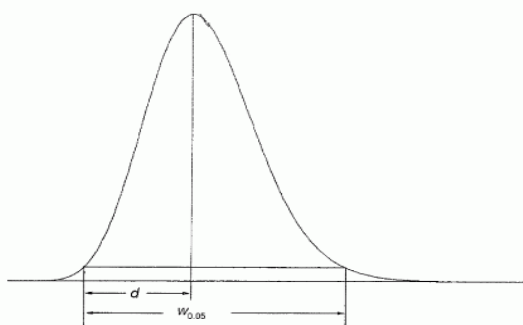


Figure 2.00-8

301 System repeatability

302 The repeatability of response is expressed as an estimated
 303 percentage relative standard deviation (%RSD) of a consec-
 304 utive series of measurements for not fewer than 3 injections
 305 or applications of a reference solution, and is calculated using
 306 the following equation.

$$307 \quad \%RSD = \frac{100}{\bar{y}} \sqrt{\frac{\sum (y_i - \bar{y})^2}{n - 1}}$$

308 y_i : Individual values expressed as peak area, peak height,
 309 or ratio of areas by the internal standardization method;
 310 \bar{y} : Mean of individual values
 311 n : Number of individual values

312 Total mobile phase time (t_t)

314 In size-exclusion chromatography, retention time of a
 315 component whose molecules are smaller than the smallest gel
 316 pores (Figure 2.00-5).
 317

318 Total mobile phase volume (V_t)

319 In size-exclusion chromatography, retention volume of a
 320 component whose molecules are smaller than the smallest gel
 321 pores. It may be calculated from the total mobile phase time
 322 and the flow rate (F) (mL/minute) using the following equa-
 323 tion.

$$324 \quad V_t = t_t \times F$$

325 3. System suitability

326 This section only covers liquid chromatography and gas
 327 chromatography.

328 The various components of the equipment employed must
 329 be qualified and be capable of achieving the performance re-
 330 quired to conduct the test or assay.

331 The system suitability tests represent an integral part of the
 332 analytical procedure and are used to ensure adequate perfor-
 333 mance of the chromatographic system. Column plate number,
 334 retention factor (mass distribution ratio), system repeatability,
 335 signal-to-noise, symmetry factor and resolution/peak-to-val-
 336 ley ratio are the parameters that may be employed in as-
 337 sessing the performance of the chromatographic system.
 338 When stated in the individual monograph, in cases of com-
 339 plex chromatographic profiles (e.g., for biotechnological/bi-
 340 ological products), visual comparison of the profiles can be
 341 used as a system suitability test.

342 Factors that may affect the chromatographic behavior in-
 343 clude:

- 344 • Composition and temperature of the mobile phase;
- 345 • Ionic strength and pH of the aqueous component of the mo-
 346 bile phase;
- 347 • Flow rate, column dimensions, column temperature and
 348 pressure;
- 349 • Stationary phase characteristics including type of chroma-
 350 tographic support (particle-based or monolithic), particle or
 351 pore size, porosity, specific surface area;
- 352 • Reversed phase and other surface-modification of the sta-
 353 tionary phases, the extent of chemical modification (as ex-
 354 pressed by end-capping, carbon loading etc.).

355 Retention times and relative retentions may be provided in
 356 monographs for information purposes only, unless otherwise
 357 stated in the monograph. There are no acceptance criteria ap-
 358 plied to relative retentions.

359 Compliance with the system suitability criteria is required
 360 throughout the chromatographic procedure. No sample anal-
 361 ysis is acceptable unless the suitability of the system has been
 362 demonstrated.

363 ◊When the following criteria are specified in the system
 364 suitability tests, each requirement is to be fulfilled unless oth-
 365 erwise prescribed.◊

366 System repeatability – assay of an active substance or an 367 excipient

368 In an assay of an active substance or an excipient, where
 369 the target value is 100% for a pure substance, and a system
 370 repeatability requirement is not specified, the maximum per-
 371 mitted relative standard deviation (%RSD_{max}) for the defined
 372 limits is calculated for a series ($n = 3$ to 6) of injections of the
 373 reference solution.

374 The maximum permitted relative standard deviation of the
 375 peak response does not exceed the appropriate value given in
 376 Table 2.00-1.

$$377 \quad \%RSD_{\max} = \frac{KB\sqrt{n}}{t_{90\%,n-1}}$$

378 *K*: Constant (0.349), obtained from the expression,

$$379 \quad K = \frac{0.6}{\sqrt{2}} \times \frac{t_{90\%,5}}{\sqrt{6}} \text{ in which } \frac{0.6}{\sqrt{2}}$$

380 relative standard deviation (percentage) determined
381 on 6 injections for $B=1.0$

382 *B*: (Upper limit given in the definition of the individual
383 monograph - 100) %

384 *N*: Number of replicate injections of the reference solution
385 ($3 \leq n \leq 6$);

386 $t_{90\%,n-1}$: Student's *t* at the 90% probability level (double
387 sided) with $n-1$ degrees of freedom.

388 Table 2.00-1 – Maximum permitted relative standard
389 deviation (assay)
390

| | Number of individual injections <i>n</i> | | | |
|-------|--|------|------|------|
| | 3 | 4 | 5 | 6 |
| B (%) | Maximum permitted relative standard deviation(%) | | | |
| 2.0 | 0.41 | 0.59 | 0.73 | 0.85 |
| 2.5 | 0.52 | 0.74 | 0.92 | 1.06 |
| 3.0 | 0.62 | 0.89 | 1.10 | 1.27 |

391 *B*: (Upper limit of content given in the individual monograph
392 - 100) %.

393

394 System sensitivity

395 The signal-to-noise ratio is used to define the system sen-
396 sitivity. The limit of quantitation (corresponding to a signal-
397 to-noise ratio of 10) is equal to or less than the reporting
398 threshold.
399

400 Peak symmetry

401 Unless otherwise stated, in a test or assay, the symmetry
402 factor (tailing factor) of the peak used for quantitation is 0.8
403 to 1.8.

404 4. Adjustment of chromatographic conditions

405 The chromatographic conditions described have been val-
406 idated during the elaboration of the monograph.

407 The extent to which the various parameters of a chromato-
408 graphic test may be adjusted without fundamentally modify-
409 ing the pharmacopoeial analytical procedures are listed below.
410 Changes other than those indicated require revalidation of the
411 procedure.

412 Multiple adjustments can have a cumulative effect on the
413 performance of the system and are to be properly evaluated
414 by the users. This is particularly important in cases where the
415 separation pattern is described as a profile. In those cases, a
416 risk assessment has to be carried out.

417 Any adjustments must be made on the basis of the phar-
418 macopoeial procedure.

419 If adjustments are made to a pharmacopoeial procedure,
420 additional verification tests may be required. To verify the
421 suitability of the adjusted pharmacopoeial procedure, assess
422 the relevant analytical performance characteristics poten-
423 tially affected by the change.

424 When a pharmacopoeial analytical procedure has been ad-
425 justed according to the requirements stated below, no further
426 adjustments are allowed without appropriate revalidation.

427 Compliance with the system suitability criteria is required
428 to verify that conditions for satisfactory performance of the
429 test or assay are achieved.

430 Adjustment of conditions with gradient elution (HPLC) or
431 temperature programming (GC) is more critical than with
432 isocratic (HPLC) or isothermal (GC) elution, since it may
433 shift some peaks to a different step of the gradient or to dif-
434 ferent elution temperatures, potentially causing partial or
435 complete coelution of adjacent peaks or peak inversion, and
436 thus leading to the incorrect assignment of peaks, and to the
437 masking of peaks or a shift such that elution occurs beyond
438 the prescribed elution time.

439 ◊In the tests of biotechnological/biological products such
440 as peptide mapping, glycosylation analysis and tests related
441 to molecular heterogeneity, the separation pattern obtained
442 by liquid chromatography may be set for acceptance criteria
443 as a profile. In such a test method, the method shown in this
444 section may not be applicable.◊

445 ◊Crude drugs and related drugs are outside the scope of
446 this section.◊

447 4.1. Liquid chromatography: isocratic elution

448 Column parameters and flow rate

449 • Stationary phase: No change of the identity of the substitu-
450 ent (e.g. no replacement of C18 by C8); the other physico-
451 chemical characteristics of the stationary phase, i.e. chro-
452 matographic support, surface modification and extent of
453 chemical modification must be similar; a change from To-
454 tally Porous Particle (TPP) columns to Superficially Porous
455 Particle (SPP) columns is allowed provided the above-
456 mentioned requirements are met.

457 • Column dimensions (particle size, length): The particle
458 size and/or length of the column may be modified provided
459 that the ratio of the column length (*L*) to the particle size
460 (d_p) remains constant or in the range between -25% to
461 +50% of the prescribed L/d_p ratio.

462 • Adjustment from totally porous to superficially porous par-
463 ticles: for the application of particle-size adjustment from
464 totally porous to superficially porous particles, other com-
465 binations of *L* and d_p can be used provided that the plate
466 number (*N*) is within -25% to +50%, relative to the pre-
467 scribed column. These changes are acceptable provided
468 system suitability criteria are fulfilled, and selectivity and
469 elution order of the specified impurities to be controlled are
470 demonstrated to be equivalent.

471 • Internal diameter: In absence of a change in particle size
472 and/or length, the internal diameter of the column may be
473 adjusted.

474 Caution is necessary when the adjustment results in
475 smaller peak volumes, due to a smaller particle size or a
476 smaller internal diameter, a situation which may require ad-
477 justments to minimize extra-column band broadening by fac-
478 tors such as instrument connections, detector cell volume and
479 sampling rate, and injection volume.

480 When the particle size is changed, the flow rate \diamond may re-
481 quire \diamond adjustment, because smaller-particle columns will re-
482 quire higher linear velocities for the same performance (as
483 measured by reduced plate height). The flow rate \diamond can be
484 adjusted \diamond for both the change in column diameter and parti-
485 cle size using the following equation:

$$486 \quad F_2 = F_1 \times [(d_{c2}^2 \times d_{p1}) / (d_{c1}^2 \times d_{p2})]$$

487 F_1 : Flow rate (mL/minute) indicated in the monograph

488 F_2 : Adjusted flow rate (mL/minute)

489 d_{c1} : Internal diameter (mm) of the column indicated in the
490 monograph

491 d_{c2} : Internal diameter (mm) of the column used

492 d_{p1} : Particle size (μm) indicated in the monograph

493 d_{p2} : Particle size (μm) of the column used

494

495 When a change is made from $\geq 3 \mu\text{m}$ to $< 3 \mu\text{m}$ particles
496 in isocratic separations, an additional increase in linear ve-
497 locity (by adjusting the flow rate) may be justified, provided
498 that the column performance does not drop by more than 20%.
499 Similarly, when a change is made from $< 3 \mu\text{m}$ to $\geq 3 \mu\text{m}$ par-
500 ticles, an additional reduction of linear velocity (flow rate)
501 may be justified to avoid reduction in column performance
502 by more than 20%.

503 After an adjustment due to a change in column dimensions,
504 an additional change in flow rate of $\pm 50\%$ is permitted.

505 Column temperature: $\pm 10^\circ\text{C}$, where the operating temper-
506 ature is specified, unless otherwise prescribed.

507 Further adjustments in analytical procedure conditions
508 (mobile phase, temperature, pH, etc.) may be required, within
509 the permitted ranges described under System Suitability and
510 Adjustment of chromatographic conditions in this test
511 method.

512 **Mobile phase:**

513 • Composition: The amount of the minor solvent compo-
514 nents may be adjusted by $\pm 30\%$ relative. For a minor com-
515 ponent at 10% of the mobile phase, a 30% relative adjust-
516 ment allows a range of 7 – 13% whereas a 2% absolute ad-
517 justment allows a range of 8 – 12%, the relative value there-
518 fore being the larger. For a minor component at 5% of the
519 mobile phase, a 30% relative adjustment allows a range of
520 3.5 – 6.5% whereas a 2% absolute adjustment allows a

521 range of 3 – 7%, the absolute value being the larger in this
522 case. No component is altered by more than 10% absolute.

523 A minor component comprises less than or equal to
524 (100/ n) %, n being the total number of components of the
525 mobile phase.

526 • pH of the aqueous component of the mobile phase: ± 0.2 pH
527 units, unless otherwise prescribed

528 • Concentration of salts in the buffer component of a mobile
529 phase: $\pm 10\%$

530 • Flow rate: In absence of a change in column dimensions,
531 an adjustment of the flow rate by $\pm 50\%$ is permitted.

532 **Detector wavelength:** No adjustment permitted.

533 **Injection volume:** When the column dimensions are changed,
534 the following equation may be used for adjusting the injec-
535 tion volume.

$$536 \quad V_{inj2} = V_{inj1} (L_2 d_{c2}^2) / (L_1 d_{c1}^2)$$

537 V_{inj1} : Injection volume (μL) indicated in the monograph

538 V_{inj2} : Adjusted injection volume (μL)

539 L_1 : Column length (cm) indicated in the monograph

540 L_2 : New column length (cm)

541 d_{c1} : Column internal diameter (mm) indicated in the mon-
542 ograph

543 d_{c2} : New column internal diameter (mm)

544 This equation may not be applicable to changes from TPP
545 columns to SPP columns.

546 Even in the absence of any column dimension change, the
547 injection volume may be varied provided System Suitability
548 criteria remain within their established acceptability limits.
549 When the injection volume is decreased, special attention is
550 given to (limit of) detection and repeatability of the peak re-
551 sponse(s) to be determined. An increase is permitted pro-
552 vided, in particular, linearity and resolution of the peak(s) to
553 be determined remain satisfactory.

554 **4.2. Liquid chromatography: gradient elution**

555 Adjustment of chromatographic conditions for gradient
556 systems requires greater caution than for isocratic systems.

557 **Column parameters and flow rate**

558 • Stationary phase: No change of the identity of the substitu-
559 ent (e.g. no replacement of C18 by C8). The other physico-
560 chemical characteristics of the stationary phase, i.e. chro-
561 matographic support; surface modification and extent of
562 chemical modification must be similar. A change from To-
563 tally Porous Particle (TPP) columns to Superficially Porous
564 Particle (SPP) columns is allowed provided the above-
565 mentioned requirements are met.

566 • Column dimensions (particle size, length): The particle
567 size and/or length of the column may be modified provided
568 that the ratio of the column length (L) to the particle size
569 (d_p) remains constant or in the range between -25% to

570 +50% of the prescribed L/d_p ratio.
 571 Adjustments from totally porous to superficially porous
 572 particles: for the application of particle-size adjustment
 573 from totally porous to superficially porous particles, other
 574 combinations of L and d_p can be used provided that the ratio
 575 $(t_R/w_h)^2$ is within -25% to $+50\%$, relative to the prescribed
 576 column, for each peak used to check the system suitability,
 577 as stated in this chapter and the individual monograph.
 578 These changes are acceptable provided system suitability
 579 criteria are fulfilled, and selectivity and elution order of the
 580 specified impurities to be controlled are demonstrated to be
 581 equivalent.

582 • Internal diameter: In absence of a change in particle size
 583 and/or length, the internal diameter of the column may be
 584 adjusted.

585 Caution is necessary when the adjustment results in
 586 smaller peak volumes, due to a smaller particle size or a
 587 smaller internal diameter, a situation which may require ad-
 588 justments to minimize extra-column band broadening by fac-
 589 tors such as instrument connections, detector cell volume and
 590 sampling rate, and injection volume.

591 When the particle size is changed, the flow rate \diamond may re-
 592 quire \diamond adjustment, because smaller-particle columns will re-
 593 quire higher linear velocities for the same performance (as
 594 measured by reduced plate height). The flow rate \diamond can be
 595 adjusted \diamond for both the change in column diameter and parti-
 596 cle size using the following equation:

$$597 \quad F_2 = F_1 \times [(d_{c2}^2 \times d_{p1}) / (d_{c1}^2 \times d_{p2})]$$

598 F_1 : Flow rate (mL/minute) indicated in the monograph

599 F_2 : Adjusted flow rate (mL/minute)

600 d_{c1} : Internal diameter (mm) of the column indicated in the
 601 monograph

602 d_{c2} : Internal diameter (mm) of the column used

603 d_{p1} : Particle size (μm) indicated in the monograph

604 d_{p2} : Particle size (μm) of the column used

605
 606 A change in column dimensions, and thus in column vol-
 607 ume, impacts the gradient volume which controls selectivity.
 608 Gradients are adjusted to the column volume by changing the
 609 gradient volume in proportion to the column volume. This
 610 applies to every gradient segment volume. Since the gradient
 611 volume is the gradient time, t_G , multiplied by the flow rate, F ,
 612 the gradient time for each gradient segment needs to be ad-
 613 justed to maintain a constant ratio of the gradient volume to
 614 the column volume (expressed as $L \times d_c^2$). Thus, the new gra-
 615 dient time, t_{G2} can be calculated from the original gradient
 616 time, t_{G1} , the flow rate(s), and the column dimensions as fol-
 617 lows.

$$618 \quad t_{G2} = t_{G1} \times (F_1 / F_2) [(L_2 \times d_{c2}^2) / (L_1 \times d_{c1}^2)]$$

619 Thus, the change in conditions for gradient elution requires

620 three steps:

- 621 (1) adjust the column length and particle size according to
 622 L/d_p ,
- 623 (2) adjust the flow rate for changes in particle size and col-
 624 umn diameter, and
- 625 (3) adjust the gradient time of each segment for changes in
 626 column length, diameter and flow rate. The example below
 627 illustrates this process.
 628

| Variable | Original Conditions | Adjusted Conditions | Comment |
|--|---------------------|---------------------------------|---------------|
| Column length (L) (mm) | 150 | 100 | User's choice |
| Column diameter (d_c) (mm) | 4.6 | 2.1 | User's choice |
| Particle size (d_p) (μm) | 5 | 3 | User's choice |
| L/d_p | 30.0 | 33.3 | (1) |
| Flow rate (mL/min) | 2.0 | 0.7 | (2) |
| Gradient adjustment factor (t_{G2}/t_{G1}) | | 0.4 | (3) |
| Gradient conditions | | | |
| B(%) | Time (min) | Time (min) | |
| 30 | 0 | 0 | |
| 30 | 3 | $(3 \times 0.4) = 1.2$ | |
| 70 | 13 | $[1.2 + (10 \times 0.4)] = 5.2$ | |
| 30 | 16 | $[5.2 + (3 \times 0.4)] = 6.4$ | |

629
 630 (1) 11% increase within allowed L/d_p change of -25% to
 631 $+50\%$

632 (2) calculated using $F_2 = F_1 [(d_{c2}^2 \times d_{p1}) / (d_{c1}^2 \times d_{p2})]$

633 (3) calculated using $t_{G2} = t_{G1} \times (F_1 / F_2) [(L_2 \times d_{c2}^2) / (L_1 \times d_{c1}^2)]$
 634
 635

636 • Column temperature: $\pm 5^\circ\text{C}$, where the operating tempera-
 637 ture is specified, unless otherwise prescribed.

638 Further adjustments in analytical procedure conditions
 639 (mobile phase, temperature, pH, etc.) may be required, within
 640 the permitted ranges described under System Suitability and
 641 Adjustment of Chromatographic Conditions in this chapter.

642 Mobile phase

643 Composition/gradient: adjustments of the composition of
 644 the mobile phase and the gradient are acceptable provided
 645 that.

646 • The system suitability criteria are fulfilled.

647 • The principal peak(s) elute(s) within $\pm 15\%$ of the retention

648 time(s) obtained with the original conditions. This require-
649 ment does not apply when the column dimensions are
650 changed.

651 • The composition of the mobile phase and the gradient are
652 such that the first peaks are sufficiently retained and the last
653 peaks are eluted.

654 • pH of the aqueous component of the mobile phase: ± 0.2 pH
655 units, unless otherwise prescribed.

656 • Concentration of salts in the buffer component of a mobile
657 phase: $\pm 10\%$

658 Where compliance with the system suitability criteria can-
659 not be achieved, it is preferable to consider the dwell volume
660 or to change the column.

661 **Dwell volume** The configuration of the equipment em-
662 ployed may significantly alter the resolution, retention time
663 and relative retentions described. Should this occur, it may
664 be due to a change in dwell volume. Monographs preferably
665 include an isocratic step before the start of the gradient pro-
666 gram so that an adaptation can be made to the gradient time
667 points to take account of differences in dwell volume be-
668 tween the system used for analytical procedure development
669 and that actually used. It is the user's responsibility to adapt
670 the length of the isocratic step to the analytical equipment
671 used. If the dwell volume used during the elaboration of the
672 monograph is given in the monograph, the time points (t min)
673 stated in the gradient table may be replaced by adapted time
674 points (t_c min), calculated using the following equation.

$$675 \quad t_c = t - (D - D_0) / F$$

676 D : Dwell volume (mL)

677 D_0 : Dwell volume (mL) used for development of the ana-
678 lytical procedure

679 F : Flow rate (mL/min)

680 The isocratic step introduced for this purpose may be omit-
681 ted if validation data for application of the analytical proce-
682 dure without this step is available.

683 **Detector wavelength:** No adjustment permitted.

684 **Injection volume:** When the column dimensions are
685 changed, the following equation may be used for adjusting
686 the injection volume.

$$687 \quad V_{inj2} = V_{inj1} (L_2 d_{c2}^2) / (L_1 d_{c1}^2)$$

688 V_{inj1} : Injection volume (μL) indicated in the monograph

689 V_{inj2} : Adjusted injection volume (μL)

690 L_1 : Column length (cm) indicated in the monograph

691 L_2 : New column length (cm)

692 d_{c1} : Column internal diameter (mm) indicated in the mon-
693 ograph

694 d_{c2} : New column internal diameter (mm)

695 This equation may not be applicable to changes from TPP
696 columns to SPP columns.

697 Even in the absence of any column dimension change, the
698 injection volume may be varied provided system suitability
699 criteria remain within their established acceptability limits.
700 When the injection volume is decreased, special attention is
701 given to (limit of) detection and repeatability of the peak re-
702 sponse(s) to be determined. An increase is permitted pro-
703 vided, in particular, linearity and resolution of the peak(s) to
704 be determined remain satisfactory

705 4.3. Gas chromatography

706 Column parameters

707 Stationary phase:

708 Particle size: Maximum reduction of 50%. No increase
709 permitted (packed columns).

710 Film thickness: -50% to $+100\%$ (capillary columns)

711 Column dimensions:

712 Length: -70% to $+100\%$;

713 Internal diameter: $\pm 50\%$;

714 Column temperature: $\pm 10\%$;

715 Temperature program: Adjustment of temperatures is per-
716 mitted as stated above. Adjustment of ramp rates and hold
717 times of up to $\pm 20\%$ is permitted.

718 **Flow rate:** $\pm 50\%$.

719 The above changes are acceptable provided system suita-
720 bility criteria are fulfilled, and selectivity and elution order of
721 the specified impurities to be controlled are demonstrated to
722 be equivalent.

723 **Injection volume and split ratio:** may be varied provided
724 system suitability criteria remain within their established ac-
725 ceptability limits. When the injection volume is decreased, or
726 the split ratio is increased, special attention is given to (limit
727 of) detection and repeatability of the peak response(s) to be
728 determined. An increase in injection volume or a decrease in
729 split ratio is permitted provided, in particular, linearity and
730 resolution of the peak(s) to be determined remain satisfactory.

731 **Injection port temperature and transfer-line temperature**
732 **in static head-space conditions:** $\pm 10^\circ\text{C}$, provided no decom-
733 position or condensation occurs.

734 5. Quantitation

735 The following quantitation approaches may be used in
736 general texts or monographs.

737 5.1. External standard method

738 Using a calibration function

739 Standard solutions with several graded amounts of a refer-
740 ence standard of the compound to be analyzed are prepared
741 in a range that has been demonstrated to give a linear re-
742 sponse, and a fixed volume of these standard solutions is in-
743 jected. With the chromatograms obtained, a calibration func-
744 tion is prepared by plotting the peak areas or peak heights on

745 the ordinate against the amount of reference standard on the
746 abscissa. The calibration function is generally obtained by
747 linear regression. Then, a sample solution is prepared accord-
748 ing to the procedure specified in the individual monograph.
749 The chromatography is performed under the same operating
750 conditions as for the preparation of the calibration function,
751 the peak area or peak height of the compound to be analyzed
752 is measured, and the amount of the compound is read out or
753 calculated from the calibration function.

754 **Using one-point calibration**

755 In an individual monograph, generally one of the standard
756 solutions with a concentration within the linear range of the
757 calibration function and a sample solution with a concentra-
758 tion close to that of the standard solution are prepared, and
759 the chromatography is performed under fixed conditions to
760 obtain the amount of the component by comparing the re-
761 sponses obtained. In this method, all procedures, such as the
762 injection, must be carried out under constant conditions.

763 **5.2. Internal standard method**

764 **Using a calibration function**

765 In the internal standard method, a stable compound is cho-
766 sen as an internal standard which shows a retention time close
767 to that of the compound to be analyzed, and whose peak is
768 well separated from all other peaks in the chromatogram.

769 Several standard solutions containing a fixed amount of
770 the internal standard and graded amounts of a reference
771 standard of the compound to be analyzed are prepared. Based
772 on the chromatograms obtained by injection of a fixed vol-
773 ume of individual standard solutions, the ratio of peak area
774 or peak height of the reference standard to that of the internal
775 standard is calculated. A calibration function by plotting
776 these ratios on the ordinate against the amount of the refer-
777 ence standard or the ratio of the amount of reference standard
778 to that of the internal standard on the abscissa is prepared.
779 The calibration function is generally obtained by linear re-
780 gression.

781 Then, a sample solution containing the internal standard in
782 the same amount as in the standard solutions used for the
783 preparation of the calibration function is prepared according
784 to the procedure specified in the individual monograph. The
785 chromatography is performed under the same operating con-
786 ditions as for the preparation of the calibration function. The
787 ratio of the peak area or peak height of the compound to be
788 analyzed to that of the internal standard is calculated, and the
789 amount of the compound is read out or calculated from the
790 calibration function.

791 **Using one point calibration**

792 In an individual monograph, generally one of the standard
793 solutions with a concentration within the linear range of the
794 calibration function and a sample solution with a concentra-
795 tion close to that of the standard solution, both containing a
796 fixed amount of the internal standard, are prepared, and the

797 chromatography is performed under fixed conditions to de-
798 termine the amount of the compound to be analyzed by com-
799 paring the ratios obtained.

800 **5.3 Normalisation procedure**

801 Provided linearity of the peaks has been demonstrated, in-
802 dividual monographs may prescribe that the percentage con-
803 tent of a component of the substance to be examined is cal-
804 culated by determining the area of the corresponding peak as
805 a percentage of the total area of all the peaks, excluding those
806 due to solvents or reagents or arising from the mobile phase
807 or the sample matrix, and those at or below the disregard limit
808 or reporting threshold.

809 **6. Other considerations**

810 **6.1. Detector response**

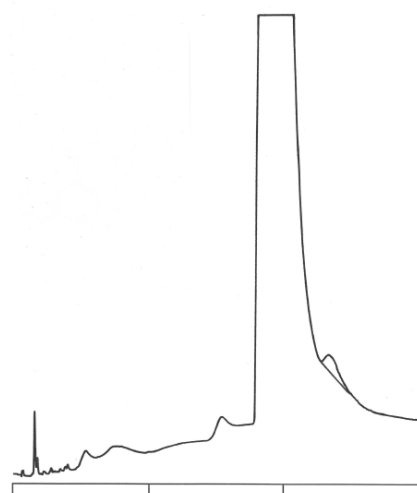
811 The detector sensitivity is the signal output per unit con-
812 centration or unit mass of a substance in the mobile phase
813 entering the detector. The relative detector response factor,
814 commonly referred to as response factor, expresses the sen-
815 sitivity of a detector for a given substance relative to a stand-
816 ard substance. The correction factor is the reciprocal of the
817 response factor. In tests for related substances any correction
818 factors indicated in the monograph are applied (i.e. when the
819 response factor is outside the range 0.8-1.2).

820 **6.2. Interfering peaks**

821 Peaks due to solvents and reagents or arising from the mo-
822 bile phase or the sample matrix are disregarded.

823 **6.3. Measurement of peaks**

824 Integration of the peak area of any impurity that is not
825 completely separated from the principal peak is preferably
826 performed by tangential skim (Figure 2.00-9).
827



828
829
830
831
832
Figure 2.00-9

831 **6.4. Reporting threshold**

832 When the related substances test prescribes a limit for the

833 total of impurities or a quantitative determination of an im-
834 purity, it is important to choose an appropriate reporting
835 threshold and appropriate conditions for the integration of the
836 peak areas. In such tests the reporting threshold, i.e. the limit
837 above which a peak is reported, is generally 0.05%.