

GLOBAL DOCUMENT

DISSOLUTION

This test is provided to determine compliance with the dissolution requirements for dosage forms administered orally. In this General Chapter, a dosage unit is defined as 1 tablet or 1 capsule or the amount specified.

APPARATUS

Apparatus 1 (Basket Apparatus) —The assembly consists of the following: a vessel, which may be covered, made of glass or other inert, transparent material¹; a motor; a drive shaft; and a cylindrical basket. The vessel is partially immersed in a suitable water bath of any convenient size or heated by a suitable device such as a heating jacket. The water bath or heating device permits maintaining the temperature of the Dissolution Medium inside the vessel at $37.0 \pm 0.5^\circ$ during the test. No part of the assembly, including the environment in which the assembly is placed, contributes significant motion, agitation, or vibration beyond that due to the smoothly rotating stirring element, which keeps the Dissolution Medium in constant smooth motion. Apparatus that permits observation of the dosage unit and stirring element during the test is preferable. The vessel is cylindrical, with a hemispherical bottom and a capacity of 1 liter. Its height is 160 mm to 210 mm and its inside diameter is 98 mm to 106 mm. Its sides may be flanged at the top. A fitted cover

¹ The materials should not sorb, react, or interfere with the specimen being tested.

23 may be used to retard evaporation.² The shaft is positioned so that its axis is not more
24 than 2 mm at any point from the vertical axis of the vessel and rotates smoothly and
25 without significant wobble that could affect the results. A speed-regulating device is used
26 that allows the shaft rotation speed to be selected and maintained at a specified rate,
27 within $\pm 4\%$.

28 Shaft and basket components of the stirring element are fabricated of stainless steel,
29 type 316 or other inert material, to the specifications shown in Figure 1. A basket having
30 a gold coating of about 2.5 μm thick may be used. The dosage unit is placed in a dry
31 basket at the beginning of each test. The distance between the inside bottom of the
32 vessel and the bottom of the basket is maintained at 25 ± 2 mm during the test.

33

34 Apparatus 2 (Paddle Apparatus) —Use the assembly from Apparatus 1, except that a
35 paddle formed from a blade and a shaft is used as the stirring element. The shaft is
36 positioned so that its axis is not more than 2 mm from the vertical axis of the vessel, at
37 any point, and rotates smoothly without significant wobble that could affect the results.
38 The vertical center line of the blade passes through the axis of the shaft so that the bottom
39 of the blade is flush with the bottom of the shaft. The paddle conforms to the
40 specifications shown in Figure 2. The distance of 25 ± 2 mm between the bottom of the
41 blade and the inside bottom of the vessel is maintained during the test. The metallic or
42 suitably inert, rigid blade and shaft comprise a single entity. A suitable two-part
43 detachable design may be used provided the assembly remains firmly engaged during

² If a cover is used, it provides sufficient openings to allow ready insertion of the thermometer and withdrawal of specimens.

44 the test. The paddle blade and shaft may be coated with a suitable coating so as to make
45 them inert. The dosage unit is allowed to sink to the bottom of the vessel before rotation
46 of the blade is started. A small, loose piece of nonreactive material, such as not more
47 than a few turns of wire helix, may be attached to dosage units that would otherwise float.
48 An alternative sinker device is shown in Figure 2a. Other validated sinker devices may
49 be used.

50

51 Apparatus 3 (Reciprocating Cylinder)—

52 [NOT ACCEPTED BY THE JAPANESE PHARMACOPOEIA]

53 The assembly consists of a set of cylindrical, flat-bottomed glass vessels; a set of glass
54 reciprocating cylinders; inert fittings (stainless steel type 316 or other suitable material)
55 and screens that are made of suitable nonsorbing and nonreactive material and that are
56 designed to fit the tops and bottoms of the reciprocating cylinders; and a motor and drive
57 assembly to reciprocate the cylinders vertically inside the vessels and, if desired, index
58 the reciprocating cylinders horizontally to a different row of vessels. The vessels are
59 partially immersed in a suitable water bath of any convenient size that permits maintaining
60 the temperature of the Dissolution Medium inside the vessel at $37.0 \pm 0.5^\circ$ during the test.
61 No part of the assembly, including the environment in which the assembly is placed,
62 contributes significant motion, agitation, or vibration beyond that due to the smooth,
63 vertically reciprocating cylinder. A device is used that allows the reciprocation rate to be
64 selected and maintained at the specified dip rate, within $\pm 5\%$. During the upward and
65 downward stroke, the reciprocating cylinder moves through a total distance of 9.9 to 10.1
66 cm. An apparatus that permits observation of the dosage form and reciprocating cylinders

67 is preferable. The vessels are provided with an evaporation cap that remains in place for
68 the duration of the test. The components conform to the dimensions shown in Figure 3
69 unless otherwise specified.

70

71 Apparatus 4 (Flow-Through Cell) —The assembly consists of a reservoir and a pump for
72 the Dissolution Medium; a flow-through cell; a water bath that maintains the Dissolution
73 Medium at $37.0 \pm 0.5^\circ$. Use the specified cell size.

74 The pump forces the Dissolution Medium upwards through the flow-through cell. The
75 pump has a delivery range between 240 and 960 ml per hour, with standard flow rates of
76 4, 8, and 16 ml per minute. It must deliver a constant flow (± 5 per cent of the nominal
77 flow rate); the flow profile is sinusoidal with a pulsation of 120 ± 10 pulses per minute. A
78 pump without pulsation may also be used. Dissolution test procedures using the flow-
79 through cell must be characterized with respect to rate and pulsation.

80 The flow-through cell (see Figures 4 and 5), of transparent and inert material, is
81 mounted vertically with a filter system (specified in the individual monograph) that
82 prevents escape of undissolved particles from the top of the cell; standard cell diameters
83 are 12 and 22.6 mm; the bottom cone is usually filled with small glass beads of about 1-
84 mm diameter with one bead of about 5 mm positioned at the apex to protect the fluid entry
85 tube; a dosage form holder (see Figures 4 and 5) is available for positioning of special
86 dosage forms, for example, inlay tablets. The cell is immersed in a water bath, and the
87 temperature in the cell is maintained at $37.0 \pm 0.5^\circ$.

88 The apparatus uses a clamp mechanism of two O-rings to assemble the cell. The
89 pump is separated from the dissolution unit in order to shield the latter against any

90 vibrations originating from the pump. The position of the pump should not be on a level
91 higher than the reservoir flasks. Tube connections are as short as possible. Use suitably
92 inert tubing, such as polytef, with about 1.6-mm inner diameter and chemically inert
93 flanged-end connections.

94 Apparatus Suitability—The determination of suitability of a test assembly to perform
95 dissolution testing must include conformance to the dimensions and tolerances of the
96 apparatus as given above. In addition, critical test parameters that have to be
97 monitored periodically during use include volume and temperature of the Dissolution
98 Medium, rotation speed (Apparatus 1 and Apparatus 2), dip rate (Apparatus 3), and flow
99 rate of medium (Apparatus 4).

100 Determine the acceptable performance of the dissolution test assembly
101 periodically.

102

103

PROCEDURE

104

105

APPARATUS 1 OR 2

106

IMMEDIATE-RELEASE DOSAGE FORMS

107

Procedure—Place the stated volume of the Dissolution Medium ($\pm 1\%$) in the vessel of
108 the specified apparatus, assemble the apparatus, equilibrate the Dissolution Medium to
109 $37.0 \pm 0.5^\circ$, and remove the thermometer. Place 1 dosage unit in the apparatus, taking
110 care to exclude air bubbles from the surface of the dosage unit, and immediately operate
111 the apparatus at the specified rate. Within the time interval specified, or at each of the
112 times stated, withdraw an aliquot from a zone midway between the surface of the
113 Dissolution Medium and the top of the rotating basket or blade, not less than 1 cm from

114 the vessel wall. [NOTE—Where multiple sampling times are specified, replace the aliquots
115 withdrawn for analysis with equal volumes of fresh Dissolution Medium at 37° or, where
116 it can be shown that replacement of the medium is not necessary, correct for the volume
117 change in the calculation. Keep the vessel covered for the duration of the test, and verify
118 the temperature of the medium under test at suitable times.] Perform the analysis using
119 a suitable assay method. ³ Repeat the test with additional dosage units.

120 If automated equipment is used for sampling or the apparatus is modified,
121 verification that the modified apparatus will produce results equivalent to those obtained
122 with the standard apparatus described in this General Chapter is necessary.

123 Dissolution Medium—A suitable dissolution medium is used. The volume specified refers
124 to measurements made between 20° and 25°. If the Dissolution Medium is a buffered
125 solution, adjust the solution so that its pH is within 0.05 unit of the specified pH. [NOTE—
126 Dissolved gases can cause bubbles to form, which may change the results of the test. If
127 dissolved gases influence the dissolution results, dissolved gases should be removed
128 prior to testing.⁴]

129 Time—Aliquots are to be withdrawn only at the stated times, within a tolerance of $\pm 2\%$.
130 Where a single time specification is given, the test may be concluded in a shorter period
131 if the requirement for minimum amount dissolved is met.

132 EXTENDED-RELEASE DOSAGE FORMS

133 Procedure—Proceed as described for Immediate-Release Dosage Forms.

³ The sample aliquots are filtered immediately upon sampling unless filtration is demonstrated to be unnecessary. Use an inert filter that does not cause adsorption of the active ingredient or contain extractable substances that would interfere with the analysis.

⁴ One method of deaeration is as follows: Heat the medium, while stirring gently, to about 41°, immediately filter under vacuum using a filter having a porosity of 0.45 μm or less, with vigorous stirring, and continue stirring under vacuum for about 5 minutes. Other validated deaeration techniques for removal of dissolved gases may be used.

134 Dissolution Medium—Proceed as directed under Immediate-Release Dosage Forms.

135 Time—The test-time points, generally three, are expressed in hours.

136 DELAYED-RELEASE DOSAGE FORMS

137 [NOT ACCEPTED BY THE JAPANESE PHARMACOPOEIA]

138 Procedure—Use Method A or Method B

139 Method A

140 *Acid stage*—Place 750 ml of 0.1 N hydrochloric acid in the vessel, and assemble the
141 apparatus. Allow the medium in the vessel to equilibrate to a temperature of $37.0 \pm 0.5^\circ$.
142 Place 1 dosage unit in the apparatus, cover the vessel, and operate the apparatus at the
143 specified rate. After 2 hours of operation in 0.1 N hydrochloric acid, withdraw an aliquot
144 of the fluid, and proceed immediately as directed under *Buffer stage*.

145 Perform an analysis of the aliquot using a suitable assay method.

146 *Buffer stage*—[NOTE—Complete the operations of adding the buffer solution, and
147 adjusting the pH within 5 minutes.] With the apparatus operating at the rate specified, add
148 to the fluid in the vessel 250 ml of 0.20 M tribasic sodium phosphate that has been
149 equilibrated to $37.0 \pm 0.5^\circ$. Adjust, if necessary, with 2 N hydrochloric acid or 2 N sodium
150 hydroxide to a pH of 6.80 ± 0.05 . Continue to operate the apparatus for 45 minutes, or for
151 the specified time. At the end of the time period, withdraw an aliquot of the fluid, and
152 perform the analysis using a suitable assay method.

153 Method B

154 *Acid Stage*—Place 1000 ml of 0.1 N hydrochloric acid in the vessel, and assemble the
155 apparatus. Allow the medium in the vessel to equilibrate to a temperature of $37.0 \pm 0.5^\circ$.
156 Place 1 dosage unit in the apparatus, cover the vessel, and operate the apparatus at the

157 specified rate. After 2 hours of operation in 0.1 N hydrochloric acid, withdraw an aliquot
158 of the fluid, and proceed immediately as directed under *Buffer stage*.

159 Perform an analysis of the aliquot using a suitable assay method.

160 *Buffer stage*—[NOTE—For this stage of the procedure, use buffer solution that previously
161 has been equilibrated to a temperature of $37.0 \pm 0.5^\circ$.] Drain the acid from the vessel,
162 and add to the vessel 1000 ml of pH 6.8 phosphate buffer, prepared by mixing 0.1 N
163 hydrochloric acid with 0.20 M tribasic sodium phosphate (3:1) and adjusting, if necessary,
164 with 2 N hydrochloric acid or 2 N sodium hydroxide to a pH of 6.80 ± 0.05 . [NOTE—This
165 may be accomplished also by removing from the apparatus the vessel containing the acid
166 and replacing it with another vessel containing the buffer solution and transferring the
167 dosage unit to the vessel containing the buffer solution.] Continue to operate the
168 apparatus for 45 minutes, or for the specified time. At the end of the time period, withdraw
169 an aliquot of the fluid, and perform the analysis using a suitable assay method.

170 Time—All test times stated are to be observed within a tolerance of $\pm 2\%$, unless otherwise
171 specified.

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173 APPARATUS 3

174 [NOT ACCEPTED BY THE JAPANESE PHARMACOPOEIA]

175

176 IMMEDIATE-RELEASE DOSAGE FORMS

177 Procedure— A dissolution test can be conducted either in one vessel or in a set of
178 subsequent vessels by moving the reciprocating cylinder from vessel to vessel. Place the
179 stated volume of the *Dissolution Medium* in each vessel of the apparatus, assemble the

180 apparatus, equilibrate the *Dissolution Medium* to $37.0 \pm 0.5^\circ$, and remove the
181 thermometer. Place 1 dosage unit in each of the reciprocating cylinders, taking care to
182 exclude air bubbles from the surface of each dosage unit, and immediately operate the
183 apparatus as specified. When the test is conducted in one vessel at each of the times
184 stated, raise the reciprocating cylinders and withdraw an aliquot of the solution under test
185 from a zone midway between the surface of the *Dissolution Medium* and the bottom of
186 each vessel. When the dissolution test is conducted in multiple vessels, at the stated
187 times the reciprocating cylinder moves to the subsequent vessel and aliquots of the
188 solution under test are withdrawn from the vessel immediately after. Perform the analysis
189 as directed. Repeat the test with additional dosage units.

190 When the test is conducted in one vessel, replace the aliquot withdrawn for analysis
191 with equal volumes of fresh *Dissolution Medium* at 37° or, where it can be shown that
192 replacement of the medium is not necessary, correct for the volume change in the
193 calculation. Keep the vessel covered with the evaporation cap for the duration of the test,
194 and verify the temperature of the medium under test at suitable times.

195 *Dissolution Medium*—Proceed as directed under Immediate-Release Dosage Forms
196 under Apparatus 1 or 2.

197 Time — Proceed as directed under Immediate-Release Dosage Forms under Apparatus
198 1 or 2.

199 EXTENDED-RELEASE DOSAGE FORMS

200 Procedure— Proceed as described for Immediate-Release Dosage Forms under
201 Apparatus 3.

202 Dissolution Medium— Proceed as described for Immediate-Release Dosage Forms
203 under Apparatus 1 or 2.

204 Time— Proceed as described for Extended-Release Dosage Forms under Apparatus 1
205 or 2.

206 DELAYED-RELEASE DOSAGE FORMS

207 Procedure— Proceed as described for Delayed-Release Dosage Forms Method B under
208 Apparatus 1 or 2 using one row of vessels for the acid stage media and the following row
209 of vessels for the buffer stage media and using the volume of medium specified (usually
210 300 mL).

211 Time — Proceed as directed for Delayed-Release Dosage Forms under Apparatus 1 or
212 2.

213 APPARATUS 4

214 IMMEDIATE-RELEASE DOSAGE FORMS

215 Procedure— Place the glass beads into the cell specified. Place 1 dosage unit on top of
216 the beads or, if specified, in a dosage form holder (see Figure 4 and Figure 5). Assemble
217 the filter head and fix the parts together by means of a suitable clamping device. Introduce
218 by the pump the *Dissolution Medium* warmed to $37.0 \pm 0.5^\circ$ through the bottom of the cell
219 to obtain the flow rate specified and measured with an accuracy of 5%. Collect the eluate
220 by fractions at each of the times stated. Perform the analysis as directed. Repeat the
221 test with additional dosage units.

222 Dissolution Medium— Proceed as directed under Immediate-Release Dosage Forms
223 under Apparatus 1 or 2.

224 Time — Proceed as directed under Immediate-Release Dosage Forms under Apparatus
225 1 or 2.

226 EXTENDED-RELEASE DOSAGE FORMS

227 Procedure— Proceed as described for Immediate-Release Dosage Forms under
228 Apparatus 4.

229 Dissolution Medium—Proceed as described for Immediate-Release Dosage Forms
230 under Apparatus 1 or 2.

231 Time—Proceed as described for Extended-Release Dosage Forms under Apparatus 1 or
232 2.

233 DELAYED-RELEASE DOSAGE FORMS

234 [NOT ACCEPTED BY THE JAPANESE PHARMACOPOEIA]

235 Procedure— Proceed as described for Immediate-Release Dosage Forms under
236 Apparatus 4

237 Dissolution Media – Proceed using the specified media as described for Delayed-
238 Release Dosage Forms under Apparatus 1 or 2.

239 Time — Proceed as directed for Delayed-Release Dosage Forms under Apparatus 1 or
240 2.

241 **INTERPRETATION**

242

243 IMMEDIATE-RELEASE DOSAGE FORMS

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245 Unless otherwise specified, the requirements are met if the quantities of active
246 ingredient dissolved from the dosage units tested conform to the accompanying

247 Acceptance Table. Continue testing through the three stages unless the results conform
 248 at either S_1 or S_2 . The quantity, Q , is the specified amount of dissolved active ingredient,
 249 expressed as a percentage of the labeled content of the dosage unit; the 5%, 15%, and
 250 25% values in the Acceptance Table are percentages of the labeled content so that these
 251 values and Q are in the same terms.

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Acceptance Table 1

Stage	Number of dosage units Tested	Acceptance Criteria
S_1	6	No individual value is less than $Q + 5\%$.
S_2	6	Average value of the 12 dosage units ($S_1 + S_2$) is equal to or greater than Q , and no value is less than $Q - 15\%$.
S_3	12	Average value of the 24 dosage units ($S_1 + S_2 + S_3$) is equal to or greater than Q , not more than 2 values are less than $Q - 15\%$, and no value is less than $Q - 25\%$.

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255 EXTENDED-RELEASE DOSAGE FORMS

256 Unless otherwise specified, the requirements are met if the quantities of active ingredient
 257 dissolved from the dosage units tested conform to *Acceptance Table 2*. Continue testing
 258 through the three levels unless the results conform at either L_1 or L_2 . Limits on the

259 amounts of active ingredient dissolved are expressed in terms of the percentage of
 260 labeled content. The limits embrace each value of Q_i , the amount dissolved at each
 261 specified fractional dosing interval. Where more than one range is specified, the
 262 acceptance criteria apply individually to each range.

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Acceptance Table 2

Level	Number of dosage units Tested	Criteria
L_1	6	No individual value lies outside each of the stated ranges and no individual value is less than the stated amount at the final test time.
L_2	6	The average value of the 12 dosage units ($L_1 + L_2$) lies within each of the stated ranges and is not less than the stated amount at the final test time; no value is more than 10% of labeled content outside each of the stated ranges; and no value is more than 10% of labeled content below the stated amount at the final test time.
L_3	12	The average value of the 24 dosage units ($L_1 + L_2 + L_3$) lies within each of the stated ranges, and is not less than the stated amount at the final test time; not more than 2 of the 24 values

		are more than 10% of labeled content outside each of the stated ranges; not more than 2 of the 24 values are more than 10% of labeled content below the stated amount at the final test time; and no value is more than 20% of labeled content outside each of the stated ranges and more than 20% of labeled content below the stated amount at the final test time.
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269 DELAYED-RELEASE DOSAGE FORMS [NOT ACCEPTED BY THE JAPANESE

270 PHARMACOPOEIA]

271 Acid stage — Unless otherwise specified, the requirements of this portion of the test are

272 met if the quantities, based on the percentage of the labeled content, of active ingredient

273 dissolved from the units tested conform to Acceptance Table 3. Continue testing through

274 all levels unless the results of both acid and buffer stages conform at an earlier level.

275

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Acceptance Table 3

Level	Number of dosage units Tested	Criteria
A ₁	6	No individual value exceeds 10% dissolved.
A ₂	6	The average value of the 12 dosage units (A ₁ + A ₂) is not more than 10% dissolved, and no value is greater than 25% dissolved.
A ₃	12	The average value of the 24 dosage units (A ₁ + A ₂ + A ₃) is not more than 10% dissolved, and no value is greater than 25% dissolved.

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279 Buffer stage — Unless otherwise specified, the requirements are met if the quantities of
 280 active ingredient dissolved from the units tested conform to Acceptance Table 4. Continue
 281 testing through the three levels unless the results of both stages conform at an earlier
 282 level. The value of Q in Acceptance Table 4 is 75% dissolved unless otherwise specified.
 283 The quantity, Q, is the total amount of active ingredient dissolved in both the acid and
 284 buffer stages, expressed as a percentage of the labeled content. The 5% and 15% values
 285 in Acceptance Table 4 are percentages of the labeled content so that these values and
 286 Q are in the same terms.

287

288

Acceptance Table 4

Level	Number of dosage units Tested	Criteria
B ₁	6	No individual value is less than Q + 5%.
B ₂	6	The average value of the 12 dosage units (B ₁ + B ₂) is equal to or greater than Q, and no value is less than Q - 15%.
B ₃	12	The average value of the 24 dosage units (B ₁ + B ₂ + B ₃) is equal to or greater than Q, not more than 2 values are less than Q - 15%, and no value is less than Q - 25%.

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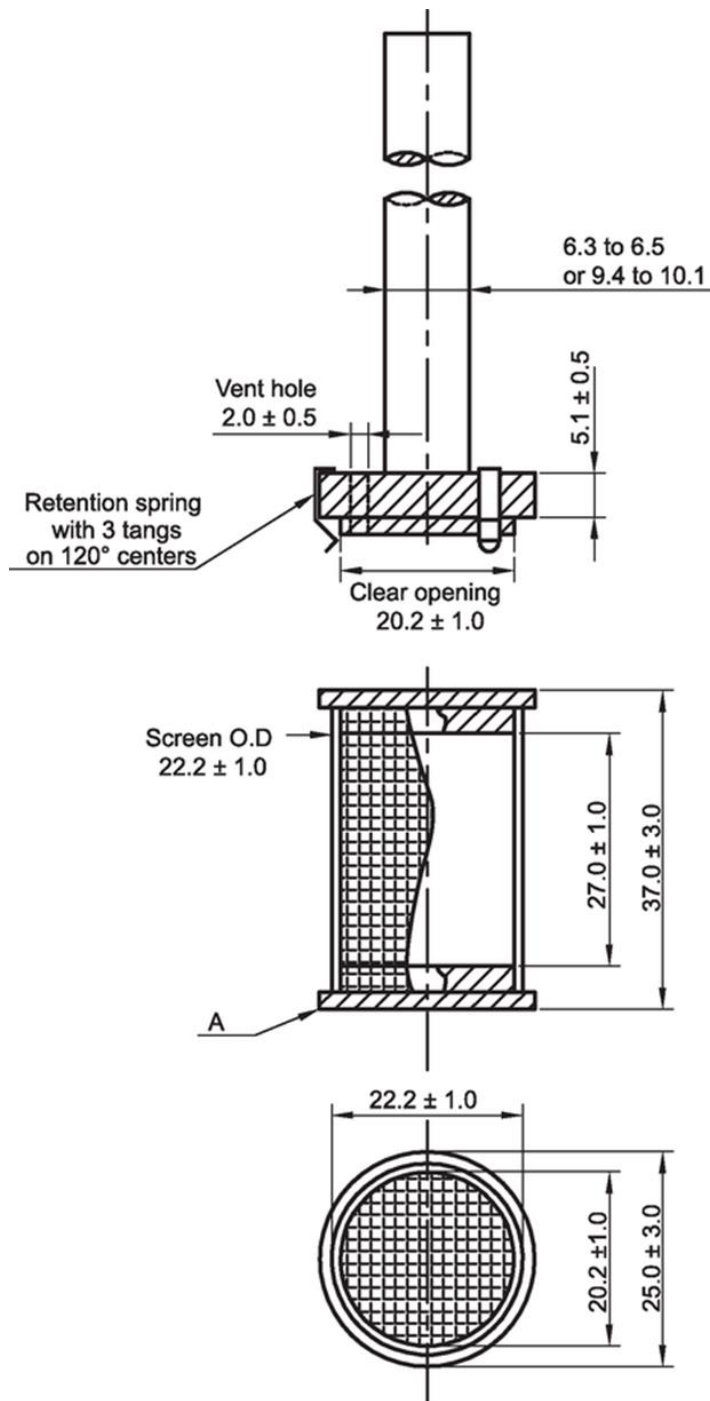
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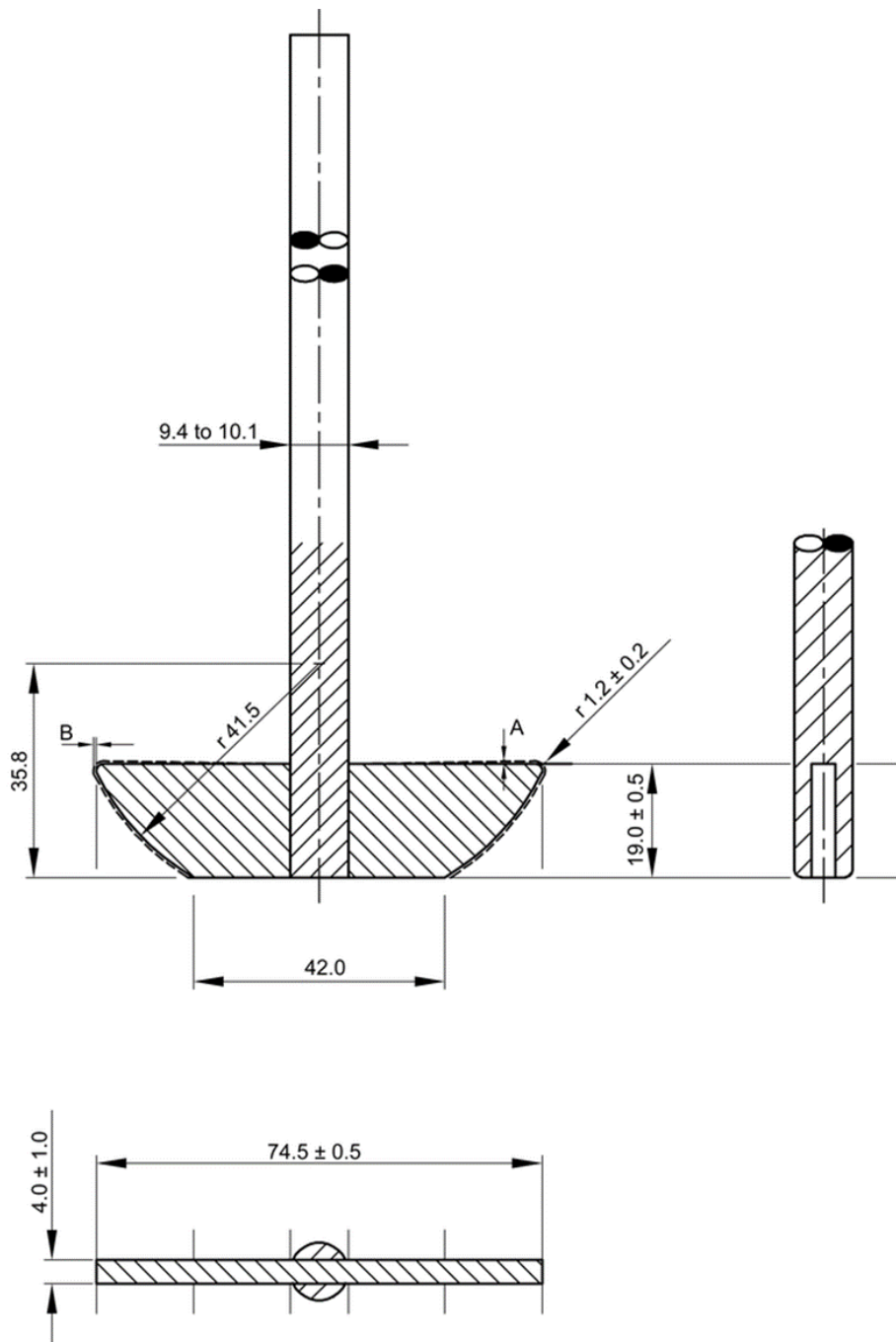
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1) Screen with welded seam: 0.22-0.31 mm wire diameter with wire opening of 0.36-0.44 mm. After welding the screen may be slightly altered.

2) Maximum allowable runout at A is 1.0 mm when the part is rotated on center line axis with basket mounted.

299 Figure 1. – Apparatus 1, Basket stirring element
300 Dimensions in millimetres

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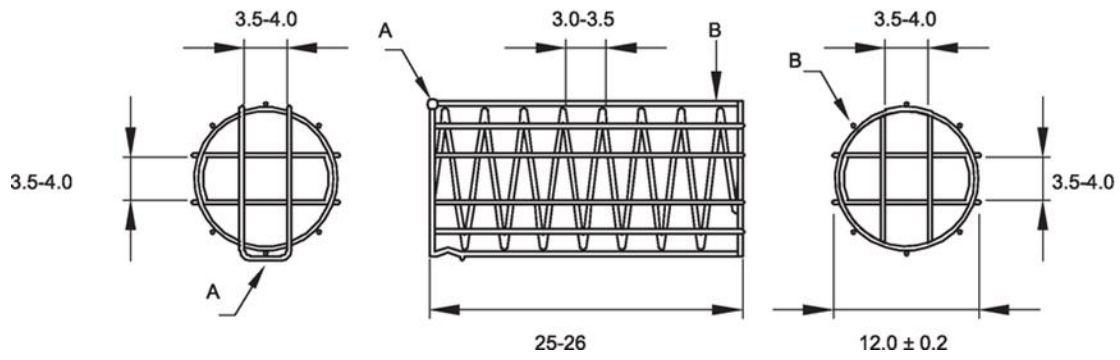


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A and B dimensions do not vary more than 0.5 mm when part is rotated on center line axis.
Tolerances are ± 1.0 mm unless otherwise stated.

308 Figure 2. – Apparatus 2, Paddle stirring element
309 Dimensions in millimetres

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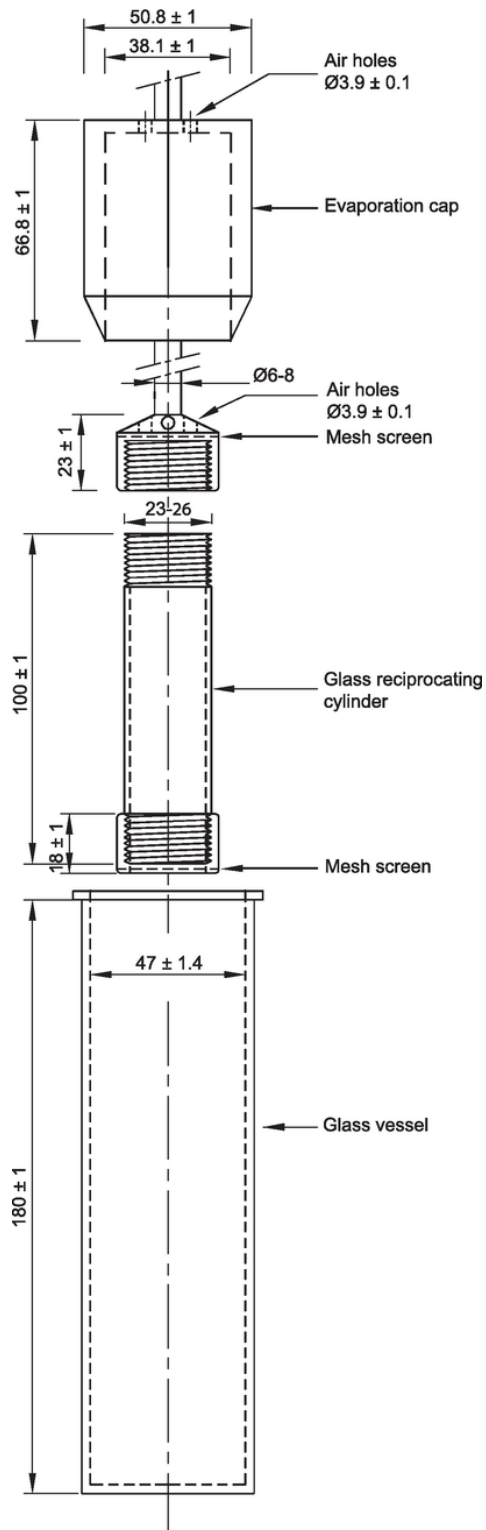
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A: acid-resistant wire clasp

B: acid-resistant wire support

313 Figure 2a. – *Alternative sinker*
314 *Dimensions in millimetres*

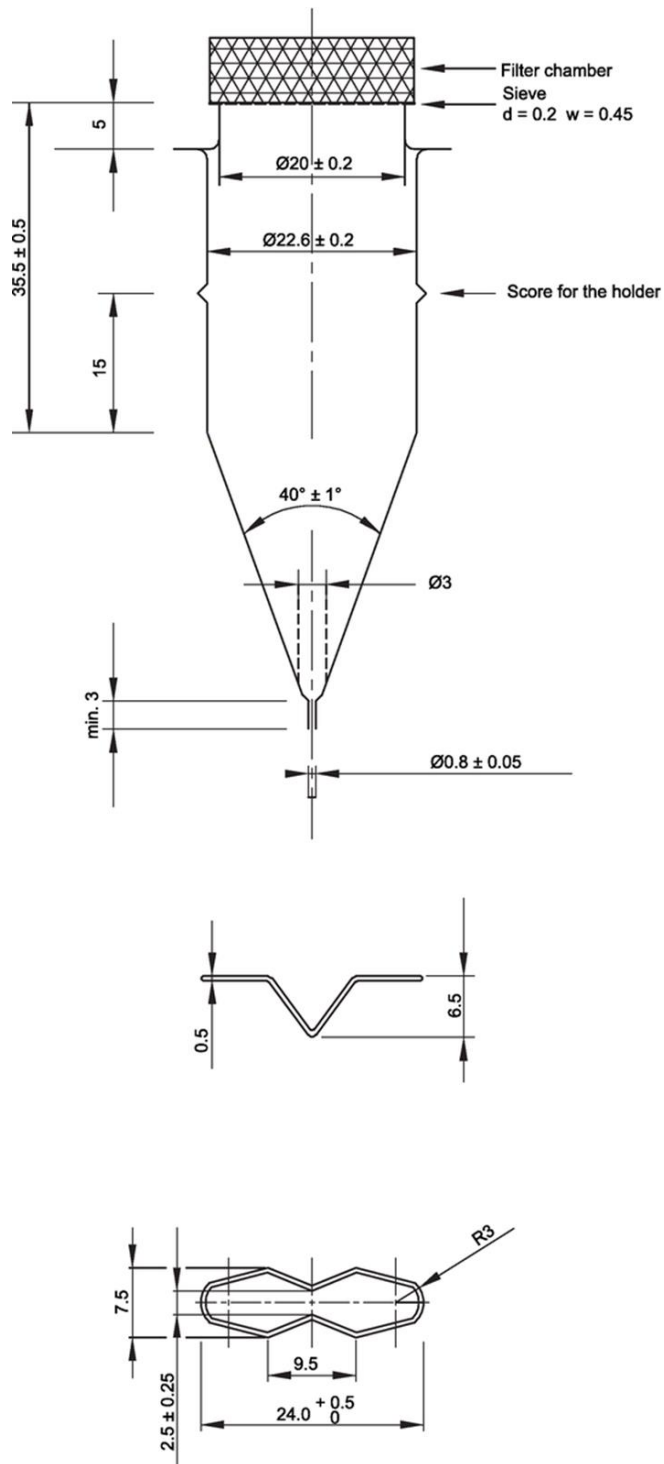
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Figure 3 – Apparatus 3, glass vessel and reciprocating cylinder
 Dimensions in millimetres

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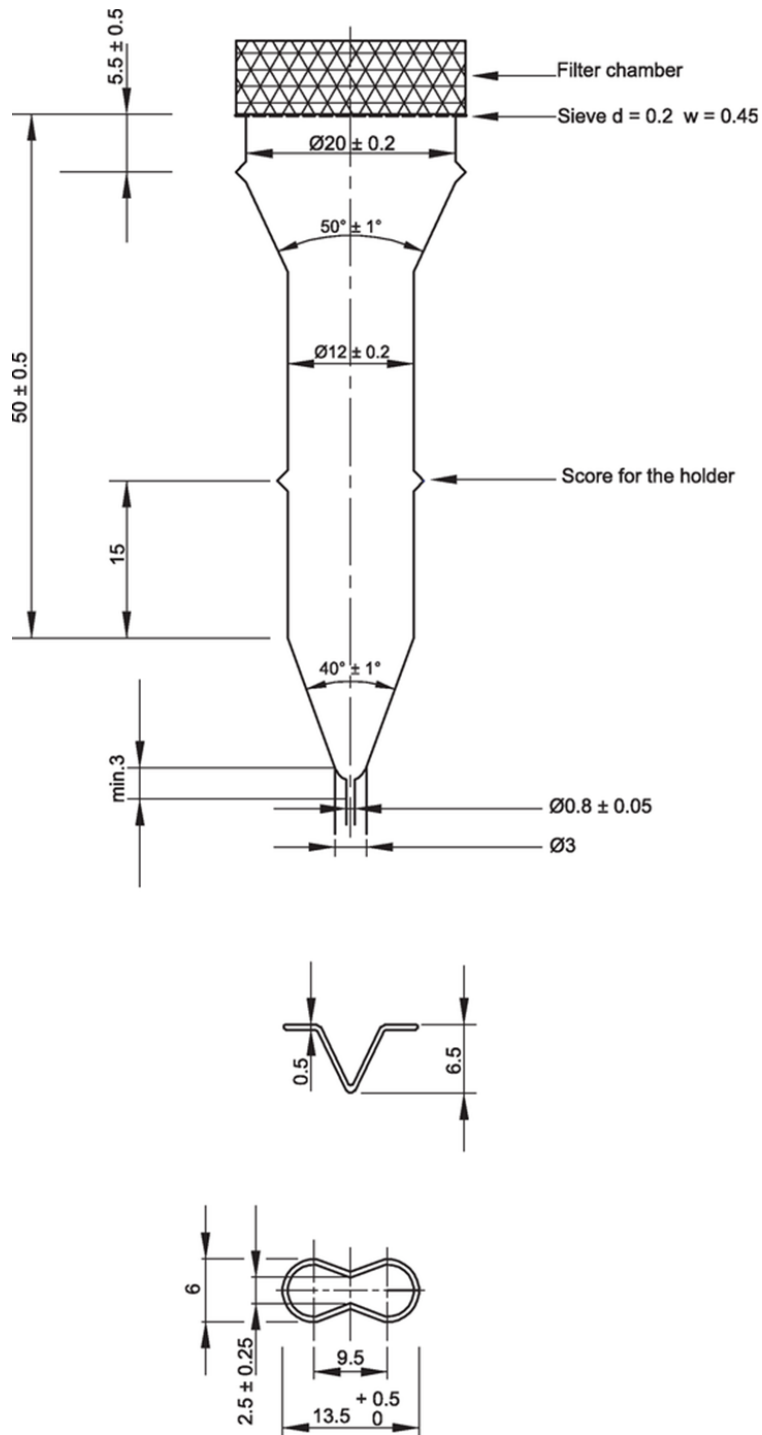
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327 Figure 4. – Apparatus 4, large cell for tablets and capsules (top), dosage form holder for
 328 the large cell (bottom)

329 Dimensions in millimetres

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Figure 5. – Apparatus 4, small cell for tablets and capsules (top), dosage form holder for the small cell (bottom)
Dimensions in millimetres